## Sampling and Quantization

- Summary on sampling
- Quantization
- Appendix: notes on convolution


## Sampling in 1D

Continuous time signal
$f(t)$


Discrete time signal


## Nyquist theorem (1D)




At least 2 sample/period are needed to represent a periodic signal

$$
\begin{aligned}
& T_{s} \leq \frac{1}{2} \frac{2 \pi}{\omega_{\max }} \\
& \omega_{s}=\frac{2 \pi}{T_{s}} \geq 2 \omega_{\max }
\end{aligned}
$$

## Delta pulse

- 1D Dirac pulse

$$
\begin{aligned}
& \delta(x)=1 \text { if } x=0 \\
& \delta(x)=0 \text { else }
\end{aligned}
$$

- 2D Dirac pulse $\delta(\mathrm{x}, \mathrm{y})=1$ if $\mathrm{x}=0$ and $\mathrm{y}=0$ $\delta(x, y)=0$ else which corresponds to : $\delta(x, y)=\delta(x) \delta(y)$




## Dirac brush

- 1D sampling: Dirac comb (or Shah function)

- 2D sampling : Dirac «brush »



## Comb

- Extended comb :

$$
p_{x}(x, y)=\sum_{m=-\infty}^{\infty} \delta(x-m \Delta x)
$$

- Comb :


$$
p_{x}(x, y)=\delta(y) \sum_{m=-\infty}^{\infty} \delta(x-m \Delta x)
$$

## Brush

- Brush = product of 2 extended combs

$$
\begin{aligned}
& p_{x}(x, y)=\sum_{m=-\infty}^{\infty} \delta(x-m \Delta x) \\
& p_{y}(x, y)=\sum_{m=-\infty}^{\infty} \delta(y-n \Delta y) \\
& b(x, y)=p_{x}(x, y) p_{y}(x, y) \\
& \Delta x
\end{aligned}
$$

## Nyquist theorem

2D spatial domain

- Sampling in p-dimensions

$$
\begin{aligned}
& s_{T}(\vec{x})=\sum_{k \in Z^{p}} \delta(\vec{x}-k T) \\
& f_{T}(\vec{x})=f(\vec{x}) s_{T}(\vec{x})
\end{aligned}
$$



2D Fourier domain

- Nyquist theorem

$$
\left\{\begin{array} { l } 
{ \omega _ { x } ^ { s } \geq 2 \omega _ { x \operatorname { m a x } } } \\
{ \omega _ { y } ^ { s } \geq 2 \omega _ { y \operatorname { m a x } } }
\end{array} \Rightarrow \left\{\begin{array}{l}
T_{x}^{s} \leq 2 \pi \frac{1}{2 \omega_{x \max }} \\
T_{y}^{s} \leq 2 \pi \frac{1}{2 \omega_{y \max }}
\end{array}\right.\right.
$$



## Spatial aliasing



## Resampling

- Change of the sampling rate
- Increase of sampling rate: Interpolation or upsampling
- Blurring, low visual resolution
- Decrease of sampling rate: Rate reduction or downsampling
- Aliasing and/or loss of spatial details


## Downsampling



## Upsampling


nearest neighbor (NN)

## Upsampling


bilinear

## Upsampling


bicubic

## Quantization

## Scalar quantization

- A scalar quantizer $Q$ approximates $X$ by $X^{\sim}=Q(X)$, which takes its values over a finite set.
- The quantization operation can be characterized by the MSE between the original and the quantized signals

$$
d=E\left\{(X-\tilde{X})^{2}\right\}
$$

- Suppose that $X$ takes its values in $[a, b]$, which may correspond to the whole real axis. We decompose $[a, b]$ in $K$ intervals $\left\{\left(y_{k-1}, y_{k}\right\}_{1 \leq k \leq K}\right.$ of variable length, with $y_{0}=a$ and $y_{K}=b$.
- A scalar quantizer approximates all $x \in\left(y_{k-1}, y_{k}\right]$ by $x_{k}$ :

$$
\forall x \in\left(y_{k-1}, y_{k}\right], \quad Q(x)=x_{k}
$$

## Quantization

- A/D conversion $\Rightarrow$ quantization



## Scalar quantization

- The intervals $\left(y_{k-1}, y_{k}\right]$ are called quantization bins.
- Rounding off integers is an example where the quantization bins

$$
\left(y_{k-1}, y_{k}\right]=(k-1 / 2, k+1 / 2]
$$

have size 1 and $x_{k}=k$ for any $k \in Z$.

- High resolution quantization
- Let $p(x)$ be the probability density of the random source $X$. The mean-square quantization error is

$$
d=E\left\{(X-\tilde{X})^{2}\right\}=\int_{-\infty}^{+\infty}(x-Q(x))^{2} p(x) d x
$$

## HRQ

- A quantizer is said to have a high resolution if $p(x)$ is approximately constant on each quantization bin. This is the case if the sizes $k$ are sufficiently small relative to the rate of variation of $p(x)$, so that one can neglect these variations in each quantization bin.



## Scalar quantization

- Teorem 10.4 (Mallat): For a high-resolution quantizer, the mean-square error $d$ is minimized when $x_{k}=\left(y_{k}+y_{k+1}\right) / 2$, which yields

$$
d=\frac{1}{12} \sum_{k=1}^{K} p_{k} \Delta_{k}^{2}
$$

Proof. The quantization error (10.15) can be rewritten as

$$
d=\sum_{k=1}^{K} \int_{y_{k-1}}^{y_{k}}\left(x-x_{k}\right)^{2} p(x) d x .
$$

Replacing $p(x)$ by its expression (10.16) gives

$$
\begin{equation*}
d=\sum_{k=1}^{K} \frac{p_{k}}{\Delta_{k}} \int_{y_{k-1}}^{y_{k}}\left(x-x_{k}\right)^{2} d x \tag{10.18}
\end{equation*}
$$

One can verify that each integral is minimum for $x_{k}=\left(y_{k}+y_{k-1}\right) / 2$, which yields (10.17).

## Uniform quantizer

The uniform quantizer is an important special case where all quantization bins have the same size

$$
y_{k}-y_{k-1}=\Delta \quad \text { for } \quad 1 \leqslant k \leqslant K .
$$

For a high-resolution uniform quantizer, the average quadratic distortion (10.17) becomes

$$
\begin{equation*}
d=\frac{\Delta^{2}}{12} \sum_{k=1}^{K} p_{k}=\frac{\Delta^{2}}{12} . \tag{10.19}
\end{equation*}
$$

It is independent of the probability density $p(x)$ of the source.

## Quantization

- $A / D$ conversion $\Rightarrow$ quantization



## Quantization

Signal before (blue) and after quantization (red) Q


Equivalent noise: $n=f_{q}-f$
additive noise model: $\mathrm{f}_{\mathrm{q}}=\mathrm{f}+\mathrm{n}$


## Quantization

original


10 levels


## Distortion measure

- Distortion measure

$$
D=\mathrm{E}\left[\left(f_{Q}-f\right)^{2}\right]=\sum_{k=0}^{K} \int_{t_{k}}^{t_{k+1}}\left(f_{Q}-f\right)^{2} p(f) d f
$$

- The distortion is measured as the expectation of the mean square error (MSE) difference between the original and quantized signals.

$$
P S N R=20 \log _{10} \frac{255}{M S E}=20 \log _{10} \frac{255}{\frac{1}{N \times M} \sqrt{\sum_{i=1}^{N} \sum_{j=1}^{M}\left(I_{1}[i, j]-I_{2}[i, j]\right)^{2}}}
$$

- Lack of correlation with perceived image quality
- Even though this is a very natural way for the quantification of the quantization artifacts, it is not representative of the visual annoyance due to the majority of common artifacts.
- Visual models are used to define perception-based image quality assessment metrics


## Example

- The PSNR does not allow to distinguish among different types of distortions leading to the same RMS error between images
- The MSE between images (b) and (c) is the same, so it is the PSNR. However, the visual annoyance of the artifacts is different



# Appendix 

Convolution

## Convolution






$$
\begin{aligned}
& c(t)=f(t) * g(t)=\int_{-\infty}^{+\infty} f(\tau) g(t-\tau) d \tau \\
& c[n]=f[n] * g[n]=\sum_{k=-\infty}^{+\infty} f[k] g[k-n]
\end{aligned}
$$




## 2D Convolution

$$
\begin{aligned}
& c(x, y)=f(x, y) \otimes g(x, y)=\int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} f(\tau, v) g(x-\tau, y-v) d \tau d v \\
& c[i, k]=\sum_{n=-\infty}^{+\infty} \sum_{m=-\infty}^{+\infty} f[n, m] g[i-n, k-m]
\end{aligned}
$$

- Associativity
- Commutativity
- Distributivity



## 2D Convolution

$c[i, k]=\sum_{n=-\infty}^{+\infty} \sum_{m=-\infty}^{+\infty} f[n, m] g[i-n, k-m]$


1. fold about origin
2. displace by ' $i$ ' and ' $k$ '


3. compute integral of the box


Tricky part: borders

- (zero padding, mirror...)


## Convolution

Filtering with filter $h(x, y)$

$$
f_{2}(x, y)=\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} f_{1}(s, t) h(x-s, y-t) d s d t
$$

- Convolution with a 2D Dirac pulse

$$
f_{2}(x, y)=f_{1}(x, y)
$$

sampling property of the delta function

- Convolution a Dirac pulse shifted by $\left(x_{0}, y_{0}\right)$

$$
f_{2}(x, y)=f_{1}\left(x-x_{0}, y-y_{0}\right)
$$

- Fourier transform...

$$
F_{2}(u, v)=F_{1}(u, v) H(u, v)
$$

- ... and vice versa

$$
g(x, y)=f_{1}(x, y) f_{2}(x, y) \text { then } G(u, v)=F_{1}(u, v) * F_{2}(u, v)
$$

## Convolution

- Convolution is a neighborhood operation in which each output pixel is the weighted sum of neighboring input pixels. The matrix of weights is called the convolution kernel, also known as the filter.
- A convolution kernel is a correlation kernel that has been rotated 180 degrees.
- Recipe

1. Rotate the convolution kernel 180 degrees about its center element.
2. Slide the center element of the convolution kernel so that it lies on top of the $(\mathrm{l}, \mathrm{k})$ element of f .
3. Multiply each weight in the rotated convolution kernel by the pixel of $f$ underneath. Sum the individual products from step 3

- zero-padding is generally used at borders but other border conditions are possible


## Example

## kernel

$\mathrm{f}=\left[\begin{array}{lllll}17 & 24 & 1 & 8 & 15\end{array}\right.$
$\begin{array}{lllll}23 & 5 & 7 & 14 & 16\end{array}$ 46132022
$\mathrm{h}=\left[\begin{array}{lll}8 & 1 & 6 \\ 3 & 5 & 7 \\ 4 & 9 & 2\end{array}\right]$

$$
\left.h^{\prime}=\begin{array}{rll}
2 & 9 & 4 \\
7 & 5 & 3 \\
6 & 1 & 8
\end{array}\right]
$$

$1 \cdot 2+8 \cdot 9+15 \cdot 4+7 \cdot 7+14 \cdot 5+16 \cdot 3+13 \cdot 6+20 \cdot 1+22 \cdot 8=575$


Computing the (2,4) Output of Convolution

## Correlation

- The operation called correlation is closely related to convolution. In correlation, the value of an output pixel is also computed as a weighted sum of neighboring pixels.
- The difference is that the matrix of weights, in this case called the correlation kernel, is not rotated during the computation.
- Recipe

1. Slide the center element of the correlation kernel so that lies on top of the $(2,4)$ element of $f$.
2. Multiply each weight in the correlation kernel by the pixel of $A$ underneath.
3. Sum the individual products from step 2.

## Example

## kernel

$$
\begin{aligned}
& \mathrm{f}=\left[\begin{array}{lllll}
17 & 24 & 1 & 8 & 15
\end{array}\right. \\
& \begin{array}{lllll}
23 & 5 & 7 & 14 & 16
\end{array} \\
& 46132022 \\
& 101219213 \\
& 11182529] \\
& \text { h = } \begin{array}{lll}
8 & 1 & 6
\end{array} \\
& 357 \\
& 49 \text { 2] } \\
& 1 \cdot 8+8 \cdot 1+15 \cdot 6+7 \cdot 3+14 \cdot 5+16 \cdot 7+13 \cdot 4+20 \cdot 9+22 \cdot 2=585
\end{aligned}
$$



Computing the $(2,4)$ Output of Correlation

