

# Representation theory of algebras

## an introduction

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**Important:** These notes will be updated on a regular basis during the course.

In the second part, many proofs are omitted or just sketched.

The complete arguments will be explained in the lectures!

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# 1 RINGS

## 1.1 Reminder on rings

Recall that a *ring*  $(R, +, \cdot, 0, 1)$  is given by a set  $R$  together with two binary operations, an addition  $(+)$  and a multiplication  $(\cdot)$ , and two elements  $0 \neq 1$  of  $R$ , such that  $(R, +, 0)$  is an abelian group,  $(R, \cdot, 1)$  is a monoid (i.e., a semigroup with unity 1), and multiplication is left and right distributive over addition. A ring whose multiplicative structure is abelian is called a *commutative ring*.

Given two rings  $R, S$ , a map  $\varphi : R \rightarrow S$  is a *ring homomorphism* if for any two elements  $a, b \in R$  we have  $\varphi(a + b) = \varphi(a) + \varphi(b)$ ,  $\varphi(a \cdot b) = \varphi(a) \cdot \varphi(b)$ , and  $\varphi(1_R) = 1_S$ .

### Examples:

1.  $\mathbb{Z}, \mathbb{Q}, \mathbb{R}, \mathbb{C}$  are commutative rings.
2. Let  $k$  be a field; the ring  $k[x_1, \dots, x_n]$  of polynomials in the variables  $x_1, \dots, x_n$  is a commutative ring.
3. Let  $k$  be a field; consider the ring  $R = M_n(k)$  of  $n \times n$ -matrices with coefficients in  $k$  with the usual "rows times columns" product. Then  $R$  is a non-commutative ring.
4. Given an abelian group  $(G, +)$ , the group homomorphisms  $f : G \rightarrow G$  form a ring  $\text{End } G$ , called the *endomorphism ring* of  $G$ , with respect to the natural operations given by pointwise addition  $f + g : G \rightarrow G, a \mapsto f(a) + g(a)$  and composition of maps  $g \circ f : G \rightarrow G, a \mapsto g(f(a))$ . The unity is given by the identity map  $1_G : G \rightarrow G, a \mapsto a$ .
5. Given a ring  $R$ , the opposite ring  $R^{op}$  has the same additive structure as  $R$  and opposite multiplication  $(*)$  given by  $a * b = b \cdot a$ .

## 1.2 Finite dimensional algebras

**Definition:** Let  $k$  be a field. A  *$k$ -algebra*  $\Lambda$  is a ring with a map  $k \times \Lambda \rightarrow \Lambda, (\alpha, a) \mapsto \alpha a$ , such that  $\Lambda$  is a  $k$ -vector space and  $\alpha(ab) = a(\alpha b) = (ab)\alpha$  for any  $\alpha \in k$  and  $a, b \in \Lambda$ .  $\Lambda$  is finite dimensional if  $\dim_k(\Lambda) < \infty$ .

In other words, a  $k$ -algebra is a ring with a further structure of  $k$ -vector space, compatible with the ring structure.

**Remark:** An element  $\alpha \in k$  can be identified with an element of  $\Lambda$  by means of the embedding  $k \rightarrow \Lambda, \alpha \mapsto \alpha \cdot 1$ . Thanks to this identification, we get that  $k \leq \Lambda$ .

**Examples:** Let  $k$  be a field.

1. The ring  $M_n(k)$  is a finite dimensional  $k$ -algebra with  $\dim_k(M_n(k)) = n^2$ . Any element  $\alpha \in k$  is identified with the diagonal matrix with  $\alpha$  on the diagonal elements.

2. The ring  $k[x]$  is a  $k$ -algebra, it is not finite dimensional.
3. Given a finite group  $G = \{g_1, \dots, g_n\}$ , let  $kG$  be the  $k$ -vector space with basis  $\{g_1, \dots, g_n\}$  and multiplication given by  $(\sum_{i=1}^n \alpha_i g_i) \cdot (\sum_{j=1}^n \beta_j g_j) = \sum_{i,j=1}^n \alpha_i \beta_j g_i g_j$ . Then  $kG$  is a finite dimensional  $k$ -algebra, called the *group algebra* of  $G$  over  $k$ .

### 1.3 Quivers and path algebras

**Definition.** A *quiver*  $Q = \{Q_0, Q_1\}$  is an oriented graph where  $Q_0$  is the set of vertices and  $Q_1$  is the set of arrows  $i \xrightarrow{\alpha} j$  between the vertices. If  $Q_0$  and  $Q_1$  are finite sets, then  $Q$  is called a *finite quiver*.

**Examples:**  $\mathbb{A}_n: \bullet_1 \xrightarrow{\alpha_1} \bullet_2 \xrightarrow{\alpha_2} \bullet_3 \dots \bullet_n \xrightarrow{\alpha_{n-1}} \bullet_n$ , or  $\bullet \xrightarrow{\alpha} \bullet$ , or  $\bullet \rightrightarrows \bullet$

**Definition.** Let  $Q = \{Q_0, Q_1\}$  be a finite quiver.

- (1) An ordered sequence of arrows  $\bullet_i \xrightarrow{\alpha_1} \bullet \xrightarrow{\alpha_2} \bullet \dots \bullet \xrightarrow{\alpha_n} \bullet_j$ , denoted by  $(i|\alpha_1, \dots, \alpha_n|j)$ , is called a *path* in  $Q$ . A path  $(i|\alpha_1, \dots, \alpha_n|i)$  starting and ending in the same vertex is called an *oriented cycle*. For each vertex  $i$  there is the *trivial (or lazy) path*  $e_i = (i|i)$ .
- (2) For a field  $k$ , let  $kQ$  be the  $k$ -vector space having the paths of  $Q$  as  $k$ -basis. We now define an algebra structure on  $kQ$ . Hereby, the multiplication of two paths  $p$  and  $p'$  with the end point of  $p'$  coinciding with the starting point of  $p$  will correspond to the composition of arrows.

For paths  $p' = (k|\beta_1, \dots, \beta_m|l)$ , and  $p = (i|\alpha_1, \dots, \alpha_n|j)$  of  $Q$  we set

$$p \cdot p' = \begin{cases} (k|\beta_1, \dots, \beta_m, \alpha_1, \dots, \alpha_n|j) & \text{if } l = i \\ 0 & \text{else.} \end{cases}$$

In particular, the trivial paths satisfy  $p \cdot e_i = e_j \cdot p = p$  and

$$e_i \cdot e_j = \begin{cases} e_i & \text{if } i = j \\ 0 & \text{else} \end{cases}$$

and the unity is given by  $1_{kQ} = \sum_{i \in Q_0} e_i$ . The algebra  $kQ$  is called the *path algebra* of  $Q$  over  $k$ . It is finite dimensional if and only if  $Q$  has no oriented cycles.

We simplify the notation and write  $\alpha_n \dots \alpha_1 = (i|\alpha_1, \dots, \alpha_n|j)$ .

**Examples:**

$$(1) k\mathbb{A}_n \text{ is isomorphic to } \begin{pmatrix} k & & 0 \\ \vdots & \ddots & \\ k & \dots & k \end{pmatrix}.$$

In fact, the only paths in  $\mathbb{A}_n$  are the trivial paths and the paths  $\alpha_{j-1} \dots \alpha_i = (i \mid \alpha_i \alpha_{i+1} \dots \alpha_{j-1} \mid j)$  for  $1 \leq i < j \leq n$ . So, if  $E_{ji}$  is the  $n \times n$ -matrix with 1 in the  $i$ -th entry of the  $j$ -th row and zero elsewhere, we obtain the desired isomorphism by mapping  $e_i \mapsto E_{ii}$ , and  $\alpha_{j-1} \dots \alpha_i \mapsto E_{ji}$  for  $1 \leq i < j \leq n$ .

(2) The path algebra of the quiver  $\bullet \xrightarrow{\alpha} \bullet$  is isomorphic to  $k[x]$  via the assignment  $e_1 \mapsto 1$ , and  $\alpha \mapsto x$ .

(3) The path algebra of the quiver  $\bullet \xrightleftharpoons[\beta]{\alpha} \bullet$  is called *Kronecker algebra*.

It is isomorphic to the triangular matrix ring  $\begin{pmatrix} k & 0 \\ k^2 & k \end{pmatrix}$  via the assignment  $e_1 \mapsto \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$ ,  $e_2 \mapsto \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}$ ,  $\alpha \mapsto \begin{pmatrix} 0 & 0 \\ (1,0) & 0 \end{pmatrix}$ ,  $\beta \mapsto \begin{pmatrix} 0 & 0 \\ (0,1) & 0 \end{pmatrix}$

## 2 MODULES

### 2.1 Left and right modules

**Definition:** A *left  $R$ -module* is an abelian group  $M$  together with a map  $R \times M \rightarrow M$ ,  $(r, m) \mapsto rm$ , such that for any  $r, s \in R$  and any  $x, y \in M$

$$(L1) \quad 1x = x$$

$$(L2) \quad (rs)x = r(sx)$$

$$(L3) \quad r(x + y) = rx + ry$$

$$(L4) \quad (r + s)x = rx + sx$$

We write  ${}_R M$  to express that  $M$  is a left  $R$ -module.

**Examples:**

1. Any abelian group  $G$  is a left  $\mathbb{Z}$ -module by defining  $nx = \underbrace{x + \dots + x}_{n \text{ times}}$  for  $x \in G$  and  $n > 0$ , and correspondingly for  $n \leq 0$ .
2. Given a field  $k$ , any vector space  $V$  over  $k$  is a left  $k$ -module.
3. Any ring  $R$  is a left  $R$ -module, by using the left multiplication of  $R$  on itself. It is called the *regular* module.

4. Consider the zero element of the ring  $R$ . Then the abelian group  $\{0\}$  is trivially a left  $R$ -module.

**Remark.** Consider  $M$  an abelian group with endomorphism ring  $\text{End } M$ . Every ring homomorphism  $\lambda : R \rightarrow \text{End } M$ ,  $r \mapsto \lambda(r)$  gives a structure of left  $R$ -module on  $M$ . Indeed, from the properties of ring homomorphisms it follows that for any  $r, s \in R$  and  $x, y \in M$

1.  $\lambda(1)(x) = x$
2.  $\lambda(rs)(x) = \lambda(r)(\lambda(s)(x))$
3.  $\lambda(r)(x + y) = \lambda(r)(x) + \lambda(r)(y)$
4.  $\lambda(r + s)(x) = \lambda(r)(x) + \lambda(s)(x)$

in other words, we can consider  $\lambda(r)$  acting on the elements of  $M$  as a left multiplication by the element  $r \in R$ , and we can define  $rx := \lambda(r)(x)$ . Conversely, to any left  $R$ -module  $M$ , we can associate a ring homomorphism  $\lambda : R \rightarrow \text{End } M$  by defining  $\lambda(r) : M \rightarrow M$ ,  $x \mapsto rx$ .

Similarly, we define right  $R$ -modules:

**Definition:** A *right  $R$ -module* is an abelian group  $M$  together with a map  $M \times R \rightarrow M$ ,  $(m, r) \mapsto mr$ , such that for any  $r, s \in R$  and any  $x, y \in M$

- (R1)  $x1 = x$
- (R2)  $x(rs) = (xr)s$
- (R3)  $(x + y)r = xr + yr$
- (R4)  $x(r + s) = xr + xs$

We write  $M_R$  to express that  $M$  is a right  $R$ -module.

**Remark** (1) If  $R$  is a commutative ring, then left  $R$ -modules and right  $R$ -modules coincide. Indeed, given a left  $R$ -module  $M$  with the map  $R \times M \rightarrow M$   $(r, m) \mapsto rm$ , we can define a map  $M \times R \rightarrow M$   $(m, r) \mapsto mr := rm$ . This map satisfies the axioms (R1)–(R4) and so  $M$  is also a right  $R$ -module. The crucial point is that, in the second axiom, since  $R$  is commutative we have  $x(rs) = (rs)x = (sr)x = s(rx) = (rx)s = (xr)s$ .

(2) Consider  $M$  an abelian group with endomorphism ring  $\text{End } M$ . Every ring homomorphism  $\rho : R \rightarrow (\text{End } M)^{op}$ ,  $r \mapsto \rho(r)$  gives a structure of right  $R$ -module on  $M$ , and conversely, to any right  $R$ -module  $M$ , we can associate a ring homomorphism  $\rho : R \rightarrow (\text{End } M)^{op}$  by defining  $\rho(r) : M \rightarrow M$ ,  $x \mapsto xr$  (check!).

We will mainly deal with left modules. So, in the following, unless otherwise is stated, with *module* we always mean *left module*.

**Remark.** Given  ${}_R M$ , for any  $x \in M$  and  $r \in R$ , we have

1.  $r0 = 0$
2.  $0x = 0$
3.  $r(-x) = (-r)x = -(rx)$

## 2.2 Submodules and quotient modules

**Definition:** Let  ${}_R M$  be a left  $R$ -module. A subset  $L$  of  $M$  is a *submodule* of  $M$  if  $L$  is a subgroup of  $M$  and  $rx \in L$  for any  $r \in R$  and  $x \in L$  (i.e.  $L$  is a left  $R$ -module under operations inherited from  $M$ ). We write  $L \leq M$ .

**Examples:**

1. Let  $G$  be a  $\mathbb{Z}$ -module. The submodules of  $G$  are exactly the subgroups of  $G$ .
2. Let  $k$  a field and  $V$  a  $k$ -module. The submodules of  $V$  are exactly the  $k$ -subspaces of  $V$ .
3. Let  $R$  a ring. The submodules of the left  $R$ -module  ${}_R R$  are the left ideals of  $R$ . The submodules of the right  $R$ -module  $R_R$  are the right ideals of  $R$ .

**Definition:** Let  ${}_R M$  be a left  $R$ -module and  $L \leq M$ . The *quotient module*  $M/L$  is the quotient abelian group together with the map  $R \times M/L \rightarrow M/L$  given by  $(r, \bar{x}) \mapsto \overline{rx}$  (indeed, the map  $R \times M/L \rightarrow M/L$  given by  $(r, \bar{x}) \mapsto \overline{rx}$  is well-defined, since if  $\bar{x} = \bar{y}$  then  $x - y \in L$  and hence  $rx - ry = r(x - y) \in L$ , that is,  $\overline{rx} = \overline{ry}$ ).

## 2.3 Homomorphisms of modules

**Definition:** Let  ${}_R M$  and  ${}_R N$  be  $R$ -modules. A map  $f : M \rightarrow N$  is a *homomorphism* if  $f(rx + sy) = rf(x) + sf(y)$  for any  $x, y \in M$  and  $r, s \in R$ .

**Remarks:** (1) From the definition it follows that  $f(0) = 0$ .

(2) Clearly if  $f$  and  $g$  are homomorphisms from  $M$  to  $N$ , also  $f + g$  is a homomorphism. Since the zero map is obviously a homomorphism, the set  $\text{Hom}_R(M, N) = \{f \mid f : M \rightarrow N \text{ is a homomorphism}\}$  is an abelian group.

(3) If  $f : M \rightarrow N$  and  $g : N \rightarrow L$  are homomorphisms, then  $gf : M \rightarrow L$  is a homomorphism. Thus the abelian group  $\text{End}_R(M) = \{f \mid f : M \rightarrow M \text{ is a homomorphism}\}$  has a natural structure of ring, called the *endomorphism ring* of  $M$ . The identity homomorphism  $\text{id}_M : M \rightarrow M$ ,  $m \mapsto m$ , is the unity of the ring.

**Definition:** Given a homomorphism  $f \in \text{Hom}_R(M, N)$ , the *kernel* of  $f$  is the set  $\text{Ker } f = \{x \in M \mid f(x) = 0\}$ . The *image* of  $f$  is the set  $\text{Im } f = \{y \in N \mid y = f(x) \text{ for } x \in M\}$ . It is easy to verify that  $\text{Ker } f \leq M$  and  $\text{Im } f \leq N$ . Thus we can define the *cokernel* of  $f$  as the quotient module  $\text{Coker } f = N/\text{Im } f$ .

A homomorphism  $f \in \text{Hom}_R(M, N)$  is called a *monomorphism* if it is injective, that is,  $\text{Ker } f = 0$ . It is called an *epimorphism* if it is surjective, that is,  $\text{Coker } f = 0$ . It is called an *isomorphism* if it is both a monomorphism and an epimorphism. If  $f$  is an isomorphism we write  $M \cong N$ .

**Remarks:** (1) For any submodule  $L \leq M$  there is a canonical monomorphism  $i : L \rightarrow M$ , which is the usual inclusion, and a canonical epimorphism  $p : M \rightarrow M/L$ ,  $m \mapsto \bar{m}$  which is the usual quotient map.

(2) For any  $M$  the trivial map  $0 \rightarrow M$ ,  $0 \mapsto 0$ , is a monomorphism, and the trivial map  $M \rightarrow 0$ ,  $m \mapsto 0$ , is an epimorphism.

(3) Of course,  $f \in \text{Hom}_R(M, N)$  is an isomorphism if and only if there exist  $g \in \text{Hom}_R(N, M)$  such that  $gf = \text{id}_M$  and  $fg = \text{id}_N$ . In such a case  $g$  is unique, and we usually denote it as  $f^{-1}$ .

## 2.4 Homomorphism theorems

**Proposition 2.4.1. (Factorization of homomorphisms)** *Given  $f \in \text{Hom}_R(M, N)$  and a submodule  $L \leq M$  which is contained in  $\text{Ker } f$ , there is a unique homomorphism  $\bar{f} \in \text{Hom}_R(M/L, N)$  such that  $\bar{f}p = f$ . We have  $\text{Ker } \bar{f} = \text{Ker } f/L$  and  $\text{Im } \bar{f} = \text{Im } f$ .*

*In particular,  $f$  induces an isomorphism  $M/\text{Ker } f \cong \text{Im } f$ .*

*Proof.* The induced map  $\bar{f} : M/L \rightarrow N$ ,  $\bar{m} \mapsto f(m)$  is a homomorphism. Moreover, when  $L = \text{Ker } f$  it is clearly a monomorphism, inducing an isomorphism  $M/\text{Ker } f \rightarrow \text{Im } f$ .  $\square$

The usual isomorphism theorems which hold for groups hold also for homomorphisms of modules.

**Proposition 2.4.2. ( Isomorphism theorems)** (1) *If  $L \leq N \leq M$ , then*

$$(M/L)/(N/L) \cong M/N.$$

(2) *If  $L, N \leq M$ , denote by  $L + N = \{m \in M \mid m = l + n \text{ for } l \in L \text{ and } n \in N\}$ . Then  $L + N$  is a submodule of  $M$  and*

$$(L + N)/N \cong L/(N \cap L).$$

## 2.5 Bimodules

**Definition:** Let  $R$  and  $S$  be rings. An abelian group  $M$  is an  $R$ - $S$ -bimodule if  $M$  is a left  $R$ -module and a right  $S$ -module such that the two scalar multiplications satisfy  $r(xs) = (rx)s$  for any  $r \in R$ ,  $s \in S$ ,  $x \in M$ . We write  ${}_R M_S$ .

**Examples:** Let  ${}_R M$  be a left  $R$ -module. Then  $M$  is a right  $\text{End}_R(M)^{op}$ -module via the multiplication  $mf = f(m)$  (check!) and we have a bimodule

$${}_R M_{\text{End}_R(M)^{op}}.$$

Indeed  $(rm)f = f(rm) = rf(m) = r(mf)$  for any  $r \in R$ ,  $m \in M$  and  $f \in S$ .

Given a bimodule  ${}_R M_S$  and a left  $R$ -module  $N$ , the abelian group  $\text{Hom}_R(M, N)$  is naturally endowed with a structure of left  $S$ -module, by defining  $(sf)(x) := f(xs)$  for any  $f \in \text{Hom}_R(M, N)$  and any  $x \in M$ . (crucial point:  $(s_1(s_2f))(x) = (s_2f(xs_1)) = f(xs_1s_2) = ((s_1s_2)f)(x)$ ).

Similarly, if  ${}_R N_T$  is a left  $R$ -right  $T$ -bimodule and  ${}_R M$  is a left  $R$ -module, then  $\text{Hom}_R(M, N)$  is naturally endowed with a structure of right  $T$ -module, by defining  $(ft)(x) := f(x)t$  (Check! crucial point:  $(f(t_1t_2))(x) = f(x)(t_1t_2) = (f(x))t_1t_2 = ((ft_1)(x))t_2 = ((ft_1)t_2)(x)$ ). Moreover, if  ${}_R M_S$  and  ${}_R N_T$  are bimodules, we have an  $S$ - $T$ -bimodule (check!)

$${}_S \text{Hom}_R({}_R M_S, {}_R N_T)_T.$$

Arguing in a similar way for right  $R$ -modules, if  ${}_S M_R$  and  ${}_T N_R$  are bimodules, we have an  $T$ - $S$ -bimodule

$${}_T \text{Hom}_R({}_S M_R, {}_T N_R)_S$$

via  $(tf)(x) = t(f(x))$  and  $(fs)(x) = f(sx)$ .

## 2.6 Sums and products of modules

Let  $I$  be a set and  $\{M_i\}_{i \in I}$  a family of  $R$ -modules. The cartesian product

$$\prod_I M_i = \{(x_i) \mid x_i \in M_i\}$$

has a natural structure of left  $R$ -module, by defining the operations componentwise:

$$(x_i)_{i \in I} + (y_i)_{i \in I} = (x_i + y_i)_{i \in I}, \quad r(x_i)_{i \in I} = (rx_i)_{i \in I}.$$

This module is called the *direct product* of the modules  $M_i$ . It contains a submodule

$$\bigoplus_I M_i = \{(x_i) \mid x_i \in M_i \text{ and } x_i = 0 \text{ for almost all } i \in I\}$$



(recall that "almost all" means "except for a finite number"). The module  $\bigoplus_I M_i$  is called the *direct sum* of the modules  $M_i$ . Clearly if  $I$  is a finite set then  $\prod_I M_i = \{(x_i) \mid x_i \in M_i\} = \bigoplus_I M_i$ . For any component  $j \in I$  there are canonical homomorphisms

$$\prod_I M_i \rightarrow M_j, (x_i)_{i \in I} \mapsto x_j \quad \text{and} \quad M_j \rightarrow \prod_I M_i, x_j \mapsto (0, 0, \dots, x_j, 0, \dots, 0)$$

called the *projection* on the  $j^{\text{th}}$ -component and the *injection* of the  $j^{\text{th}}$ -component. They are epimorphisms and monomorphisms, respectively, for any  $j \in I$ . The same is true for  $\bigoplus_I M_i$ .

When  $M_i = M$  for any  $i \in I$ , we use the following notations

$$\prod_I M_i = M^I, \quad \bigoplus_I M_i = M^{(I)}, \quad \text{and if } I = \{1, \dots, n\}, \quad \bigoplus_I M_i = M^n$$

Let  ${}_R M$  be a module and  $\{M_i\}_{i \in I}$  a family of submodules of  $M$ . We define the *sum* of the  $M_i$  as the module

$$\sum_I M_i = \left\{ \sum_{i \in I} x_i \mid x_i \in M_i \text{ and } x_i = 0 \text{ for almost all } i \in I \right\}.$$

Clearly  $\sum_I M_i \leq M$  and it is the smallest submodule of  $M$  containing all the  $M_i$  (notice that in the definition of  $\sum_I M_i$  we need almost all the components to be zero in order to define properly the sum of elements of  $M$ ).

**Remark 2.6.1.** Let  ${}_R M$  be a module and  $\{M_i\}_{i \in I}$  a family of submodules of  $M$ . Following the previous definitions we can construct both the module  $\bigoplus_I M_i$  and module  $\sum_I M_i$  (which is a submodule of  $M$ ). We can define a homomorphism

$$\alpha : \bigoplus_I M_i \rightarrow M, \quad (x_i)_{i \in I} \mapsto \sum_{i \in I} x_i.$$

Then  $\text{Im } \alpha = \sum_I M_i$ . If  $\alpha$  is a monomorphism, then  $\bigoplus_I M_i \cong \sum_I M_i$  and we say that the module  $\sum_I M_i$  is the (*inner*) *direct sum* of its submodules  $M_i$ . Often we omit the word "inner" and if  $M = \sum_I M_i$  and  $\alpha$  is an isomorphism, we say that  $M$  is the direct sum of the submodules  $M_i$  and we write  $M = \bigoplus_I M_i$ .

Similarly, given a family of modules  $\{M_i\}_{i \in I}$  with the (outer) direct sum  $M = \bigoplus_I M_i$ , we can identify the  $M_i$  with their images under the injection in  $M$  and view  $M$  as an (inner) direct sum of these submodules.

## 2.7 Direct summands

**Definition:** (1) A submodule  ${}_R L \leq {}_R M$  is a *direct summand* of  $M$  if there exists a submodule  ${}_R N \leq {}_R M$  such that  $M$  is the direct sum of  $L$  and  $N$ . Then  $N$  is called a *complement* of  $L$ .

(2) A module  $M$  is said to be *indecomposable* if it only has the trivial direct summands  $0$  and  $M$ .

By the results in the previous section, if  $L$  is a direct summand of  $M$  and  $N$  a complement of  $L$ , any  $m$  in  $M$  can be written in a unique way as  $m = l + n$  with  $l \in L$  and  $n \in N$ .

We write  $M = L \oplus N$  and  $L \overset{\oplus}{\leq} M$ .

**Remark 2.7.1.** (1) Let  ${}_R L, {}_R N \leq {}_R M$ . Then  $M = L \oplus N$  if and only if  $L + N = M$  and  $L \cap N = 0$ .

(2) Let  $f \in \text{Hom}_R(L, M)$  and  $g \in \text{Hom}_R(M, L)$  be homomorphisms such that  $gf = \text{id}_L$ . Then  $M = \text{Im } f \oplus \ker g$ .

### Examples:

1. Consider the  $\mathbb{Z}$ -module  $\mathbb{Z}/6\mathbb{Z}$ . Then  $\mathbb{Z}/6\mathbb{Z} = 3\mathbb{Z}/6\mathbb{Z} \oplus 2\mathbb{Z}/6\mathbb{Z}$ .
2. The regular module  ${}_Z \mathbb{Z}$  is indecomposable.
3. Let  $k$  be a field and  $V$  a  $k$ -module. Then, by a well-known result of linear algebra, any  $L \leq V$  is a direct summand of  $V$ .
4. Let  $R = \begin{pmatrix} k & 0 \\ k & k \end{pmatrix}$ . Then  $R = P_1 \oplus P_2$ , where  $P_1 = \left\{ \begin{pmatrix} a & 0 \\ b & 0 \end{pmatrix} \mid a, b \in k \right\}$  and  $P_2 = \left\{ \begin{pmatrix} 0 & 0 \\ 0 & c \end{pmatrix} \mid c \in k \right\}$ .

## 2.8 Representations of quivers

**Definition.** Let  $Q$  be a finite quiver without oriented cycles,  $k$  a field, and let  $\Lambda = kQ$ .

(1) A (*finite dimensional*) *representation*  $V$  of  $Q$  over  $k$  is given by a family of (finite dimensional)  $k$ -vector spaces  $(V_i)_{i \in Q_0}$  indexed by the vertices of  $Q$  and a family of  $k$ -homomorphisms  $(f_\alpha : V_i \rightarrow V_j)_{i \rightarrow j \in Q_1}$  indexed by the arrows of  $Q$ .

(2) Given two representations  $V$  and  $V'$  of  $Q$  over  $k$ , a morphism  $h : V \rightarrow V'$  is given by a family of  $k$ -homomorphism  $(h_i : V_i \rightarrow V'_i)_{i \in Q_0}$  such that the diagram

$$\begin{array}{ccc} V_i & \xrightarrow{f_\alpha} & V_j \\ h_i \downarrow & & \downarrow h_j \\ V'_i & \xrightarrow{f'_\alpha} & V'_j \end{array}$$

commutes for all arrows  $i \xrightarrow{\alpha} j \in Q_1$ .

**Remark:** Every representation of a quiver  $Q$  gives rise to a module over the path algebra  $kQ$ , and morphisms of representations give rise to module homomorphisms between the corresponding modules.

Indeed, if  $((V_i)_{i \in Q_0}, (f_\alpha : V_i \rightarrow V_j)_{i \xrightarrow{\alpha} j \in Q_1})$  is a representation, we consider the vector space

$$M := \bigoplus_{i \in Q_0} V_i$$

and we define a left  $kQ$ -module structure on it. For  $v = (v_i)_{i \in Q_0}$ , left multiplication by the lazy path is given by  $e_i \cdot v = (0, \dots, v_i, \dots, 0)$  and multiplication by a path  $p = (i | \alpha_1, \dots, \alpha_n | j)$  yields an element  $p \cdot v$  with  $j$ -th entry  $f_{\alpha_n} \dots f_{\alpha_1}(v_i)$  and all other entries zero.

In other words, denoting by  $\iota_j$  and  $\pi_i$  the canonical injections and projections in the  $j$ -th and on the  $i$ -th component, respectively, we have for the lazy paths

$$e_i \cdot v = \iota_i \pi_i(v)$$

and for  $p = (i | \alpha_1, \dots, \alpha_n | j)$

$$p \cdot v = \iota_j f_{\alpha_n} \dots f_{\alpha_1} \pi_i(v).$$

Multiplication with an arbitrary linear combination of paths is defined correspondingly.

Conversely, every  $kQ$ -module gives rise to a representation, and module homomorphisms give rise to morphisms between the corresponding representations.

Indeed, if  $M$  is a left  $kQ$ -module, we set

$$V_i = e_i M$$

to get a family of vector spaces indexed over  $Q_0$ . Moreover, given an arrow  $i \xrightarrow{\alpha} j$ , we define a linear map

$$f_\alpha : e_i M \rightarrow e_j M, e_i m \mapsto e_j \alpha e_i m.$$

In this way we obtain a representation  $((V_i)_{i \in Q_0}, (f_\alpha : V_i \rightarrow V_j)_{i \xrightarrow{\alpha} j \in Q_1})$  of  $Q$ .

The correspondence between modules and representations will be made more precise later.

**Examples:** (1) A representation of  $\mathbb{A}_2 : 1 \xrightarrow{\alpha} 2$  has the form  $V_1 \xrightarrow{f} V_2$  with  $k$ -vector spaces  $V_1, V_2$  and a  $k$ -linear map  $f : V_1 \rightarrow V_2$ . The corresponding  $k\mathbb{A}_2$ -module is given by the vector space  $M = V_1 \oplus V_2$  and the multiplication

$$e_1 \cdot (v_1, v_2) = (v_1, 0)$$

$$e_2 \cdot (v_1, v_2) = (0, v_2)$$

$$\alpha \cdot (v_1, v_2) = (0, f(v_1)).$$

Every finite dimensional representation corresponds to a matrix  $A \in k^{n_2 \times n_1}$  where  $n_i = \dim_k(V_i)$ , and homomorphisms between two such representations, in terms of matrices  $A$  and  $A'$ , are given by two matrices  $P, Q$  such that  $PA = A'Q$ . The representations are thus isomorphic if and only if there are matrices  $P \in GL_{n_2}(K)$  and  $Q \in GL_{n_1}(K)$  such that  $A' = PAQ^{-1}$ .

(2) A representation of the quiver  $\bullet \begin{array}{c} \xrightarrow{\alpha} \\ \xrightarrow{\beta} \end{array} \bullet$  has the form  $V_1 \begin{array}{c} \xrightarrow{f_\alpha} \\ \xrightarrow{f_\beta} \end{array} V_2$  where  $V_1, V_2$  are  $k$ -vectorspaces and  $f_\alpha, f_\beta : V_1 \rightarrow V_2$  are  $k$ -linear. In other words, every finite dimensional representation of  $\bullet \begin{array}{c} \xrightarrow{\alpha} \\ \xrightarrow{\beta} \end{array} \bullet$  corresponds to a pair of matrices  $(A, B)$  with  $A, B \in k^{n_2 \times n_1}$  and  $n_1, n_2 \in \mathbb{N}_0$ .

Moreover, isomorphism of two representations, in terms of matrix pairs  $(A, B)$  and  $(A', B')$  corresponds to the existence of two invertible matrices  $P \in GL_n(K)$  and  $Q \in GL_m(K)$  such that  $A' = PAQ^{-1}$  and  $B' = PBQ^{-1}$ . So, the classification of the finite dimensional representations of  $\bullet \begin{array}{c} \xrightarrow{\alpha} \\ \xrightarrow{\beta} \end{array} \bullet$  translates into the classification problem of “matrix pencils” considered by Kronecker in [?].

(3) A representation of  $Q : \bullet \begin{array}{c} \curvearrowright \\ \curvearrowleft \end{array} \alpha$  is given as  $(V, f)$  with a vectorspace  $V$  and a linear map  $f$ . It corresponds to a module over the ring  $k[x]$ . Indeed, if  $M$  is a  $k[x]$ -module, then we obtain a representation of  $Q$  by setting  $V = M$  and  $f : M \rightarrow M, m \mapsto xm$ .

### 3 PROJECTIVE MODULES, INJECTIVE MODULES

#### 3.1 Exact sequences

**Definition:** A sequence of homomorphisms of  $R$ -modules

$$\cdots \rightarrow M_{i-1} \xrightarrow{f_{i-1}} M_i \xrightarrow{f_i} M_{i+1} \xrightarrow{f_{i+1}} \cdots$$

is called *exact* if  $\text{Ker } f_i = \text{Im } f_{i-1}$  for any  $i$ .

An exact sequence of the form  $0 \rightarrow M_1 \rightarrow M_2 \rightarrow M_3 \rightarrow 0$  is called a *short exact sequence*

Observe that if  $L \leq M$ , then the sequence  $0 \rightarrow L \xrightarrow{i} M \xrightarrow{p} M/L \rightarrow 0$ , where  $i$  and  $p$  are the canonical inclusion and quotient homomorphisms, is short exact (Check!). Conversely, if  $0 \rightarrow M_1 \xrightarrow{f} M_2 \xrightarrow{g} M_3 \rightarrow 0$  is a short exact sequence, then  $f$  is a monomorphism,  $g$  is an epimorphism, and  $M_3 \cong \text{Coker } f$  (check!).

**Example 3.1.1.** (1) Consider the representations  $0 \xrightarrow{0} K$ ,  $K \xrightarrow{1} K$ , and  $K \xrightarrow{0} 0$  of  $\mathbb{A}_2$  together with the morphisms

$$\begin{array}{ccc} 0 & \xrightarrow{0} & K \\ \downarrow & & \downarrow 1 \\ K & \xrightarrow{1} & K \end{array}$$

and

$$\begin{array}{ccc} K & \xrightarrow{1} & K \\ \downarrow 1 & & \downarrow 0 \\ K & \xrightarrow{0} & 0 \end{array}$$

They correspond to modules  $M_1, M_2, M_3$  over  $k\mathbb{A}_2$  and to homomorphisms  $f : M_1 \rightarrow M_2$  and  $g : M_2 \rightarrow M_3$  giving rise to a short exact sequence  $0 \rightarrow M_1 \xrightarrow{f} M_2 \xrightarrow{g} M_3 \rightarrow 0$ .

(2) For any  $n \geq 2$  consider the short exact sequence  $0 \rightarrow \mathbb{Z} \xrightarrow{n} \mathbb{Z} \rightarrow \mathbb{Z}/n\mathbb{Z} \rightarrow 0$ .

The following result is very useful:

**Proposition 3.1.2.** *Consider the commutative diagram with exact rows*

$$\begin{array}{ccccccccc} 0 & \longrightarrow & L & \xrightarrow{f} & M & \xrightarrow{g} & N & \longrightarrow & 0 \\ & & \downarrow \alpha & & \downarrow \beta & & \downarrow \gamma & & \\ 0 & \longrightarrow & L' & \xrightarrow{f'} & M' & \xrightarrow{g'} & N' & \longrightarrow & 0 \end{array}$$

*If  $\alpha$  and  $\gamma$  are monomorphisms (epimorphisms, or isomorphisms, respectively), so is  $\beta$*

*Proof.* (1) Suppose  $\alpha$  and  $\gamma$  are monomorphisms and let  $m$  such that  $\beta(m) = 0$ . Then  $\gamma(g(m)) = 0$  and so  $m \in \text{Ker } g = \text{Im } f$ . Hence  $m = f(l)$ ,  $l \in L$  and  $\beta(m) = \beta(f(l)) = f'(\alpha(l)) = 0$ . Since  $f'$  and  $\alpha$  are monomorphism, we conclude  $l = 0$  and so  $m = 0$ .

(2) Suppose  $\alpha$  and  $\gamma$  are epimorphisms and let  $m' \in M'$ . Then  $g'(m') = \gamma(g(m)) = g'(\beta(m))$ ; hence  $m' - \beta(m) \in \text{Ker } g' = \text{Im } f'$  and so  $m' - \beta(m) = f'(l')$ ,  $l' \in L'$ . Let  $l \in L$  such that  $l' = \alpha(l)$ : then  $m' - \beta(m) = f'(\alpha(l)) = \beta(f(l))$  and so we conclude  $m' = \beta(m - f(l))$ .  $\square$

### 3.2 Split exact sequences

If  $L$  and  $N$  are  $R$ -modules, there is a short exact sequence

$$0 \rightarrow L \xrightarrow{i_L} L \oplus N \xrightarrow{\pi_N} N \rightarrow 0, \text{ with } i_L(l) = (l, 0) \quad \pi_N(l, n) = n, \text{ for any } l \in L, n \in N.$$

More generally:

**Definition:** A short exact sequence  $0 \rightarrow L \xrightarrow{f} M \xrightarrow{g} N \rightarrow 0$  is said to be *split exact* if there is an isomorphism  $M \cong L \oplus N$  such that the diagram

$$\begin{array}{ccccccccc} 0 & \longrightarrow & L & \xrightarrow{f} & M & \xrightarrow{g} & N & \longrightarrow & 0 \\ & & \parallel & & \cong \downarrow \alpha & & \parallel & & \\ 0 & \longrightarrow & L & \xrightarrow{i_L} & L \oplus N & \xrightarrow{\pi_N} & N & \longrightarrow & 0 \end{array}$$

commutes. Then  $f$  is a *split monomorphism* and  $g$  a *split epimorphism*.

**Proposition 3.2.1.** *The following properties of an exact sequence  $0 \rightarrow L \xrightarrow{f} M \xrightarrow{g} N \rightarrow 0$  are equivalent:*

1. *the sequence is split*
2. *there exists a homomorphism  $\varphi : M \rightarrow L$  such that  $\varphi f = \text{id}_L$*
3. *there exists a homomorphism  $\psi : N \rightarrow M$  such that  $g\psi = \text{id}_N$*

*Under these conditions,  $L$  and  $N$  are isomorphic to direct summands of  $M$ .*

*Proof.* 1  $\Rightarrow$  2. Since the sequence splits, then there exists  $\alpha$  as in Definition 3.2. Let  $\varphi = \pi_L \circ \alpha$ . So for any  $l \in L$  we have  $\varphi f(l) = \pi_L \alpha f(l) = \pi_L(l, 0) = l$ .

1  $\Rightarrow$  3 Similar (Check!)

2  $\Rightarrow$  1. Define  $\alpha : M \rightarrow L \oplus N$ ,  $m \mapsto (\varphi(m), g(m))$ . Since  $\alpha f(l) = (\varphi(f(l)), g(f(l))) = (l, 0)$  and  $\pi_N \alpha(m) = g(m)$  we get that the diagram

$$\begin{array}{ccccccccc} 0 & \longrightarrow & L & \xrightarrow{f} & M & \xrightarrow{g} & N & \longrightarrow & 0 \\ & & \parallel & & \downarrow \alpha & & \parallel & & \\ 0 & \longrightarrow & L & \xrightarrow{i_L} & L \oplus N & \xrightarrow{\pi_N} & N & \longrightarrow & 0 \end{array}$$

commutes. Finally, by Proposition 3.1.2, we conclude that  $\alpha$  is an isomorphism.

2  $\Rightarrow$  3 Similar (check!)  $\square$

**Example.** The short exact sequence in Example 3.1.1 is not a split exact sequence.

### 3.3 Free modules and finitely generated modules

**Definition:** A module  ${}_R M$  is said to be *generated* by a family  $\{x_i\}_{i \in I}$  of elements of  $M$  if every  $x \in M$  can be written as  $x = \sum_I r_i x_i$ , with  $r_i \in R$  for any  $i \in I$ , and  $r_i = 0$  for almost every  $i \in I$ . Then  $\{x_i\}_{i \in I}$  is called a set of *generators* of  $M$  and we write  $M = \langle x_i, i \in I \rangle$ .

If the coefficients  $r_i$  are uniquely determined by  $x$ , the set  $\{x_i\}_{i \in I}$  is called a *basis* of  $M$ . The module  $M$  is said to be *free* if it admits a basis.

**Proposition 3.3.1.** *A module  ${}_R M$  is free if and only if  $M \cong R^{(I)}$  for some set  $I$ .*

*Proof.* The module  $R^{(I)}$  is free with basis  $(e_i)_{i \in I}$ , where  $e_i$  is the canonical vector with all components zero except for the  $i$ -th equal to 1.

Conversely if  $M$  is free with basis  $(x_i)_{i \in I}$ , then we can define a homomorphism  $\alpha : R^{(I)} \rightarrow M$ ,  $(r_i)_{i \in I} \mapsto \sum_I r_i x_i$ . It is easy to show that  $\alpha$  is an isomorphism, as a consequence of the definition of a basis: indeed, it is clearly an epimorphism and if  $\alpha(r_i) = \sum r_i x_i = 0$ , since the  $r_i$  are uniquely determined by 0, we conclude that  $r_i = 0$  for all  $i$ , i.e.  $\alpha$  is a monomorphism.  $\square$

Given a free module  $M$  with basis  $(x_i)_I$ , every homomorphism  $f : M \rightarrow N$  is uniquely determined by its value on the  $x_i$ , and the elements  $f(x_i)$  can be chosen arbitrarily in  $N$ . Indeed, once we choose the  $f(x_i)$ , we define  $f$  on  $x = \sum r_i x_i \in M$  as  $f(x) = \sum r_i f(x_i)$  (which is well defined since  $(x_i)_{i \in I}$  is a basis - notice the analogy with vector spaces!).

**Proposition 3.3.2.** *Any module is quotient of a free module.*

*Proof.* Let  $M$  be an  $R$ -module. Since we can always choose  $I = M$ , the module  $M$  admits a set of generators. Let  $(x_i)_{i \in I}$  a set of generators for  $M$  and define a homomorphism  $\alpha : R^{(I)} \rightarrow M$ ,  $(r_i)_{i \in I} \mapsto \sum_i r_i x_i$ . Clearly  $\alpha$  is an epimorphism and so  $M \cong R^{(I)} / \text{Ker } \alpha$   $\square$

**Definition:** A module  ${}_R M$  is *finitely generated* if there exists a finite set of generators for  $M$ . A module is *cyclic* if it can be generated by a single element.

By Proposition 3.3.2, a module  ${}_R M$  is finitely generated if and only if there exists an epimorphism  $R^n \rightarrow M$  for some  $n \in \mathbb{N}$ . Similarly,  ${}_R M$  is cyclic if and only if  $M \cong R/J$  for a left ideal  $J \leq R$ .

**Example 3.3.3.** Let  $R$  be a ring.

1. The regular module  ${}_R R$  is cyclic, generated by the unity element:  ${}_R R = \langle 1 \rangle$ .
2. Let  $\Lambda$  be a finite dimensional  $k$ -algebra. Then a module  ${}_\Lambda M$  is finitely generated if and only if  $\dim_k(M) < \infty$ .

Indeed, assume  $\dim_k(\Lambda) = n$ , and let  $\{a_1, \dots, a_n\}$  be a  $k$ -basis of  $\Lambda$ .

If  $\{m_1, \dots, m_r\}$  is a set of generators of  $M$  as  $\Lambda$ -module, then one verifies that  $\{a_i m_j\}_{i=1, \dots, n}^{j=1, \dots, r}$  is a set of generators for  $M$  as  $k$ -module.

Conversely, if  $M$  is generated by  $\{m_1, \dots, m_s\}$  as  $k$ -module, since  $k \leq \Lambda$ , one gets that  $M$  is generated by  $\{m_1, \dots, m_s\}$  also as  $\Lambda$ -module.

**Proposition 3.3.4.** *Let  ${}_R L \leq {}_R M$ .*

1. *If  $M$  is finitely generated, then  $M/L$  is finitely generated.*
2. *If  $L$  and  $M/L$  are finitely generated, so is  $M$*

*Proof.* (1) If  $\{x_1, \dots, x_n\}$  is a set of generators for  $M$ , then  $\{\bar{x}_1, \dots, \bar{x}_n\}$  is a set of generators for  $M/L$ .

(2) Let  $\langle x_1, \dots, x_n \rangle = L$  and  $\langle \bar{y}_1, \dots, \bar{y}_m \rangle = M/L$ , where  $x_1, \dots, x_n, y_1, \dots, y_m \in M$ . Let  $x \in M$  and consider  $\bar{x} = \sum_{i=1, \dots, m} r_i \bar{y}_i$  in  $M/L$ . Then  $x - \sum_{i=1, \dots, m} r_i y_i \in L$  and so  $x - \sum_{i=1, \dots, m} r_i y_i = \sum_{j=1, \dots, n} r_j x_j$ . Hence  $x = \sum_{i=1, \dots, m} r_i y_i + \sum_{j=1, \dots, n} r_j x_j$ , i.e.  $\{x_1, \dots, x_n, y_1, \dots, y_m\}$  is a finite set of generators of  $M$ .  $\square$

Notice that  $M$  finitely generated doesn't imply that  $L$  is finitely generated. For example, let  $R$  be the ring  $R = k[x_i, i \in \mathbb{N}]$ , and consider the regular module  ${}_R R$  with its submodule  $L = \langle x_i, i \in \mathbb{N} \rangle$ .

### 3.4 Projective modules

**Definition:** A module  ${}_R P$  is *projective* if for any epimorphism  $M \xrightarrow{g} N \rightarrow 0$  of left  $R$ -modules, the homomorphism of abelian groups

$$\text{Hom}_R(P, g) : \text{Hom}_R(P, M) \rightarrow \text{Hom}_R(P, N), \psi \mapsto g\psi$$

is surjective, that is, for any  $\varphi \in \text{Hom}_R(P, N)$  there exists  $\psi \in \text{Hom}_R(P, M)$  such that  $g\psi = \varphi$ .

$$\begin{array}{ccccc} M & \xrightarrow{g} & N & \longrightarrow & 0 \\ & \swarrow \psi & \uparrow \varphi & & \\ & & P & & \end{array}$$

**Examples:** Any free module is projective. Indeed, let  $R^{(I)}$  a free  $R$ -module with  $(x_i)_{i \in I}$  a basis. Given homomorphisms  $M \xrightarrow{g} N \rightarrow 0$  and  $\varphi : R^{(I)} \rightarrow N$ , let  $m_i \in M$  such that  $g(m_i) = \varphi(x_i)$  for any  $i \in I$ . Define  $\psi(x_i) = m_i$  and, for  $x = \sum r_i x_i$ ,  $\psi(x) = \sum r_i m_i$ . We get that  $g\psi = \varphi$ . It is clear from the construction that the homomorphism  $\psi$  is not unique in general.

**Proposition 3.4.1.** *Let  $P$  be a left  $R$ -module. The following are equivalent:*

1.  *$P$  is projective*
2.  *$P$  is a direct summand of a free module*



3. every exact sequence  $0 \rightarrow L \xrightarrow{f} M \xrightarrow{g} P \rightarrow 0$  splits.

*Proof.*  $1 \Rightarrow 3$  Let  $0 \rightarrow L \xrightarrow{f} M \xrightarrow{g} P \rightarrow 0$  be an exact sequence and consider the homomorphism  $1_P : P \rightarrow P$ . Since  $P$  is projective there exists  $\psi : P \rightarrow M$  such that  $g\psi = 1_P$ . By Proposition 3.2.1 we conclude that the sequence splits.

$3 \Rightarrow 2$  The module  $P$  is a quotient of a free module, so there exist an exact sequence  $0 \rightarrow K \xrightarrow{f} R^{(I)} \xrightarrow{g} P \rightarrow 0$ , which is split.

$2 \Rightarrow 1$  If  $R^{(I)} = P \oplus L$ , then  $\text{Hom}_R(R^{(I)}, N) \cong \text{Hom}_R(P, N) \oplus \text{Hom}_R(L, N)$  for any  ${}_R N$ . So let us consider the homomorphisms

$$\begin{array}{ccc} M & \xrightarrow{g} & N \longrightarrow 0 \\ & & \uparrow \varphi \\ & & P \end{array} \quad \text{and} \quad \begin{array}{ccc} M & \xrightarrow{g} & N \longrightarrow 0 \\ & \swarrow \alpha & \uparrow (\varphi, 0) \\ & & R^{(I)} \end{array}$$

where  $(\varphi, 0)(p + l) = \varphi(p) + 0(l) = \varphi(p)$  for any  $p \in P$  and  $l \in L$  and  $\alpha$  exists since  $R^{(I)}$  is projective. Then  $\alpha = (\psi, \beta)$ , with  $\psi \in \text{Hom}_R(P, N)$  and  $\beta \in \text{Hom}_R(L, N)$ , where  $\alpha(p + l) = \psi(p) + \beta(l)$  for any  $p \in P$  and  $l \in L$ . Hence  $g(\psi(p)) = g(\alpha(p)) = \varphi(p)$  for any  $p \in P$ . So we conclude that  $P$  is projective.  $\square$

### Examples:

1. Let  $R$  be a principal ideal domain (for instance,  $R = \mathbb{Z}$ ). Then any projective module is free. In particular, free abelian groups and projective abelian groups coincide.
2. Let  $R = \mathbb{Z}/6\mathbb{Z}$ . Then  $\mathbb{Z}/6\mathbb{Z} = 3\mathbb{Z}/6\mathbb{Z} \oplus 2\mathbb{Z}/6\mathbb{Z}$ . The ideals  $3\mathbb{Z}/6\mathbb{Z}$  and  $2\mathbb{Z}/6\mathbb{Z}$  are projective  $R$ -modules, but not free  $R$ -modules. The elements  $e = \bar{3}$  and  $f = \bar{4}$  are orthogonal idempotents (see Definition below) corresponding to this decomposition.

**Definition.** An element  $e \in R$  is said to be *idempotent* if  $e^2 = e$ . Two idempotents  $e, f \in R$  are said to be *orthogonal* if  $ef = fe = 0$ .

**Remark 3.4.2.** (1) If  $e$  is idempotent, then  $(1 - e)$  is idempotent and

$$R = Re \oplus R(1 - e)$$

where  $Re$  and  $R(1 - e)$  denote the cyclic modules generated by  $e$  and  $(1 - e)$ , respectively. Conversely, if  $R = I \oplus J$ , with  $I$  and  $J$  left ideals of  $R$ , then there exist orthogonal idempotents  $e$  and  $f$  such that  $1 = e + f$ ,  $I = Re$  and  $J = Rf$ .

(2) More generally, if  $e_1, \dots, e_n \in R$  are pairwise orthogonal idempotent elements such that  $1 = e_1 + \dots + e_n$ , then

$$R = Re_1 \oplus \dots \oplus Re_n,$$

and every direct sum decomposition of the regular module  ${}_R R$  arises in this way.

(3) If  $k$  is a field and  $\Lambda = kQ$  is the path algebra of a quiver  $Q$  with  $|Q_0| = n$ , the lazy paths  $e_1, \dots, e_n$  are orthogonal idempotent elements of  $\Lambda$  as above. For each vertex

$i \in Q_0$ , the paths starting in  $i$  form a  $k$ -basis of  $\Lambda e_i$ . The representation corresponding to the module  $\Lambda e_i$  is given by the vector spaces  $V_j = e_j \Lambda e_i$  having as basis all paths starting in  $i$  and ending in  $j$ , and by the linear maps  $f_\alpha$  corresponding to concatenation of paths with the arrow  $\alpha$ . Moreover,  $\text{End}_\Lambda \Lambda e_i \cong e_i \Lambda e_i$  via  $f \mapsto f(e_i)$  and if  $Q$  is acyclic, the latter is isomorphic to  $ke_i \cong k$ .

**Example.** (1) For  $\Lambda = k\mathbb{A}_3$  the module  $\Lambda e_1$  corresponds to the representation

$$Ke_1 \xrightarrow{\alpha} K\alpha \xrightarrow{\beta} K\beta\alpha$$

which we write, up to isomorphism, as  $K \rightarrow K \rightarrow K$ .

(2) If  $\Lambda = kQ$  is the Kronecker algebra with  $Q : \bullet \xrightarrow[\beta]{\alpha} \bullet$ , then the representations corresponding to  $\Lambda e_i$  are

$$\Lambda e_1 : K \xrightarrow[\beta]{\alpha} K^2$$

$$\Lambda e_2 : 0 \xrightarrow{\alpha} K.$$

**Proposition 3.4.3. (Dual Basis Lemma)** *A module  ${}_R P$  is projective if and only if it has a dual basis, that is, a pair  $((x_i)_{i \in I}, (\varphi_i)_{i \in I})$  consisting of elements  $(x_i)_{i \in I}$  in  $P$  and homomorphisms  $(\varphi_i)_{i \in I}$  in  $P^* = \text{Hom}_R(P, R)$  such that every element  $x \in P$  can be written as*

$$x = \sum_{i \in I} \varphi_i(x) x_i$$

with  $\varphi_i(x) = 0$  for almost all  $i \in I$ .

*Proof.* Let  $P$  be projective and let  $R^{(I)} \xrightarrow{\beta} P \rightarrow 0$  be a split epimorphism. Let  $(e_i)_{i \in I}$  be the canonical basis of  $R^{(I)}$  and denote  $x_i = \beta(e_i)$ . Observe that  $\beta(\sum_i r_i e_i) = \sum_i r_i \beta(e_i) = \sum_i r_i x_i$ . By Proposition 3.2.1, there exists  $\varphi : P \rightarrow R^{(I)}$  such that  $\beta\varphi = \text{id}_P$ , which induces homomorphisms  $\varphi_i = \pi_i \varphi \in P^*$  where  $\pi_i$  is the projection on the  $i$ -th component. Then  $\varphi_i(x) \in R$  is zero for almost all  $i \in I$ , and  $\varphi(x) = \sum \varphi_i(x) e_i$ . Hence for any  $x \in P$  one has  $x = \beta\varphi(x) = \beta(\sum_i \varphi_i(x) e_i) = \sum_i \varphi_i(x) x_i$ , so  $((\varphi_i)_{i \in I}, (x_i)_{i \in I})$  satisfies the stated properties.

Conversely, let  $((\varphi_i)_{i \in I}, (x_i)_{i \in I})$  satisfy the statement. Define  $\beta : R^{(I)} \rightarrow P$  by  $e_i \mapsto x_i$ . The homomorphism  $\beta$  is an epimorphism since the family  $(x_i)_{i \in I}$  generates  $P$ , and  $\beta(\sum r_i e_i) = \sum r_i x_i$ . Set  $\varphi : P \rightarrow R^{(I)}$ ,  $x \mapsto \sum \varphi_i(x) e_i$ . Then for any  $x \in P$  one gets  $\beta\varphi(x) = \beta(\sum \varphi_i(x) e_i) = \sum \varphi_i(x) x_i = x$ . By Proposition 3.2.1 we conclude that  $\beta$  is a split epimorphism and so  $P$  is projective.  $\square$

Note that, from the results in the previous sections, the projective module  ${}_R R$  plays a crucial role, since for any module  ${}_R M$  there exists an epimorphism  $R^{(I)} \rightarrow M \rightarrow 0$ , for some set  $I$ . A module with such property is called a *generator*, and so  $R$  is a *projective generator*.

In particular, for any module  ${}_R M$  there exists a short exact sequence  $0 \rightarrow K \rightarrow P_0 \rightarrow M \rightarrow 0$ , with  $P_0$  projective. The same holds for the module  $K$ , and so, iterating the argument, we can construct an exact sequence

$$\cdots \rightarrow P_i \rightarrow \cdots \rightarrow P_1 \rightarrow P_0 \rightarrow M \rightarrow 0$$

where all the  $P_i$  are projective. Such a sequence is called a *projective resolution* of  $P$ . It is clearly not unique.

It is natural to ask if, for a given module  ${}_R M$ , there exists a projective module  $P$  and a "minimal" epimorphism  $P \rightarrow M \rightarrow 0$ , in the sense that there is no proper direct summand  $P'$  of  $P$  with an epimorphism  $f_{|P'} : P' \rightarrow M$ . More precisely, we define:

**Definition:** (1) A homomorphism  $f : M \rightarrow N$  is *right minimal* if any  $g \in \text{End}_R(M)$  such that  $fg = f$  is an isomorphism.

(2) A *projective cover* of  $M$  is a right minimal epimorphism  $P_M \rightarrow M$  where  $P_M$  is a projective module.

**Remark 3.4.4.** Projective covers are "minimal" in the sense announced above. Indeed, consider another epimorphism  $P \rightarrow M$  where  $P$  is a projective module. Since both  $P_M$  and  $P$  are projective, there exist  $\varphi$  and  $\psi$  such that the diagram

$$\begin{array}{ccccc} & & & & 0 \\ & & & & \uparrow \\ & & & & 0 \\ P_M & \xrightarrow{f} & M & \longrightarrow & 0 \\ & \swarrow \varphi & \uparrow g & & \\ & P & & & \end{array}$$

commutes. Hence  $f\psi = g$  and  $g\varphi = f$ , so  $f\psi\varphi = f$  and, since  $f$  is right minimal,  $\psi\varphi$  is an isomorphism. Then  $\theta : P \rightarrow P_M$  as  $\theta = (\psi\varphi)^{-1}\psi$  satisfies  $\theta\varphi = id_P$ , so  $\varphi$  is a split monomorphism and  $P_M$  is isomorphic to a direct summand of  $P$  (see Proposition 3.2.1). More precisely,  $P = \text{Im } \varphi \oplus \text{Ker } \theta$  with  $\text{Im } \varphi \cong P_M$  and  $g(\text{Ker } \theta) = 0$ .

In particular, if  $g : P \rightarrow M$  is also a projective cover of  $M$ , then we can see as above that also  $\varphi\psi$  is an isomorphism, so  $\varphi = \psi^{-1}$  and  $P_M$  is isomorphic to  $P$ . We have shown that the projective cover is unique (up to isomorphism).

Observe that, given a module  ${}_R M$ , a projective cover for  $M$  need not exist. A ring over which any finitely generated module admits a projective cover is called *semiperfect*. If all modules admit a projective cover, then  $R$  is called *perfect*.

**Definition.** Suppose there exists a projective resolution of the module  ${}_R M$

$$\cdots P_2 \xrightarrow{f_2} P_1 \xrightarrow{f_1} P_0 \xrightarrow{f_0} M \rightarrow 0$$

such that  $P_0$  is a projective cover of  $M$  and  $P_i$  is a projective cover of  $\text{Ker } f_{i-1}$  for any  $i \in \mathbb{N}$ . Such a resolution is called a *minimal projective resolution* of  $M$ .

**Examples.** (1) The canonical epimorphism  $\mathbb{Z} \rightarrow \mathbb{Z}/2\mathbb{Z}$  is not right minimal, and the  $\mathbb{Z}$ -module  $\mathbb{Z}/2\mathbb{Z}$  has no projective cover.

(2) The exact sequence in Example 3.1.1 is a minimal projective resolution of  $M_3$ . Indeed, by Example 3.4.2(4) we can rewrite the sequence as

$$0 \rightarrow \Lambda e_2 \xrightarrow{f} \Lambda e_1 \xrightarrow{g} M_3 \rightarrow 0$$

where the first two terms are projective modules with endomorphism ring  $k$ . It follows that  $g$  is right minimal, thus a projective cover.

### 3.5 Injective modules

We now turn to the dual notion of an injective module. Observe that many results will be dual to those proved for projective modules.

**Definition:** A module  ${}_R E$  is *injective* if for any monomorphism  $0 \rightarrow L \xrightarrow{f} M$  of left  $R$ -modules, the homomorphism of abelian groups  $\text{Hom}_R(f, E) : \text{Hom}_R(M, E) \rightarrow \text{Hom}_R(L, E)$  is an epimorphism, that is for any  $\varphi \in \text{Hom}_R(L, E)$  there exists  $\psi \in \text{Hom}_R(M, E)$  such that  $\psi f = \varphi$ .

$$\begin{array}{ccc} 0 & \longrightarrow & L & \xrightarrow{f} & M \\ & & \downarrow \varphi & \nearrow \psi & \\ & & E & & \end{array}$$

Any module is quotient of a projective module. Does the dual property hold? That is, is it true that every module  $M$  embeds in a injective  $R$ -module? In the sequel we will answer this crucial question.

An abelian group  $G$  is *divisible* if, for any  $n \in \mathbb{Z}$  and for any  $g \in G$ , there exists  $t \in G$  such that  $g = nt$ . We are going to show that an abelian group is injective if and only if it is divisible. We need the following useful criterion to check whether a module is injective.

**Lemma 3.5.1. (Baer's Criterion)** *A module  $E$  is injective if and only if for any left ideal  $I$  of  $R$  and for any  $\varphi \in \text{Hom}_R(I, E)$  there exists  $\psi \in \text{Hom}_R(R, E)$  such that  $\psi i = \varphi$ , where  $i$  is the canonical inclusion  $0 \rightarrow I \xrightarrow{i} R$ .*

The lemma states that it suffices to check the extending property only for the left ideals of the ring. In particular, it says that  $E$  is injective if and only if for any  ${}_R I \leq {}_R R$  and for any  $h \in \text{Hom}_R(I, E)$  there exists  $y \in E$  such that  $h(a) = ay$  for any  $a \in I$ .

**Proposition 3.5.2.** *An  $\mathbb{Z}$ -module  $G$  is injective if and only if it is divisible.*

*Proof.* Let us assume  $G$  injective, consider  $n \in \mathbb{Z}$  and  $g \in G$  and the commutative diagram

$$\begin{array}{ccccc} 0 & \longrightarrow & \mathbb{Z}n & \xrightarrow{i} & \mathbb{Z} \\ & & \downarrow \varphi & \nearrow \psi & \\ & & G & & \end{array}$$

where  $\varphi(sn) = sg$  for any  $s \in \mathbb{Z}$  and  $\psi$  exists since  $G$  is injective. Let  $t = \psi(1)$ ,  $t \in G$ . Then  $\varphi(n) = \psi(i(n))$  implies  $g = nt$  and we conclude that  $G$  is divisible.

Conversely, suppose  $G$  divisible and apply Baer's Criterion. The ideals of  $\mathbb{Z}$  are of the form  $\mathbb{Z}n$  for  $n \in \mathbb{Z}$ , so we have to verify that for any  $\varphi \in \text{Hom}_{\mathbb{Z}}(\mathbb{Z}n, G)$  there exists  $\psi$  such that

$$\begin{array}{ccccc} 0 & \longrightarrow & \mathbb{Z}n & \xrightarrow{i} & \mathbb{Z} \\ & & \downarrow \varphi & \nearrow \psi & \\ & & G & & \end{array}$$

commutes. Let  $g \in G$  such that  $\varphi(n) = g$ . Since  $\mathbb{Z}$  is a free  $\mathbb{Z}$ -module, we can define  $\psi$  by setting  $\psi(1) = t$  where  $g = nt$ , so  $\psi(r) = rt$  for any  $r \in \mathbb{Z}$ . Hence  $\varphi(sn) = sg = snt = \psi(i(sn))$ .  $\square$

The result stated in the previous proposition holds for any Principal Ideal Domain  $R$ .

**Examples:** (1) The  $\mathbb{Z}$ -module  $\mathbb{Q}$  is injective.

(2) Let  $p \in \mathbb{N}$  be a prime number and  $M = \{\frac{a}{p^n} \in \mathbb{Q} \mid a \in \mathbb{Z}, n \in \mathbb{N}\}$ . Then  $\mathbb{Z} \leq M \leq \mathbb{Q}$ , and  $\mathbb{Z}_{p^\infty} = M/\mathbb{Z}$  is a divisible group, see Exercise ??.

One can show that  $\mathbb{Q}$  and  $\mathbb{Z}_{p^\infty}$ ,  $p$  prime, are representatives of the indecomposable injective  $\mathbb{Z}$ -modules, up to isomorphism.

**Remark 3.5.3.** Any abelian group  $G$  embeds in an injective abelian group. Indeed, consider a short exact sequence  $0 \rightarrow K \rightarrow \mathbb{Z}^{(I)} \rightarrow G \rightarrow 0$  and the canonical inclusion  $0 \rightarrow \mathbb{Z} \rightarrow \mathbb{Q}$ . One easily check that  $\mathbb{Q}^{(I)}/K$  is divisible (check!) and so injective. Then we get the induced monomorphism  $0 \rightarrow G \cong \mathbb{Z}^{(I)}/K \rightarrow \mathbb{Q}^{(I)}/K$ .

**Proposition 3.5.4.** *Let  $R$  be a ring. If  $D$  is an injective  $\mathbb{Z}$ -module, then  $\text{Hom}_{\mathbb{Z}}(R, D)$  is an injective left  $R$ -module*

*Proof.* First notice that, since  ${}_R R$  is a bimodule,  $\text{Hom}_{\mathbb{Z}}(R, D)$  is naturally endowed with a structure of left  $R$ -module. In order to verify that it is injective, we apply Baer's Criterion: let  ${}_R I \leq {}_R R$  and  $h : I \rightarrow \text{Hom}_{\mathbb{Z}}(R, D)$  be an  $R$ -homomorphism. We have to find an element  $y \in \text{Hom}_{\mathbb{Z}}(R, D)$  such that  $h(a) = ay$  for any  $a \in I$ . Notice that  $h$  defines a  $\mathbb{Z}$ -homomorphism  $\gamma : I \rightarrow D$ ,  $a \mapsto h(a)(1)$  and, since  $D$  is an injective abelian group, there exists  $\bar{\gamma} : R \rightarrow D$  which extends  $\gamma$ . Now we have, for any  $a \in I$  and  $r \in R$ ,

$$(a\bar{\gamma})(r) = \bar{\gamma}(ra) = \gamma(ra) = [h(ra)](1) = [rh(a)](1) = [h(a)](r)$$

so the element  $\bar{\gamma} \in \text{Hom}_{\mathbb{Z}}(R, D)$  satisfies  $h(a) = a\bar{\gamma}$  for any  $a \in I$ , proving the claim.  $\square$

**Corollary 3.5.5.** *Every module  ${}_R M$  embeds in an injective  $R$ -module.*

*Proof.* As an abelian group,  $M$  embeds in an injective abelian group  $D$  by Remark 3.5.3. In other words, there is a monomorphism of  $\mathbb{Z}$ -modules  $0 \rightarrow M \xrightarrow{g} D$ , from which we obtain a monomorphism of  $R$ -modules  $0 \rightarrow \text{Hom}_{\mathbb{Z}}(R_R, M) \rightarrow \text{Hom}_{\mathbb{Z}}(R_R, D)$  given by  $f \mapsto gf$ . Now  $E := \text{Hom}_{\mathbb{Z}}(R_R, D)$  is an injective left  $R$ -module by Proposition 3.5.4. Moreover, there is an isomorphism of  $R$ -modules  $\varphi : \text{Hom}_R(R, M) \rightarrow M$ ,  $f \mapsto f(1)$  (see Exercise ??) yielding

$${}_R M \cong \text{Hom}_R(R_R, M) \leq \text{Hom}_{\mathbb{Z}}(R_R, M) \rightarrow E = \text{Hom}_{\mathbb{Z}}(R_R, D)$$

which is the desired monomorphism. □

Since any module  $M$  embeds in an injective one, it is natural to ask whether there exists a "minimal" injective module containing  $M$ .

**Definition:** (1) A homomorphism  $f : M \rightarrow N$  is *left minimal* if any  $g \in \text{End}_R(N)$  such that  $gf = f$  is an isomorphism.

(2) An *injective envelope* of  $M$  is a left minimal monomorphism  $M \rightarrow E_M$  where  $E_M$  is an injective module.

**Remark 3.5.6.** Consider a diagram

$$\begin{array}{ccccc}
 & & 0 & & \\
 & & \downarrow & & \\
 & & M & \xrightarrow{f} & E_M \\
 0 & \longrightarrow & & \nearrow \psi & \\
 & & \downarrow g & \nearrow \varphi & \\
 & & E & & 
 \end{array}$$

where  $g : M \rightarrow E$  is another monomorphism where  $E$  is an injective module. Since  $E_M$  and  $E$  are both injective, there exist  $\varphi$  and  $\psi$  such that the diagram commutes. Hence  $\psi g = f$  and  $\varphi f = g$ , so  $\psi\varphi f = f$  and, since  $f$  is left minimal, we conclude that  $\psi\varphi$  is an isomorphism. Then  $\varphi$  is a split monomorphism, and  $E_M$  is isomorphic to a direct summand of  $E$ .

In particular, if also  $g$  is an injective envelope of  $M$ , also  $\varphi\psi$  is an isomorphism, so  $\varphi$  is an isomorphism and  $E_M$  is isomorphic to  $E$ . We have shown that the injective envelope is unique (up to isomorphisms).

We state a characterization of injective envelopes, for which we need the following notions.

**Definition.** (1) A submodule  ${}_R N \leq {}_R M$  is *essential* if for any submodule  $L \leq M$ ,  $L \cap N = 0$  implies  $L = 0$ .

(2) A monomorphism  $0 \rightarrow L \xrightarrow{f} M$  is *essential* if  $\text{Im } f$  is essential in  $M$ . Equivalently: every  $g \in \text{Hom}_R(M, N)$  with the property that  $gf$  is a monomorphism is itself a monomorphism (see Exercise ??).

**Theorem 3.5.7.** *Let  $E$  be an injective module. Then  $0 \rightarrow M \xrightarrow{f} E$  is an injective envelope of  $M$  if and only if  $f$  is an essential monomorphism.*

*Proof.* Let  $0 \rightarrow M \xrightarrow{f} E$  be an injective envelope and pick  $L \leq E$  such that  $L \cap \text{Im } f = 0$ . Then  $\text{Im } f \oplus L \leq E$ , and we can consider the commutative diagram

$$\begin{array}{ccccccc} 0 & \longrightarrow & M & \xrightarrow{f} & \text{Im } f \oplus L & \xrightarrow{i} & E \\ & & \downarrow f & \nearrow (\text{id}, 0) & & \searrow \varphi & \\ & & E & & & & \end{array}$$

where  $i$  is the canonical inclusion of  $\text{Im } f \oplus L$  in  $E$  and  $\varphi$  exists since  $E$  is injective. Then  $\varphi f = f$ , and  $\varphi$  is an isomorphism, so  $L = 0$ .

Conversely, let  $\text{Im } f$  be essential in  $M$  and let  $g \in \text{End}_R(E)$  such that  $gf = f$ . Since  $f$  is an essential monomorphism,  $g$  is a monomorphism, hence a split monomorphism (see 3.5.9). Further, the direct summand  $\text{Im } g \leq E$  of  $E$  contains the essential submodule  $\text{Im } f$ , so it must have a trivial complement, that is,  $\text{Im } g = E$  and  $g$  is an isomorphism.  $\square$

Not every module has a projective cover. Thus the next result is especially remarkable

**Theorem 3.5.8.** *Every module has an injective envelope.*

*Proof.* Let  ${}_R M$  be a module; by Corollary 3.5.5 there exists an injective module  $Q$  such that  $0 \rightarrow M \rightarrow Q$ . Consider the set  $\{E' \mid M \leq E' \leq Q \text{ and } M \text{ essential in } E'\}$ . One easily checks that it is an inductive set, and by Zorn's Lemma, it contains a maximal element  $E$ . Let us show that  $E$  is injective by verifying that it is a direct summand of  $Q$  (see Exercise ??). To this end, consider the set  $\{F' \mid F' \leq Q \text{ and } F' \cap E = 0\}$ . It is inductive so, again by Zorn's Lemma, it contains a maximal element  $F$ . We claim that  $E \oplus F = Q$ . Notice that there exists an obvious monomorphism  $g : (E \oplus F)/F \cong E \leq Q$ ; further  $(E \oplus F)/F \leq Q/F$  is an essential inclusion by the maximality of  $F$  (check!). We obtain the diagram

$$\begin{array}{ccc} 0 & \longrightarrow & (E \oplus F)/F \xrightarrow{j} Q/F \\ & & \downarrow g \nearrow \varphi \\ & & Q \end{array}$$

where  $j$  is the canonical inclusion,  $\varphi$  exists since  $Q$  is injective, and moreover,  $\varphi$  is a monomorphism since  $\varphi j = g$  is a monomorphism and  $j$  is an essential monomorphism. Then also  $E = \text{Im } g = \varphi(E \oplus F/F)$  is essential in  $\text{Im } \varphi$ . Since  $M$  is essential in  $E$ , we conclude that  $M$  is essential in  $\text{Im } \varphi$ , and by the maximality of  $E$ , it follows  $E = \text{Im } \varphi$ . Hence  $\varphi(E \oplus F/F) = \varphi(Q/F)$ . Since  $\varphi$  is a monomorphism we conclude  $E \oplus F = Q$ .  $\square$

**Proposition 3.5.9.** *Let  ${}_R E$  be a module. The following are equivalent:*

1.  $E$  is injective

2. every exact sequence  $0 \rightarrow E \xrightarrow{f} M \xrightarrow{g} N \rightarrow 0$  splits.

*Proof.*  $1 \Rightarrow 2$  Consider the commutative diagram

$$\begin{array}{ccccc} 0 & \longrightarrow & E & \xrightarrow{f} & M \\ & & \downarrow \text{id}_E & \swarrow \varphi & \\ & & E & & \end{array}$$

where  $\varphi$  exists since  $E$  is injective. Since  $\varphi f = \text{id}_E$ , by Proposition 3.2.1 we conclude that  $f$  is a split monomorphism.

$2 \Rightarrow 1$  By Corollary 3.5.5 there exists an exact sequence  $0 \rightarrow E \rightarrow F \rightarrow N \rightarrow 0$ , where  $F$  is an injective module. Since the sequence splits, we get that  $E$  is a direct summand of a injective module, and so  $E$  is injective (see Exercise ??).  $\square$

Comparing the previous proposition with the analogous one for projective modules (Proposition 3.4.1), there is an evident difference. For projective modules, we saw that a special role is played by the projective generator  ${}_R R$ . Does a module with the dual property exist? We will see in ?? that such a module always exists.

Dually to the projective case, for any module  ${}_R M$  there exists a long exact sequence  $0 \rightarrow M \xrightarrow{f_0} E_0 \xrightarrow{f_1} E_1 \xrightarrow{f_2} E_2 \rightarrow \dots$ , where the  $E_i$  are injective. This is called an *injective coresolution* of  $M$ . If  $E_0$  is an injective envelope of  $M$  and  $E_i$  is an injective envelope of  $\text{Ker } f_i$  for any  $i \geq 1$ , then the sequence is called a *minimal injective coresolution* of  $M$ .



## 4 ON THE LATTICE OF SUBMODULES OF $M$

Let  $R$  be a ring.

### 4.1 Simple modules

For a left  $R$ -module  $M$ , we consider the partially ordered set  $\mathcal{L}_M = \{L \mid L \leq M\}$ . Observe that  $\mathcal{L}_M$  is a complete lattice, where for any  $N, L \in \mathcal{L}$ , the join is given by  $\sup\{N, L\} = L + N$  and the meet by  $\inf\{N, L\} = L \cap N$ . The greatest element of  $\mathcal{L}_M$  is  $M$  and the smallest if  $\{0\}$ .

Moreover,  $\mathcal{L}_M$  satisfies the *Modular Law*: Given  ${}_R A, {}_R B, {}_R C \leq {}_R M$  with  $B \leq C$ ,

$$(A + B) \cap C = (A \cap C) + B.$$

It is natural to ask whether  $\mathcal{L}$  has minimal or maximal elements. They are exactly the maximal submodules of  $M$  and the simple submodules of  $M$ , respectively. More precisely:

**Definition:** A module  $S$  is *simple* if  $L \leq S$  implies  $L = \{0\}$  or  $L = S$ .

Given a module  ${}_R M$ , a proper submodule  ${}_R N < {}_R M$  is a *maximal submodule* of  $M$  if  $N \leq L \leq M$  implies  $L = N$  or  $L = M$ .

#### Examples:

1. Let  $k$  be a field. Then  $k$  is the unique simple  $k$ -module up to isomorphism.
2. Any abelian group  $\mathbb{Z}/\mathbb{Z}p$  with  $p$  prime is a simple  $\mathbb{Z}$ -module. So there are infinitely many simple  $\mathbb{Z}$ -modules.
3. The regular module  $\mathbb{Z}$  does not contain any simple submodule, since any ideal of  $\mathbb{Z}$  is of the form  $\mathbb{Z}n$  and  $\mathbb{Z}m \leq \mathbb{Z}n$  whenever  $n$  divides  $m$ .
4. The  $\mathbb{Z}$ -module  $\mathbb{Q}$  has no maximal submodules, see Exercise ??.
5. Let  $p$  be a prime number. The lattice of the subgroups of  $\mathbb{Z}_{p^\infty}$  is a well-ordered chain, and  $\mathbb{Z}_{p^\infty}$  has no maximal submodules, see Exercise ??.

We have just seen that in general, it is not true that any module contains a simple or a maximal submodule. Nevertheless, we have the following important result.

**Proposition 4.1.1.** *Let  $R$  be a ring and  ${}_R I < {}_R R$  a proper left ideal. There exists a maximal left ideal  $\mathfrak{m}$  of  $R$  such that  $I \leq \mathfrak{m} < R$ . In particular  $R$  admits maximal left ideals.*

*More generally, if  $M$  is a finitely generated left  $R$ -module, then every proper submodule of  $M$  is contained in a maximal submodule.*

*Proof.* Let  $\mathcal{F} = \{L \mid I \leq L < R\}$ . The set  $\mathcal{F}$  is inductive since, given a sequence  $L_0 \leq L_1 \leq \dots$ , the left ideal  $\bigcup L_i$  contains all the  $L_i$  and it is a proper ideal of  $R$ . Indeed,

if  $\bigcup L_i = R$ , there would exist an index  $j \in \mathbb{N}$  such that  $1 \in L_j$  and so  $L_j = R$ . So by Zorn's Lemma,  $\mathcal{F}$  has a maximal element, which is clearly a maximal left ideal of  $R$ .

For the second statement, see Exercise ??.

□

**Examples:** Consider the regular module  $\mathbb{Z}$ . Then  $\mathbb{Z}p$  is a maximal submodule of  $\mathbb{Z}$  for any prime number  $p$ . Moreover the ideal  $\mathbb{Z}n$  is contained in  $\mathbb{Z}p$  for any  $p$  such that  $p|n$ .

**Remark 4.1.2.** Let  $\mathfrak{m} \leq R$  be a maximal left ideal of  $R$ . Clearly  $R/\mathfrak{m}$  is a simple  $R$ -module, and this shows that simple modules always exist over any ring  $R$ .

Conversely, if  $S$  is a simple module, any nonzero element  $x \in S$  satisfies  $S = Rx$ , and  $\text{Ann}_R(x) = \{r \in R \mid rx = 0\}$  is the kernel of the epimorphism  $\varphi : R \rightarrow S, 1 \mapsto x$ . Hence  $\text{Ann}_R(x)$  is a maximal left ideal of  $R$  and  $S \cong R/\text{Ann}_R(x)$ .

**Proposition 4.1.3.** *The following statements are equivalent for a module  ${}_R M$ :*

1. *There is a family of simple submodules  $(S_i)_{i \in I}$  of  $M$  such that  $M = \sum_{i \in I} S_i$ .*
2.  *$M$  is a direct sum of simple submodules.*
3. *Every submodule  ${}_R L \leq {}_R M$  is a direct summand.*

Under these conditions,  $M$  is said to be *semisimple*.

*Proof.* Let us sketch the proof. In order to see that (1) implies (2) and (3), one uses Zorn's Lemma to show that for any  ${}_R L \leq {}_R M$  there is a subset  $J \subseteq I$  such that  $M = L \oplus \bigoplus_{i \in J} S_i$ . (3) $\Rightarrow$ (1): Using the Modular Law, we see that every submodule  ${}_R N \leq {}_R M$  satisfies condition (3), that is, every submodule  ${}_R L \leq {}_R N$  is a direct summand of  $N$ . Furthermore, if we consider a non-zero element  $x \in M$  and choose  $N = Rx$ , then  $N$  contains a maximal submodule  $N'$  by Proposition 4.1.1, which then must be a direct summand of  $N$ . Since the complement of  $N'$  in  $N$  is simple, we conclude that  $Rx$  contains a simple submodule. Now consider the submodule  $L = \sum_{i \in I} S_i$  defined as the sum of all simple submodules of  $M$ . We know that  $M = L \oplus L'$  for some submodule  $L'$ . But by the discussion above  $L'$  cannot contain any nonzero element, hence  $L' = 0$  and the claim is proven. □

## 4.2 Socle and radical

**Definition:** Let  $M$  be a left  $R$ -module. The *socle* of  $M$  is the submodule

$$\text{Soc}(M) = \sum \{S \mid S \text{ is a simple submodule of } M\}.$$

The *radical* of  $M$  is the submodule

$$\text{Rad}(M) = \bigcap \{N \mid N \text{ is a maximal submodule of } M\}.$$

In particular, if  $M$  does not contain any simple module,  $\text{Soc}(M) = 0$ , and if  $M$  does not contain any maximal submodule,  $\text{Rad}(M) = M$ .

**Remark 4.2.1.** (1)  $\text{Soc}(M)$  is the largest semisimple submodule of  $M$ .

This follows immediately from Proposition 4.1.3.

(2)  $\text{Rad}(M) = \{x \in M \mid \varphi(x) = 0 \text{ for every } \varphi : M \rightarrow S \text{ with } S \text{ simple}\}$ .

Indeed, notice that the kernel of any homomorphism  $\varphi : M \rightarrow S$  with  $S$  simple is a maximal submodule of  $M$ . Conversely, if  $N$  is a maximal submodule of  $M$ , then consider  $\pi : M \rightarrow M/N$ , keeping in mind that  $M/N$  is simple.

In order to study  $\text{Rad } M$ , we need the following notion, which also leads to a characterization of projective covers dual to Theorem 3.5.7.

**Definition.** A submodule  ${}_R N \leq {}_R M$  is *superfluous* if for any submodule  $L \leq M$ ,  $L + N = M$  implies  $L = M$ .

**Theorem 4.2.2.** *Let  $P$  a projective module. Then  $P \xrightarrow{f} M \rightarrow 0$  is a projective cover of  $M$  if and only if  $\text{Ker } f$  is a superfluous submodule of  $P$ .*

It follows from Proposition 4.1.1 that  $\text{Rad}(M)$  is a superfluous submodule of  $M$  whenever  $M$  is finitely generated. We collect some further properties of the socle and of the radical of a module in the proposition below.

**Proposition 4.2.3.** *Let  $M$  be a left  $R$ -module.*

1.  $\text{Soc}(M) = \bigcap \{L \mid L \text{ is an essential submodule of } M\}$ .
2.  $\text{Rad}(M) = \sum \{U \mid U \text{ is a superfluous submodule of } M\}$ .
3.  $f(\text{Soc}(M)) \leq \text{Soc}(N)$  and  $f(\text{Rad}(M)) \leq \text{Rad}(N)$  for any  $f \in \text{Hom}_R(M, N)$ .
4. If  $M = \bigoplus_{\lambda \in \Lambda} M_\lambda$ , then  $\text{Soc}(M) = \bigoplus_{\lambda \in \Lambda} \text{Soc}(M_\lambda)$  and  $\text{Rad}(M) = \bigoplus_{\lambda \in \Lambda} \text{Rad}(M_\lambda)$ .
5.  $\text{Rad}(M/\text{Rad}(M)) = 0$  and  $\text{Soc}(\text{Soc}(M)) = \text{Soc}(M)$ .

A crucial role is played by the radical of the regular module  ${}_R R$ .

**Proposition 4.2.4.** (1)  $\text{Rad}({}_R R) = \bigcap \{\text{Ann}_R(S) \mid S \text{ is a simple left } R\text{-module}\}$ .

(2)  $\text{Rad}({}_R R) = \{r \in R \mid 1 - xr \text{ has a (left) inverse for any } x \in R\}$ .

(3)  $\text{Rad}({}_R R) = \text{Rad}(R_R)$  is a two-sided ideal.

*Proof.* (1) For any simple module  $S$ , consider  $\text{Ann}_R(S) = \bigcap_{x \in S} \text{Ann}_R(x)$  of  $R$ , which is a two-sided ideal by Exercise ???. The intersection of all annihilators  $\text{Ann}_R(S)$  of simple left  $R$ -modules coincides with  $\text{Rad}({}_R R)$  by Remarks 4.1.2 and 4.2.1.

(2) is Exercise ???. In fact, one can even show that the elements  $1 - xr$  are invertible: taking  $r \in \text{Rad}({}_R R)$  and  $x \in R$ , we have  $s = xr \in \text{Rad}({}_R R)$ , and if  $a$  is a left inverse of  $1 - s$ , that is,  $a(1 - s) = 1$ , then  $a = 1 + as = 1 - (-a)s$  has again a left inverse, which must coincide with its right inverse  $1 - s$ , showing that  $a$  and  $1 - s$  are mutually inverse.

(3) It follows from (1) that  $\text{Rad}({}_R R)$  is a two-sided ideal of  $R$ . So, if  $r \in \text{Rad}({}_R R)$ , and  $x \in R$ , then  $rx \in \text{Rad}({}_R R)$ , and the element  $1 - rx$  has a (right) inverse by (2). From the right version of statement (2) we infer  $r \in \text{Rad}(R_R)$ . So  $\text{Rad}({}_R R) \subseteq \text{Rad}(R_R)$ , and the other inclusion follows by symmetric arguments.  $\square$

**Definition:** Let  $R$  be a ring. The ideal

$$J(R) = \text{Rad}({}_R R) = \text{Rad}(R_R)$$

is called *Jacobson radical* of  $R$ .

**Lemma 4.2.5.** (1) For every module  ${}_R M$  we have  $J(R)M \leq \text{Rad}(M)$ .

(2) (**Nakayama's Lemma**) Let  $M$  be a finitely generated  $R$ -module. If  $L$  is a submodule of  $M$  such that  $L + J(R)M = M$ , then  $L = M$ .

*Proof.* (1) Since  $J(R)$  annihilates any simple module  $S$ , all homomorphisms  $\varphi : M \rightarrow S$  vanish on  $J(R)M$ , so  $J(R)M \leq \text{Rad}(M)$  by Remark 4.2.1.

(2)  $L + J(R)M = M$  implies  $L + \text{Rad}(M) = M$  and since  $\text{Rad}(M)$  is superfluous in  $M$  by Remark 4.2.1, we get  $L = M$ .  $\square$

**Example 4.2.6.** (1)  $J(\mathbb{Z}) = \bigcap_{p \text{ prime}} p\mathbb{Z} = 0$ .

(2) Let  $\Lambda = kQ$  be the path algebra of a finite acyclic quiver over a field  $k$ .

(i) The Jacobson radical  $J(\Lambda)$  is the ideal of  $\Lambda$  generated by all arrows. Hence, as a  $k$ -vectorspace,  $\Lambda = (\bigoplus_{i \in Q_0} ke_i) \oplus J(\Lambda)$ . Moreover,  $\Lambda/J(\Lambda) \cong k^{|Q_0|}$  as  $k$ -algebras.

(ii) Let  $i \in Q_0$  be a vertex, and denote by  $\alpha_1, \dots, \alpha_t$  the arrows  $i \bullet \xrightarrow{\alpha_k} \bullet j_k$  of  $Q$  which start in  $i$ . Then

$$\text{Rad } \Lambda e_i = J e_i = \bigoplus_{k=1}^t \Lambda e_{j_k} \alpha_k \cong \bigoplus_{k=1}^t \Lambda e_{j_k}$$

is the unique maximal submodule of  $\Lambda e_i$ , and it is a projective module.

(iii) Let  $i \in Q_0$  be a vertex. Then  $\Lambda e_i / J e_i$  is simple. In particular, the projective module  $\Lambda e_i$  is simple if and only if  $i$  is a sink of  $Q$ , that is, there is no arrow starting in  $i$ .

Indeed, let  $i \in Q_0$  be a vertex. Then the vector space generated by all paths of length at least one starting in  $i$  is the unique maximal submodule of  $\Lambda e_i$ , so it coincides with  $\text{Rad } \Lambda e_i$ . Now use that  $\Lambda = \bigoplus_{i \in Q_0} \Lambda e_i$  by Remark 3.4.2, hence  $J(\Lambda) = \bigoplus_{i \in Q_0} \text{Rad } \Lambda e_i$  by Proposition 4.2.3.

### 4.3 Local rings

**Definition:**

(1) A ring  $R$  is a *skew field* (or a *division ring*) if all non-zero elements are invertible.

(2) A ring  $R$  is *local* if it satisfies the equivalent conditions in the proposition below.

**Proposition 4.3.1.** *The following statements are equivalent for a ring  $R$  with  $J = J(R)$ .*

(1)  $R/J$  is a skew field.

(2)  $x$  or  $1 - x$  is invertible for any  $x \in R$ .

(3)  $R$  has a unique maximal left ideal.

(3')  $R$  has a unique maximal right ideal.

(4) The non-invertible elements of  $R$  form a left (or right, or two-sided) ideal of  $R$ .

*Proof.* (1) $\Rightarrow$ (2): If  $x \in J$ , then  $1 - x$  is invertible by Proposition 4.2.4. If  $x \notin J$ , then  $\bar{x} \neq 0$  is invertible in  $R/J$ , so there is  $\bar{y} \in R/J$  such that  $\bar{x}\bar{y} = \bar{y}\bar{x} = \bar{1}$ . Then  $1 - xy$  and  $1 - yx$  belong to  $J$ , hence  $xy$  and  $yx$  are invertible. But then  $x$  is invertible, because it has a right inverse and a left inverse.

(2) $\Rightarrow$ (3): Any maximal left ideal  $\mathfrak{m}$  contains  $J$ . Conversely, if  $r \in \mathfrak{m}$  and  $x \in R$ , then  $rx \in \mathfrak{m}$  can't be invertible, so  $1 - rx$  is invertible, and  $r \in J$  by Proposition 4.2.4. Hence  $\mathfrak{m} = J$  is the unique maximal left ideal.

(3) $\Rightarrow$ (1): Assume that  $R$  has a unique maximal left ideal  $\mathfrak{m}$ . Then  $\mathfrak{m} = J$ , and  $R/J$  is a simple left module. Then every non-zero element  $\bar{x} \in R/J$  satisfies  $R\bar{x} = R/J$ , so there is  $y \in R$  such that  $\bar{1} = y\bar{x} = \bar{y}\bar{x}$ . In other words, every non-zero element in  $R/J$  has a left inverse, and therefore an inverse (because the left inverse of  $\bar{y}$  must coincide with its right inverse  $\bar{x}$ ).

(1) $\Leftrightarrow$ (3') is shown symmetrically.

(3) $\Rightarrow$ (4):  $J$  is the set of all non-invertible elements of  $R$ . Indeed,  $J$  is a maximal left ideal and therefore it consists of non-invertible elements. Conversely, if  $x \in R$  has no left inverse, then  $Rx$  is a proper left ideal of  $R$  and thus it is contained in the unique maximal left ideal  $J$ . If  $x$  has no right inverse, use the equivalent condition (3').

(4) $\Rightarrow$ (2): otherwise  $1 = x + (1 - x)$  would be non-invertible. □

**Remark 4.3.2.** Let  $R$  be a local ring.

(1) We have seen above that  $J$  is the ideal from conditions (3), (3') and (4) above.

(2)  $S = R/J(R)$  is the unique simple left (or right)  $R$ -module up to isomorphism, and  $E(R/J(R))$  is a minimal injective cogenerator.

(3) The unique idempotent elements in  $R$  are 0 and 1. Indeed, if  $e$  is idempotent, then  $e(1 - e) = 0$ . So, either  $e$  is invertible, and then  $e = 1$ , or  $1 - e$  is invertible, and then  $e = 0$ .

(4)  ${}_R R$  is an indecomposable  $R$ -module by Remark 3.4.2.