

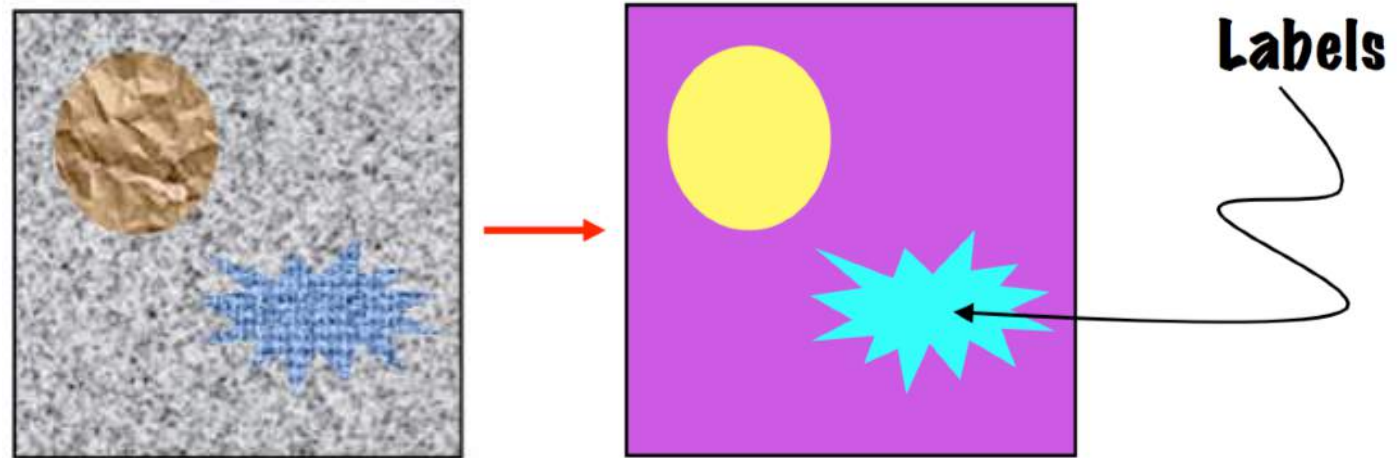
# Segmentation

Part I

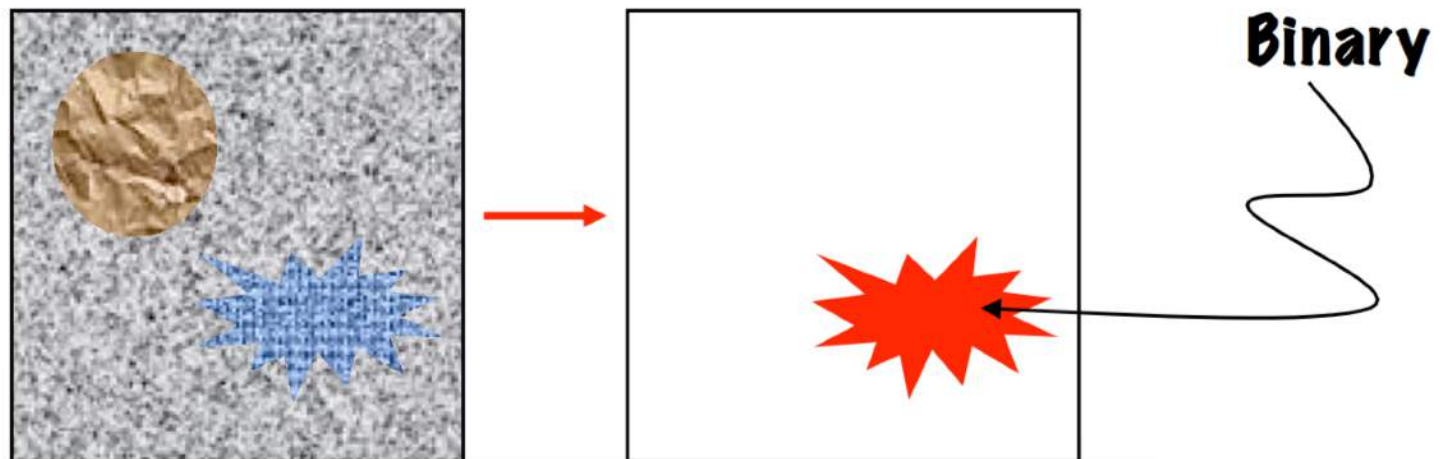
# Introduction

## ■ What is segmentation?

- ▶ Partitioning images/volumes into meaningful pieces



- ▶ Isolating a specific region of interest



# Why is segmentation interesting?

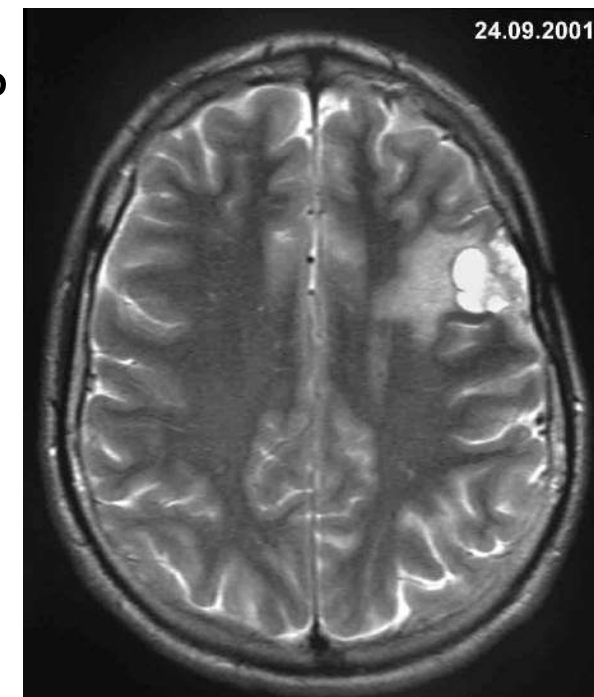
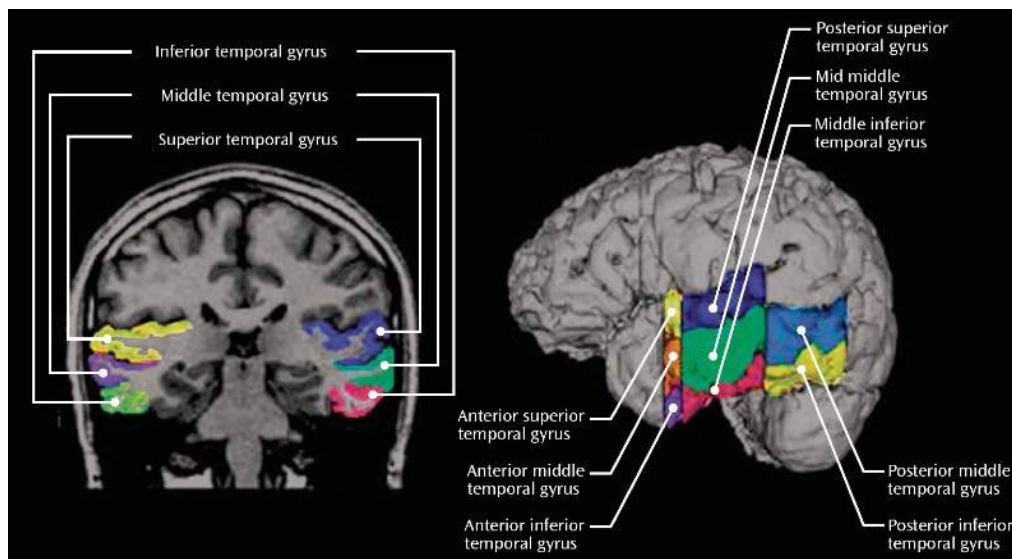
## ■ Detection/recognition of objects

- ▶ Where is the vehicle?
- ▶ Which type of vehicle is it?



## ■ Quantifying object properties

- ▶ How big is a tumour? Is it expanding or shrinking?
- ▶ Statistical analysis of sets of biological volumes



# Main categories of algorithms

- Threshold based approaches
- Region based approaches
- Morphological watershed
- Active contours

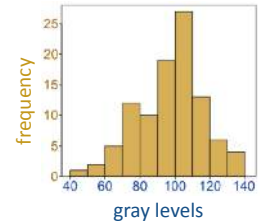
# Threshold based approaches

# recall Histogram of an image

- A **histogram** is a function  $h(i)$  that gives the *frequency of each intensity  $i$*  that occur in an image

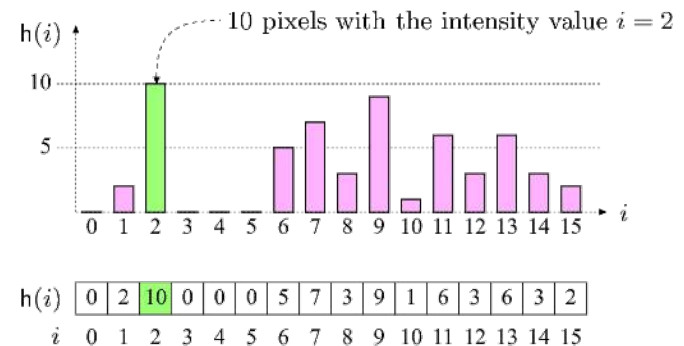
▶ Given an image  $I : \Omega \rightarrow [0 \dots K - 1]$ , its histogram is the function:

$$h(i) = \text{card} \{ (u, v) \mid I(u, v) = i \}$$



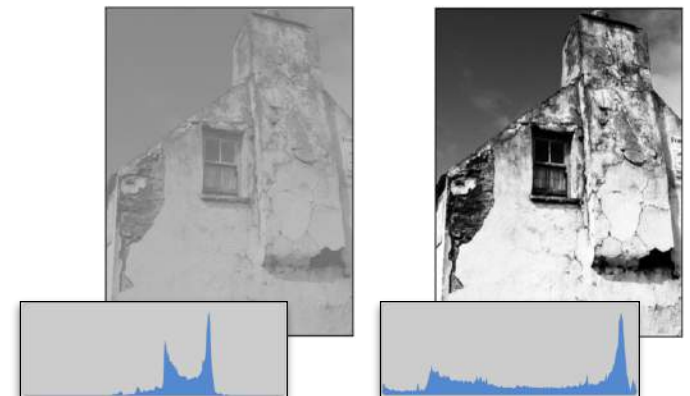
## ■ In other words

▶  $h(i)$  = number of pixels with intensity  $i$



## ■ Notes

- ▶ *Low-contrast* image → histogram is *narrow*
- ▶ *High-contrast* image → histogram is *spread out*
- ▶ In general, *image processing* alters the histogram



# recall Useful functions

## ■ Clamping (or clipping)

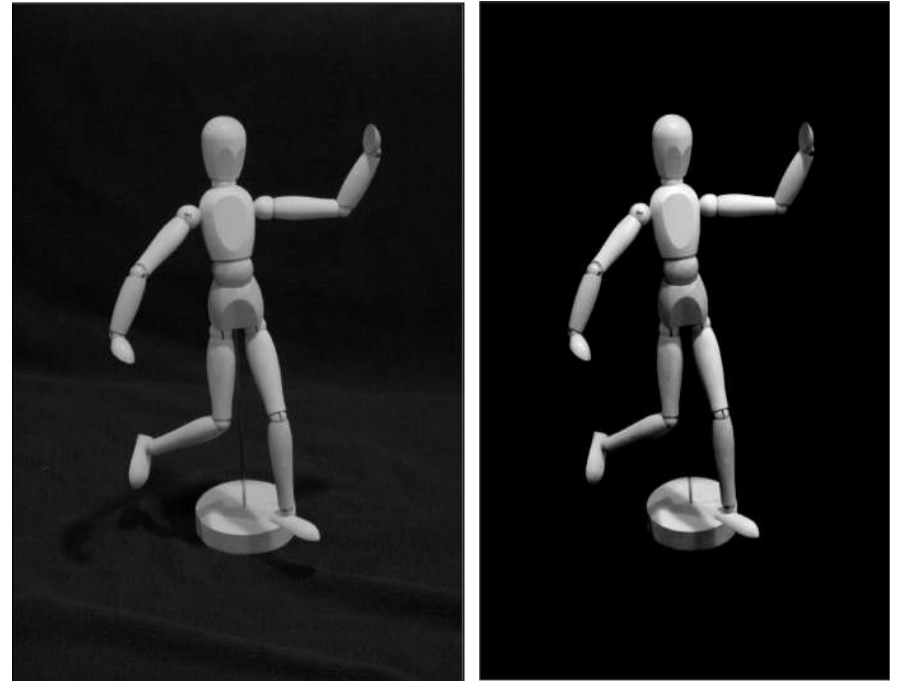
- ▶ *Limit intensities* to a given interval  $[a, b]$

$$f(p) = \begin{cases} a & \text{if } p < a \\ p & \text{if } a \leq p \leq b \\ b & \text{if } p > b \end{cases}$$

## ■ Windowing

- ▶ *Clamping* followed by *intensity stretching* to fill the full possible range  $[0, M]$

$$f(p) = \begin{cases} 0 & \text{if } p < a \\ M \times \frac{p-a}{b-a} & \text{if } a \leq p \leq b \\ M & \text{if } p > b \end{cases}$$



# recall Useful functions

## ■ Thresholding

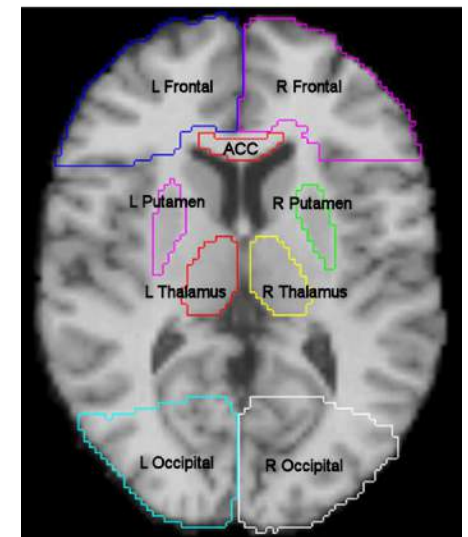
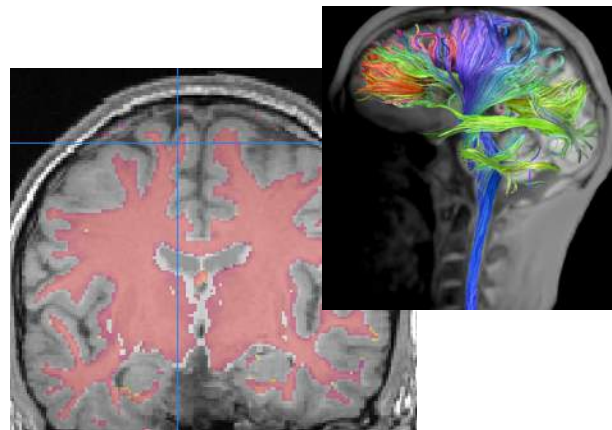
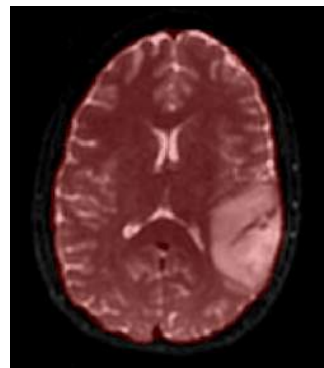
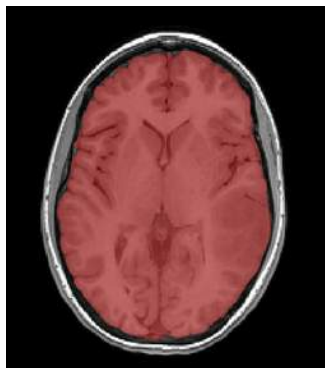
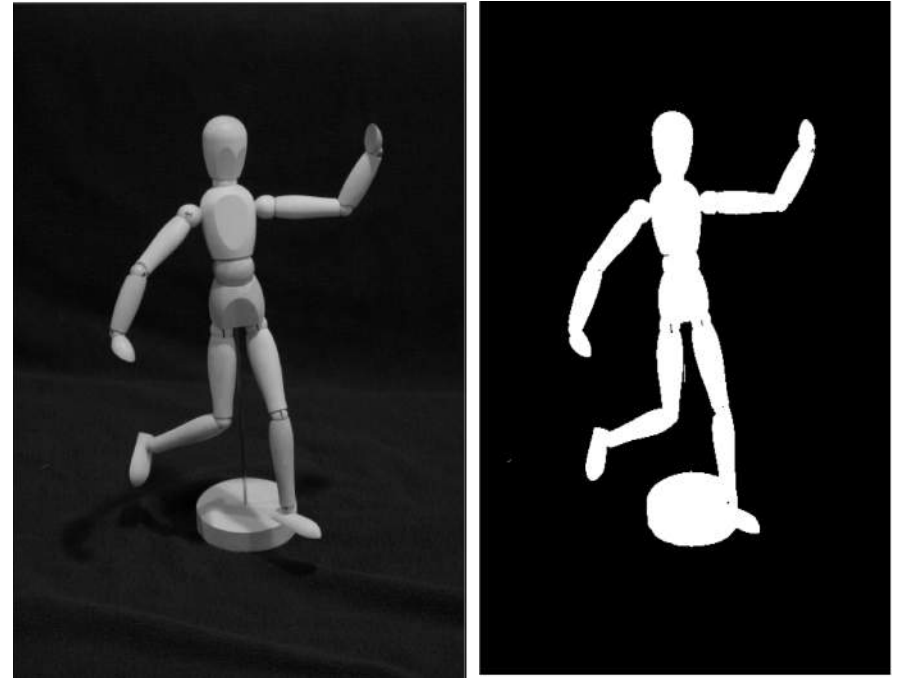
- ▶ Also called *image binarization*

$$f(p) = \begin{cases} 0 & \text{if } p \leq a \\ 1 & \text{if } p > a \end{cases}$$

## ■ Notes

- ▶ Despite their simplicity, **binary images** are widely used in medical image processing
- ▶ Examples

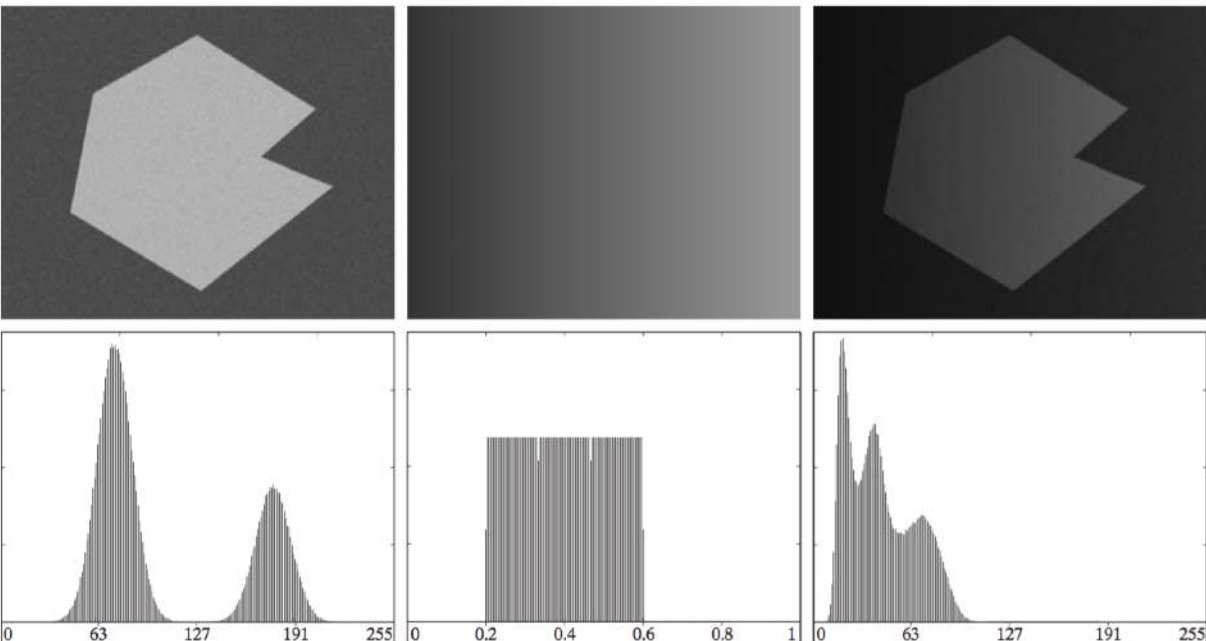
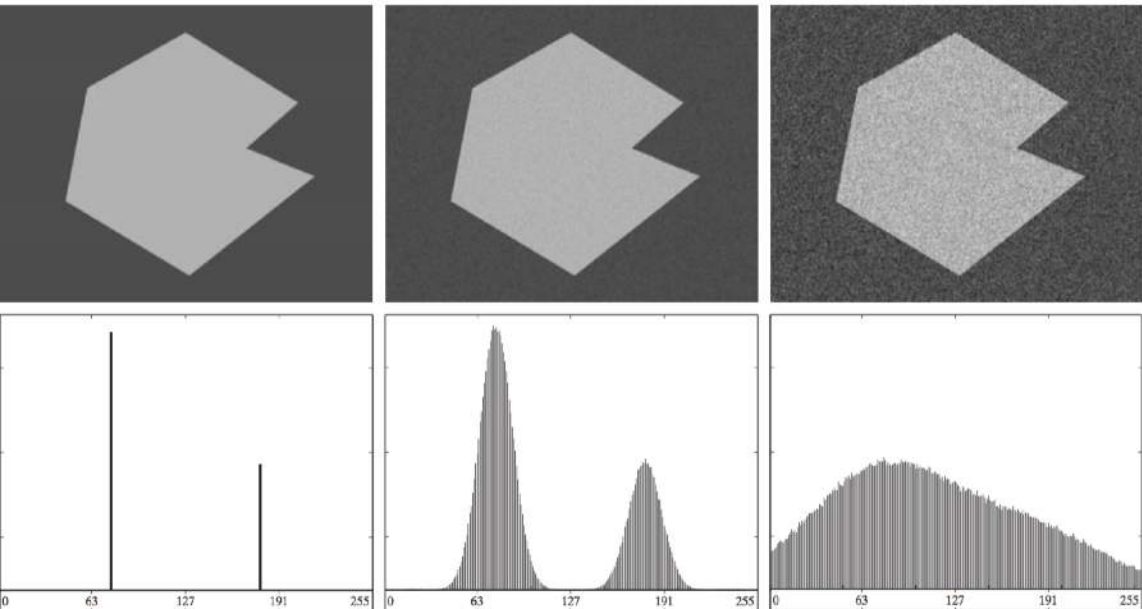
- *Constrain the processing to a given portion of the image, e.g brain*
- *Regions-of-interest (ROI) analysis*





# Noise and illumination

■ Noise and illumination affect the histograms



# recall Automatic thresholding

## ■ Difficult to find the **proper threshold**

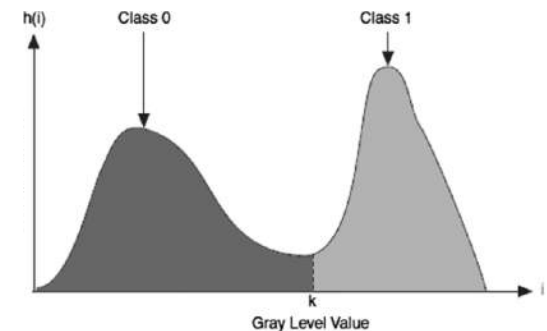
- ▶ Usually, there are **not only two regions**, e.g. foreground and background
- ▶ We will see **advanced segmentation tools** for the more general case

## ■ OTSU's method

- ▶ *Very basic* algorithm to **automatically binarize an image**
- ▶ Assumes that the image contains *exactly two regions* (i.e. bimodal histogram)
  - Actually, extensions exist for multiple regions
- ▶ Searches for the threshold that **minimizes the intra-class variance**:

$$\sigma_w^2(t) = \omega_0(t)\sigma_0^2(t) + \omega_1(t)\sigma_1^2(t)$$



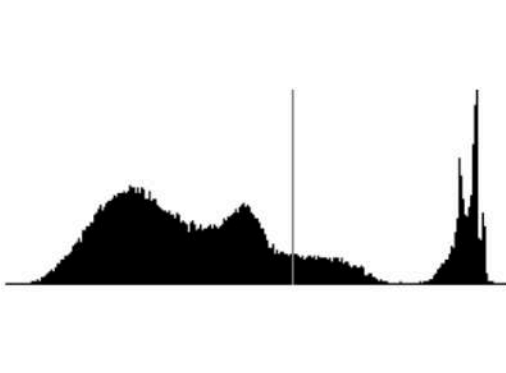


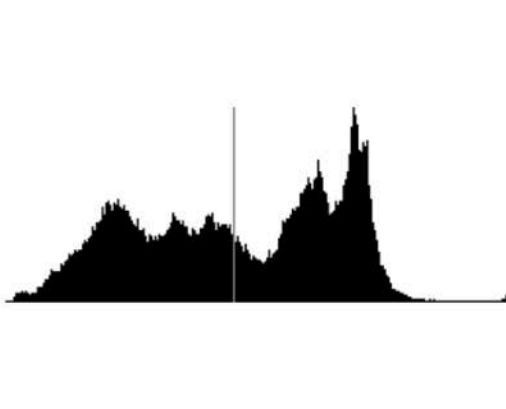


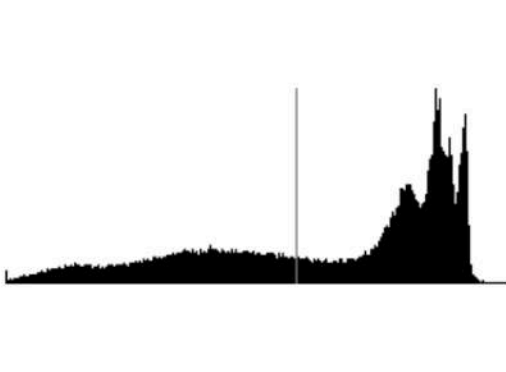
- $\omega_0$  and  $\omega_1$  are the *probabilities of the two classes* separated by a *threshold  $t$*
- $\sigma_0^2$  and  $\sigma_1^2$  are *variances* of these two classes
- ▶ **NB**: iterates through all the possible threshold values



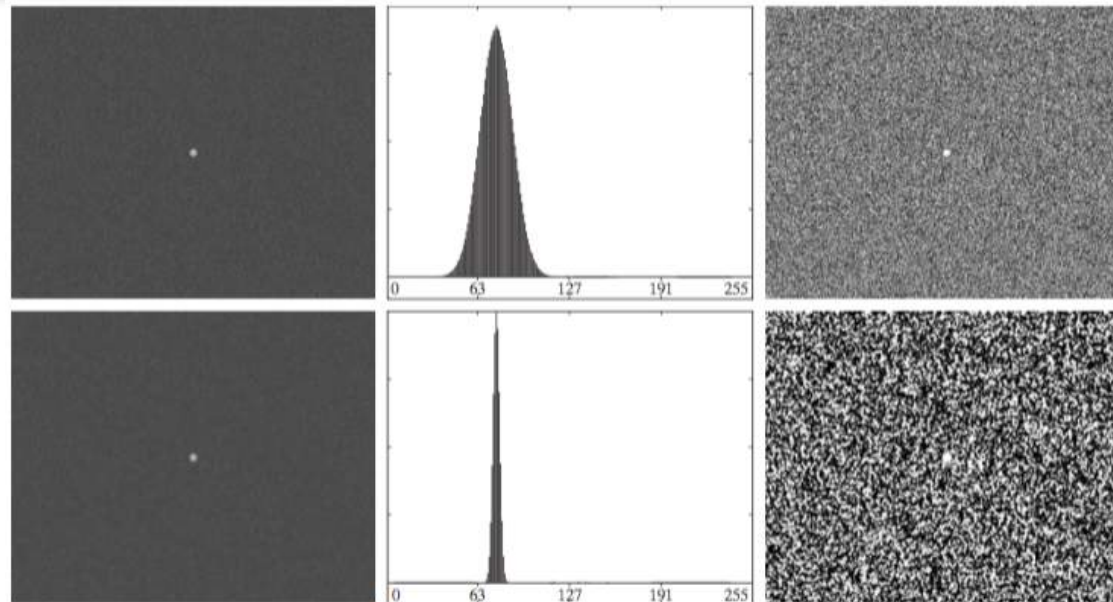
$$\omega_0(t) = \sum_{i=0}^{t-1} p(i)$$
$$\omega_1(t) = \sum_{i=t}^{L-1} p(i)$$

# recall Automatic thresholding

## ■ Examples

Greyscale Image	Binary Image	Histogram
		
		
		

# Use edges to improve global thresholding



## ■ Why?

Chances of selecting a “good” threshold are enhanced considerably if the histogram peaks are tall, narrow, symmetric, and separated by deep valleys.

## ■ Idea

Use only the pixels near the edges between objects and background to construct the histogram → the peaks will have approximately the same height.

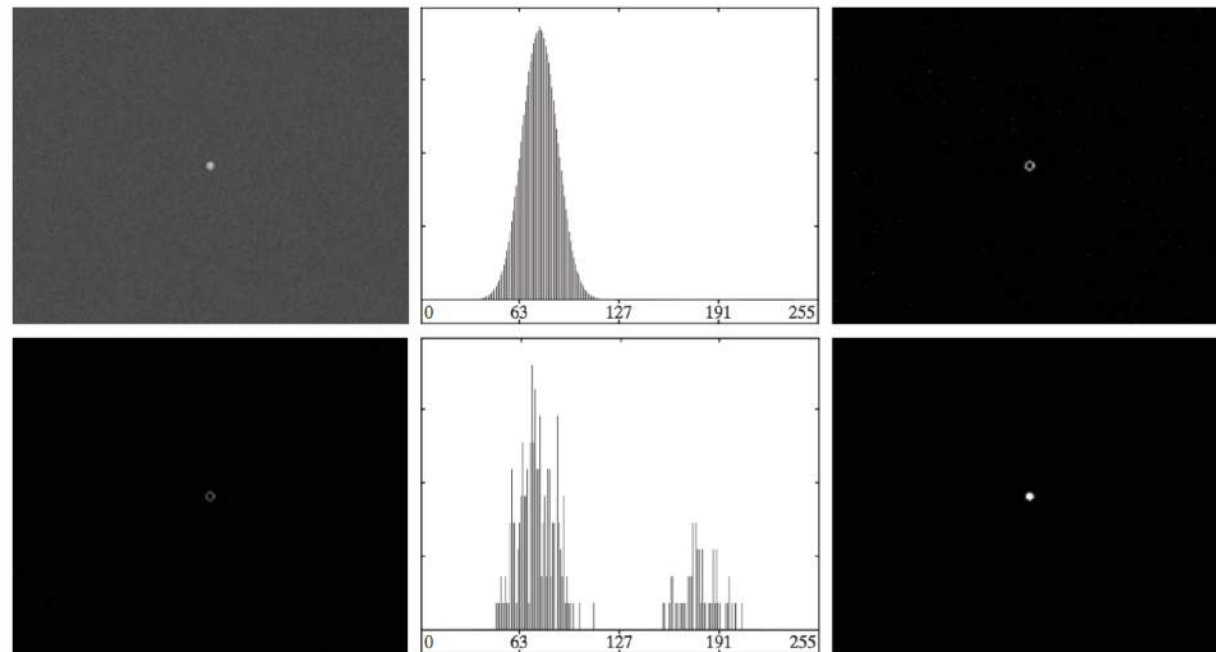
## ■ Problem

We don't know the edges! → We use the average value of the Laplacian is 0 at the transition of an edge.

# Otsu's method using edges information

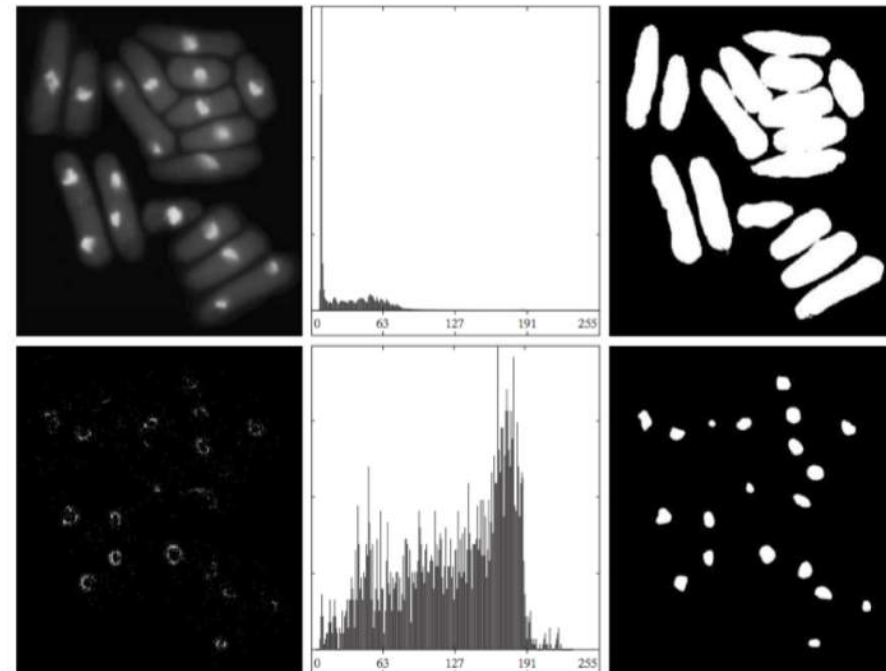
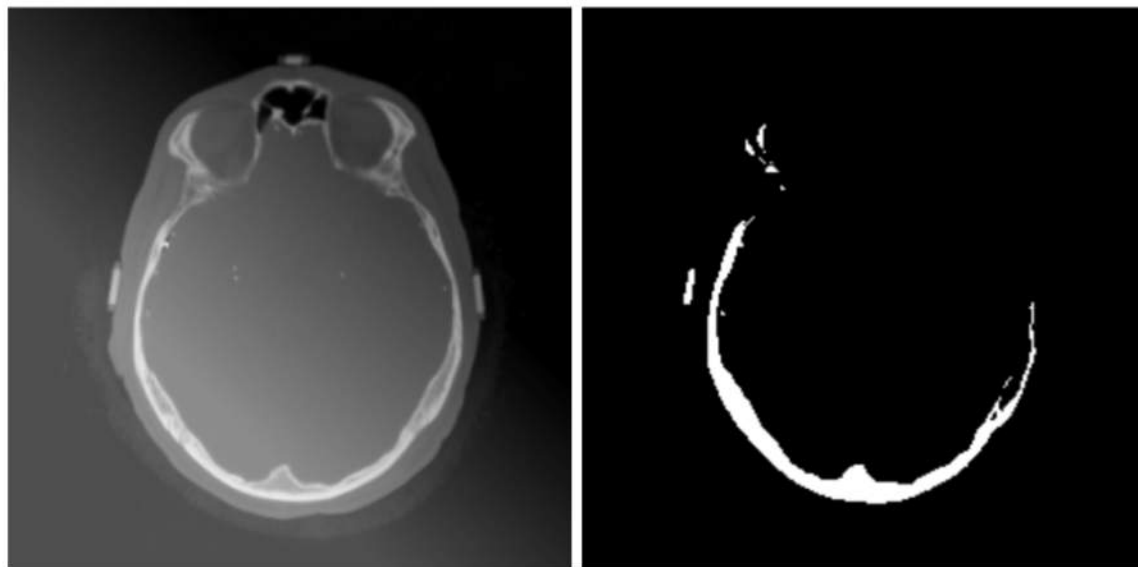
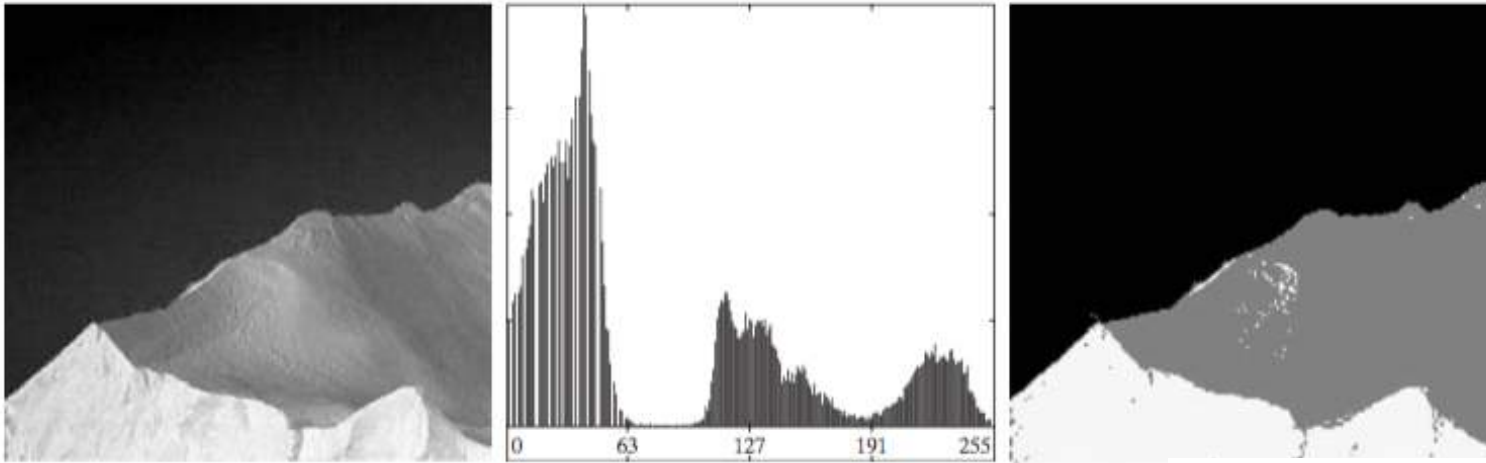
## Algorithm

1. Compute an **edge image** of  $f(x, y)$  using any method you have seen
2. Specify a **threshold** value  $T$
3. Threshold the image of Step1 using the threshold  $T$  to produce a binary image  $g_T(x, y)$  (**mask image**)
4. Compute an **histogram** using only the **pixels** in  $f(x, y)$  that correspond to the locations of the **1-valued pixels** in  $g_T(x, y)$
5. Use the histogram in Step4 to **segment**  $f(x, y)$  **globally** using Otsu's method.



# Noise and illumination

- **Noise** and **not uniform illumination** play a major role in the performance of a thresholding algorithm



# Multiple thresholds

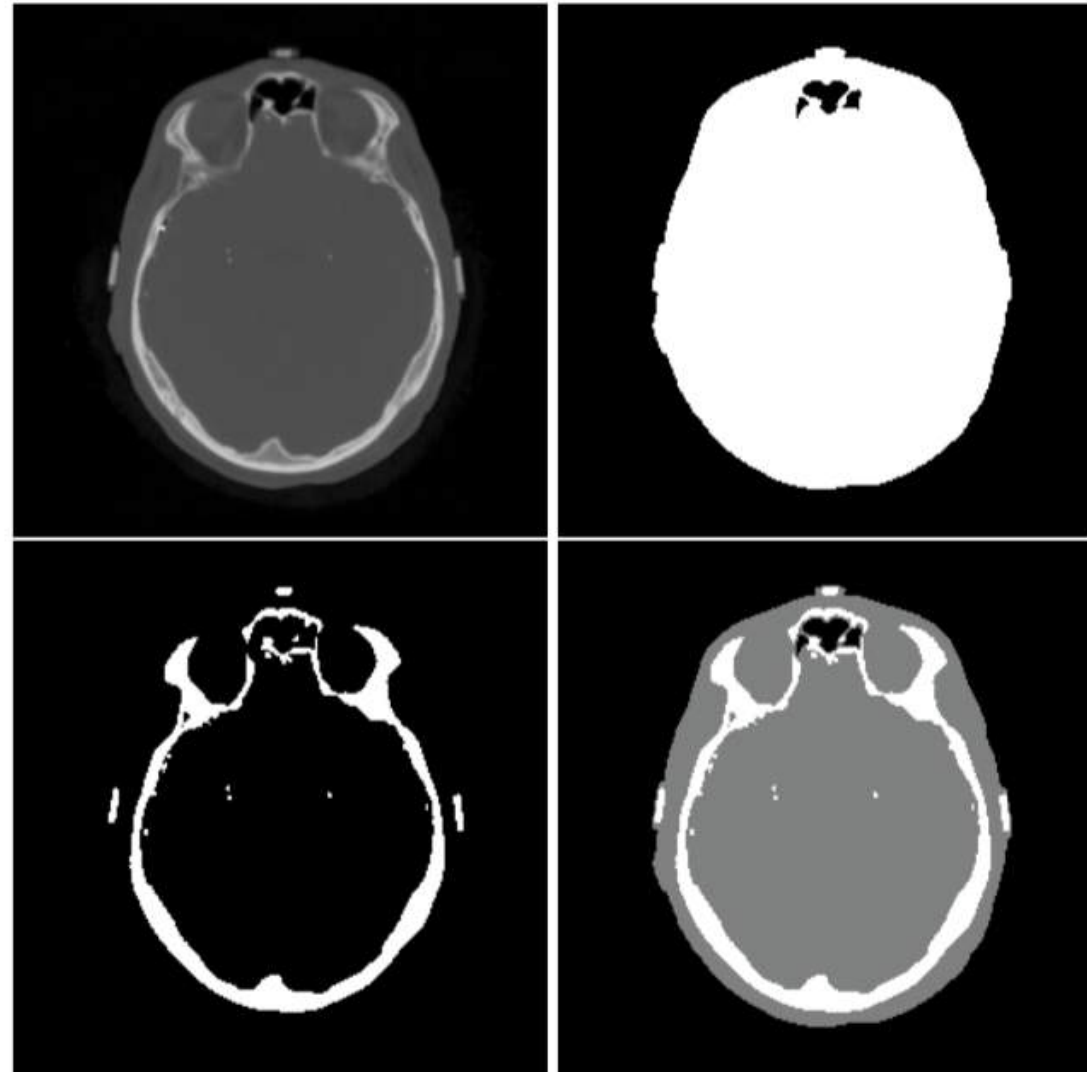
## ■ Why?

- ▶ To capture more features

## ■ How?

- ▶ Set more than one threshold simultaneously using the property of **separability measure**

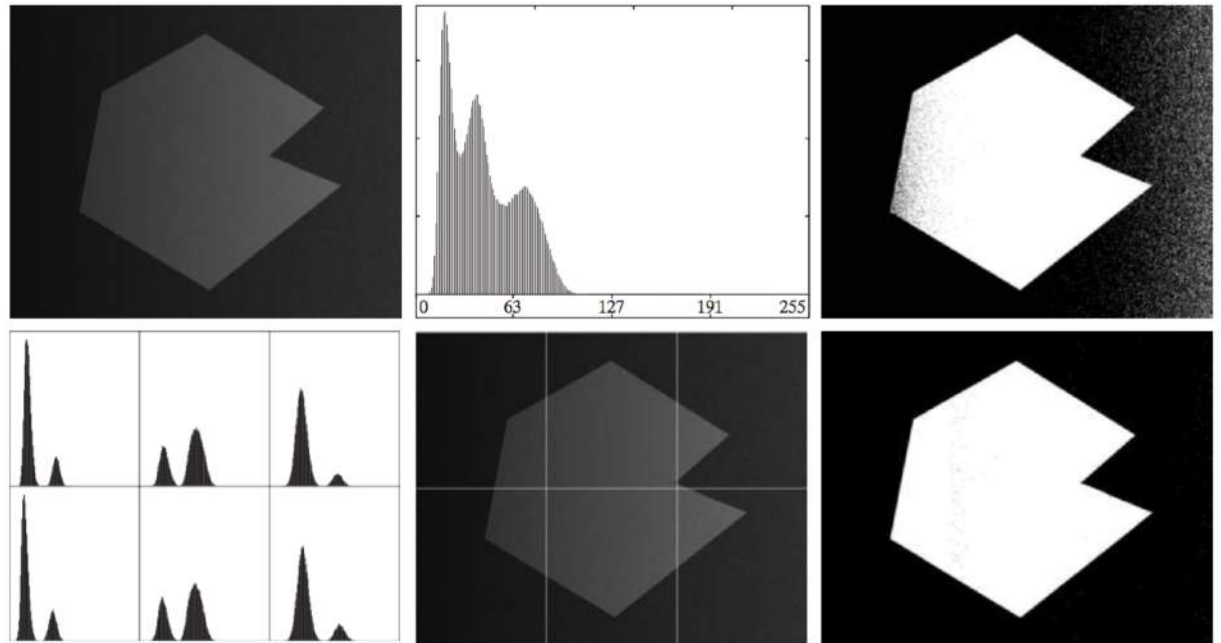
$$g(v) = \begin{cases} 0 & \text{if } v < t_1 \\ 1 & \text{if } t_1 \leq v < t_2 \\ 2 & \text{if } t_2 \leq v < t_3 \\ \vdots & \vdots \\ n & \text{if } t_n \leq v. \end{cases}$$



# Variable Thresholding

## Image subdivision

- ▶ To compensate for non-uniformities in illumination and/or reflectance



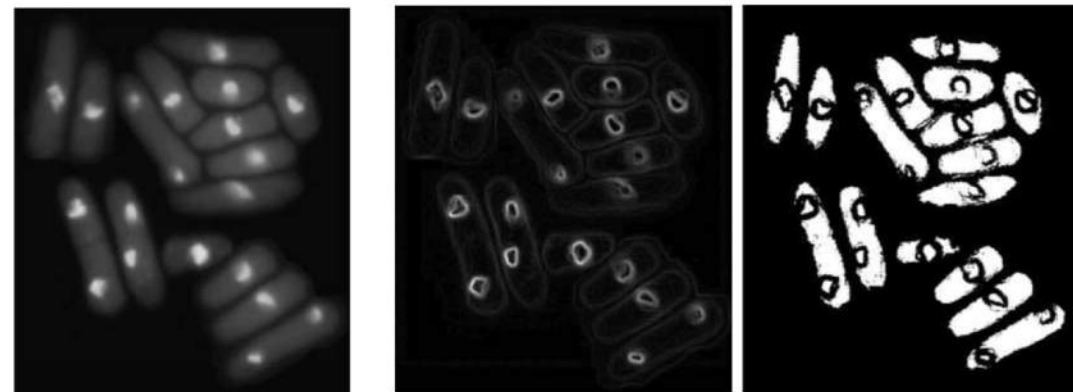
## Local image properties

Find a threshold for every point  $(x, y)$  using local properties (such as mean and standard deviation) of the set of pixels contained in the neighbourhood of  $(x, y)$

### Example:

$$T_{xy} = a\sigma_{xy} + bm_{xy}$$

$$g(x, y) = \begin{cases} 1 & \text{if } f(x, y) > T_{xy} \\ 0 & \text{if } f(x, y) \leq T_{xy} \end{cases}$$





# Multivariable thresholding

- If we consider a color image, then we have more than one variable to characterize each pixel (e.g. **RGB**).



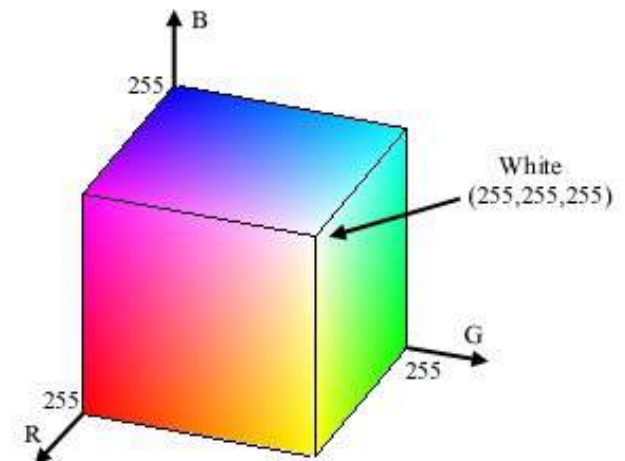
## multivariable thresholding

Each pixel is represented as a 3D vector  $\mathbf{z} = (z_1, z_2, z_3)$ . In order to perform a color threshold we then introduce the notion of distance  $D(\mathbf{z}, \mathbf{a})$

- $D(\mathbf{z}, \mathbf{a}) > 0$  for all  $\mathbf{z}$  not equal  $\mathbf{a}$
- $D(\mathbf{z}, \mathbf{a}) = 0$  if  $\mathbf{z} = \mathbf{a}$
- $D(\mathbf{z}, \mathbf{a}) \leq D(\mathbf{z}, \mathbf{x}) + D(\mathbf{x}, \mathbf{a})$
- $D(\mathbf{z}, \mathbf{a}) = T$  defines a volume

**Example:** Euclidean distance

$$D(\mathbf{z}, \mathbf{a}) = \|\mathbf{z} - \mathbf{a}\| = \left[ (\mathbf{z} - \mathbf{a})^T \cdot (\mathbf{z} - \mathbf{a}) \right]^{1/2}$$

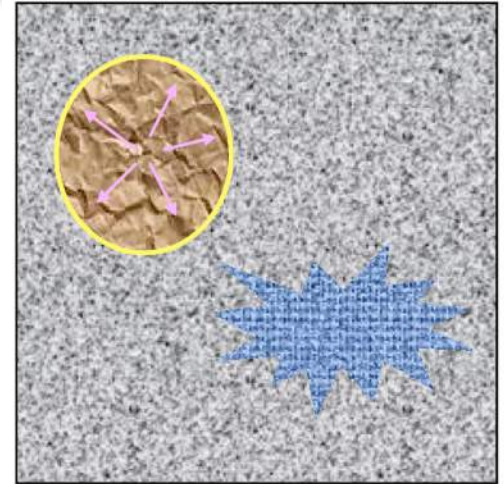


# Region based approaches

# Region-based approach

## ■ What is a region?

A group of connected pixels with **similar** properties



## ■ Idea

Pixels that correspond to an object are **grouped** together and **marked**

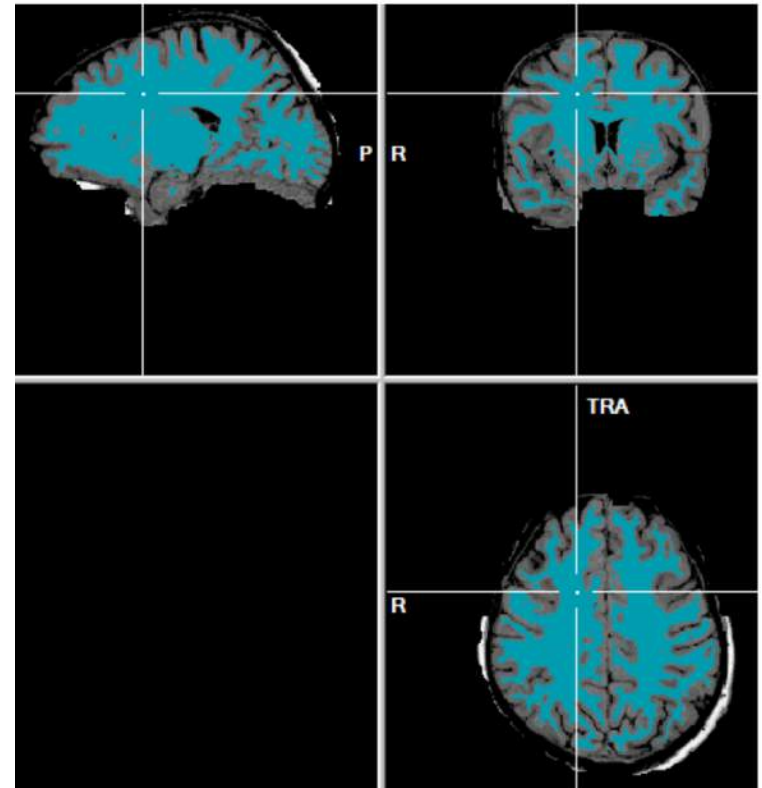
## ■ Principles

### ▶ Similarity

- Gray value differences
- Gray value variance

### ▶ Spatial proximity

- Euclidean distance
- Compactness of a region



# Region-based segmentation

## ■ Main Goal:

Partition an image  $I$  into regions  $R_i$

## ■ Formulation:

- ▶ Completeness. Every pixel must be in a region  $\bigcup_{i=1}^n R_i$
- ▶ Connectedness. The points of a region must be connected in some sense
- ▶ Disjointness. Region must be disjoint  $R_i \cap R_j = \emptyset \quad \forall i = 1, 2, \dots, n$
- ▶ Satisfiability. Pixels of a region must satisfy at least one common property  $P$   $P(R_i) = TRUE \quad \forall i = 1, 2, \dots, n$
- ▶ Segmentability. Different regions satisfy different properties!  $P(R_i \cup R_j) = FALSE \quad \forall i = 1, 2, \dots, n$

# Main methods of Region based Segmentation

■ Region Growing

■ Split and Merge

■ Clustering

# Region growing segmentation

## ■ Principle:

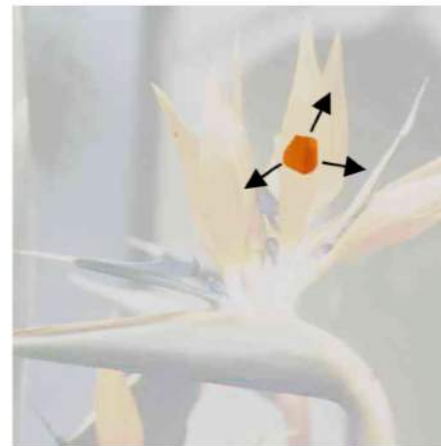
To group pixels or sub-regions into larger regions based of pre-defined criteria.

## ■ Method:

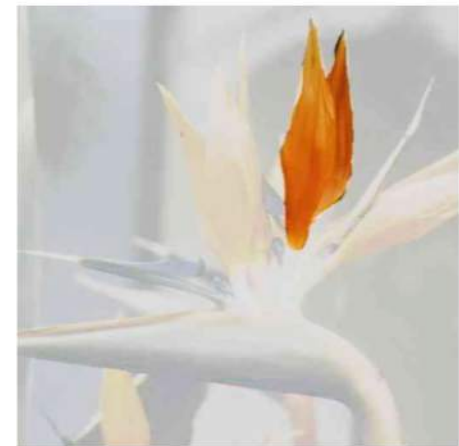
Select a set of pixels (“**seed points**”) of potential regions and try to grow them by appending to each seed point those neighbouring pixels that have **similar** properties (such as gray level, texture, color, shape, ...) till the pixels being compared are too dissimilar.



seed



growing



final region

# Region growing algorithm

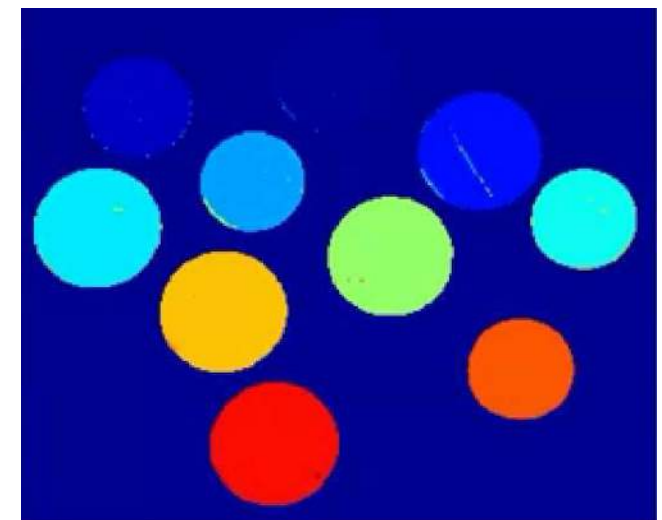
## ■ Algorithm based on 8-connectivity

$f(x, y)$  - the **input image**

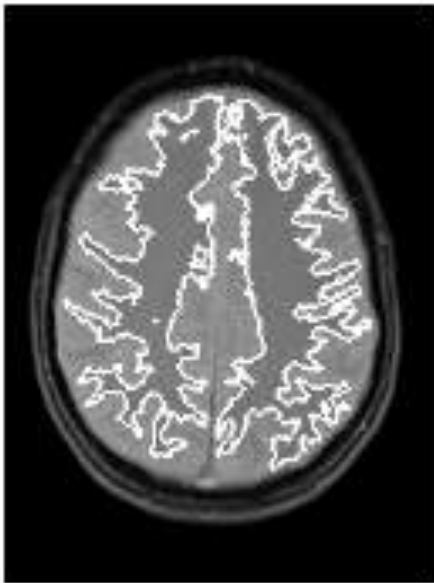
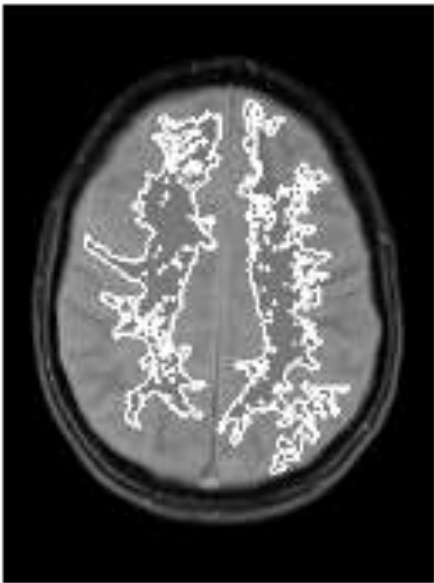
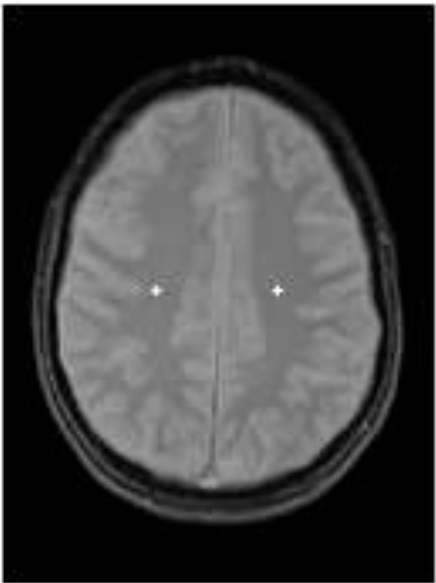
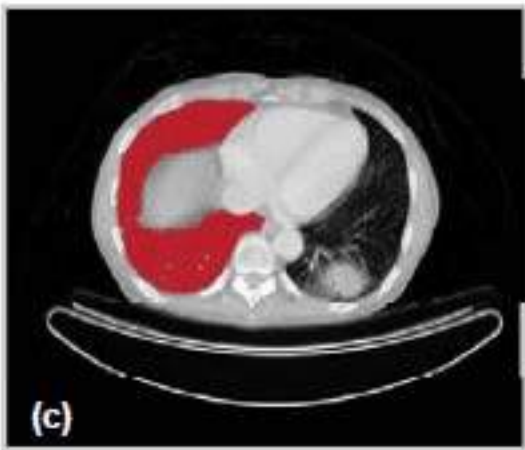
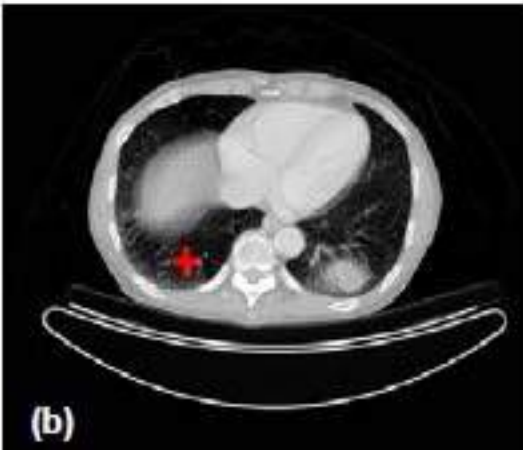
$S(x, y)$  - **seed array** containing 1 at the location of seed points and 0 elsewhere ( $\text{size}(S)=\text{size}(f)$ )

$P$  - **predicate** to be applied at each location  $(x, y)$

1. Find all **connected components** in  $S(x, y)$  and **erode** each connected component to one pixel
2. Form an image  $f_P(x, y)$  such that at a pair coordinates  $(x, y)$   $f_P(x, y) = 1$  if the **input image satisfies the given predicate  $P$** , otherwise  $f_P(x, y) = 0$
3. Let  $g(x, y)$  be an image formed by **appending** to each seed point in  $S(x, y)$  all the **1-valued points** in  $f_P(x, y)$  that are **8-connected** to that seed point
4. **Label** each connected component in  $g(x, y)$  with a different region label obtaining the **segmented image**



# Region growing examples





# Region growing segmentation comments

## ■ Advantages

- ▶ It is a **fast** method
- ▶ It is conceptually **simple**



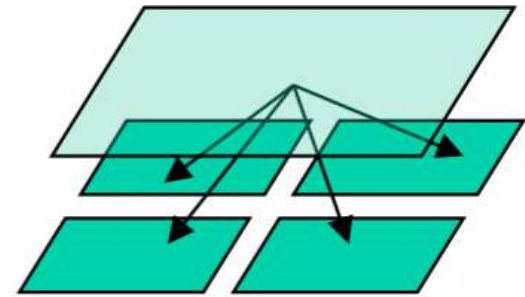
## ■ Disadvantages

- ▶ **Local method**: no global view of the problem
- ▶ **Application specific**: may need user to select starting points and different choices of seeds may give different segmentation results
- ▶ Problems can occur if the seed point lies on an edge
- ▶ May yield misleading results if **connectivity properties** are not used
- ▶ **Stopping rule** difficult to be defined
- ▶ Algorithm is very **sensitive to noise**

# Region splitting and merging

## ■ Region splitting:

Starts with the whole image as a single region and **subdivides it into subsidiary regions recursively** while a condition of homogeneity is not satisfied.



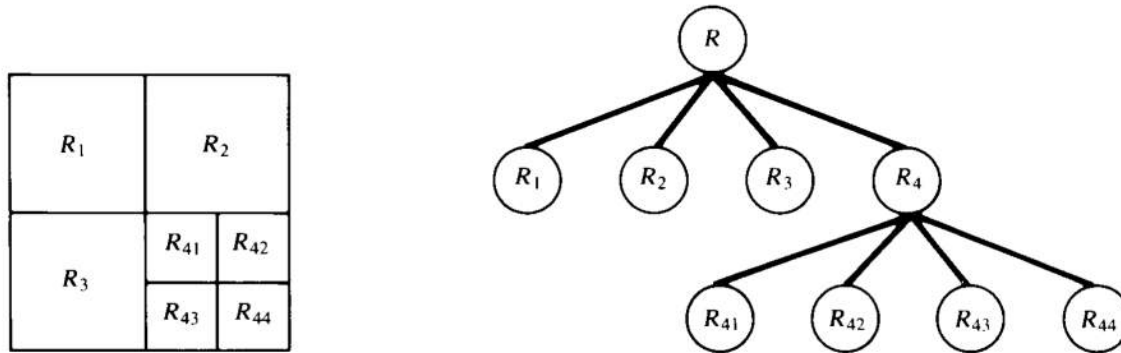
## ■ Region merging:

- ▶ Is the opposite of region splitting, and works as a way of **avoiding over-segmentation**
- ▶ Starts with small regions (e.g. 2x2 or 4x4 regions) and **merge the regions** that **have similar characteristics** (such as gray level, variance, ...).

# Splitting and Merging

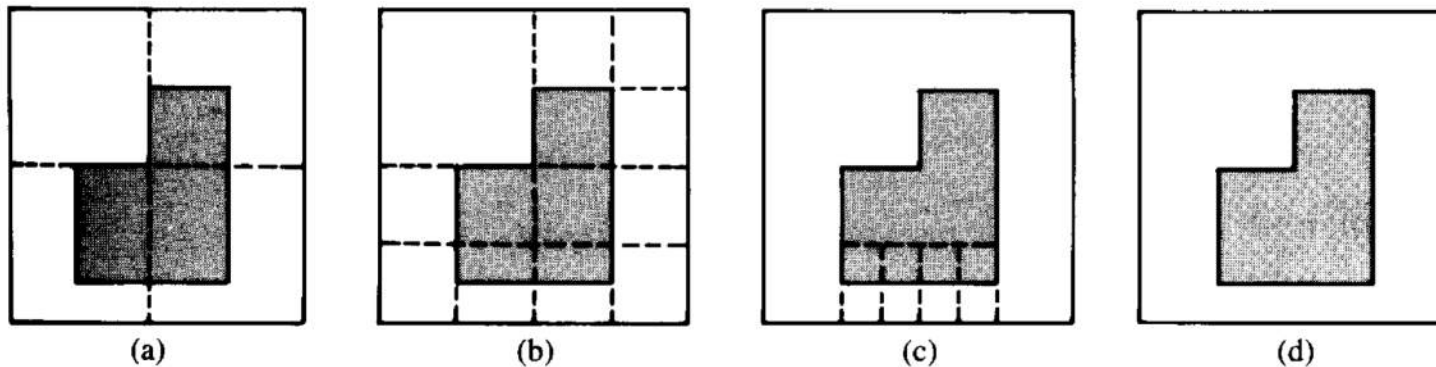
## Algorithm

1. **Split** into four disjoint quadrants any region  $R_i$  for which  $P(R_i) = FALSE$



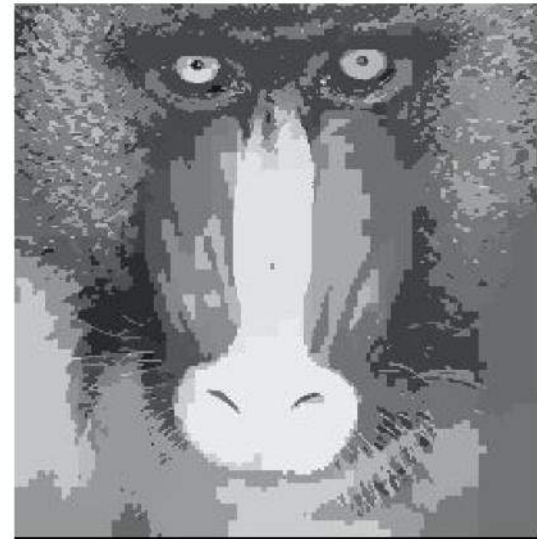
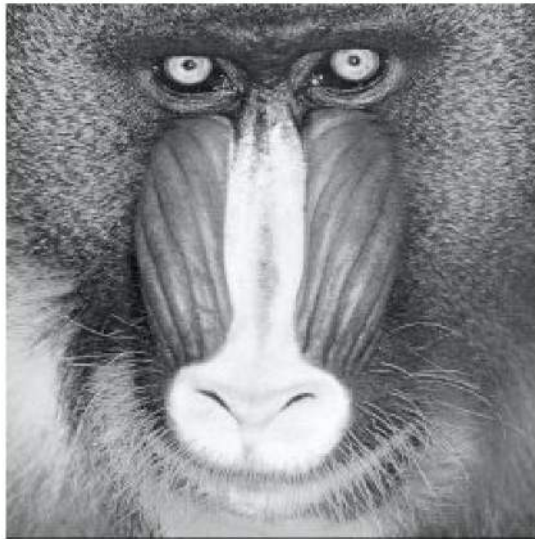
2. When no further splitting is possible, **merge** any adjacent regions  $R_j$  and  $R_k$  for which  $P(R_j \cup R_k) = TRUE$

3. **Stop** when no further splitting or merging is possible



**Figure 7.38** Example of split-and-merge algorithm. (From Fu, Gonzalez, and Lee [1987].)

# Observations on splitting and merging



- Tries to eliminate the need for seeds  
Sort of an all purpose algorithms
- Still requires a predicate  $P$   
 $P(R_i)$  needs to be fairly generic
- The key to this algorithm is how to merge the regions!

# Clustering

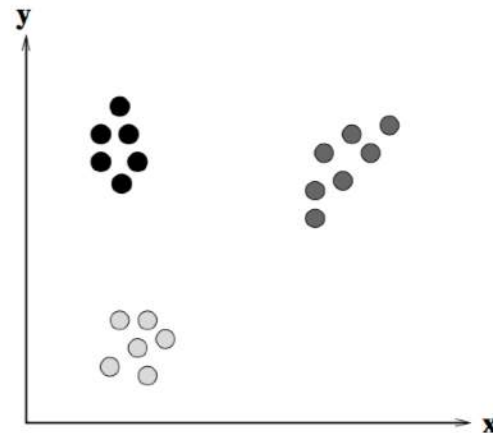
Process of **partitioning** a set of pattern vectors **into subsets** called **clusters**.

## ■ Principles:

- ▶ Used any **feature** that can be **associated** to a **pixel** to group them (intensity values, RGB values, texture measurements, etc. ...)
- ▶ Once pixels have been grouped into clusters, **find connected regions** using connected components labeling.

## ■ Least square error measure to find how “close” are the pixels

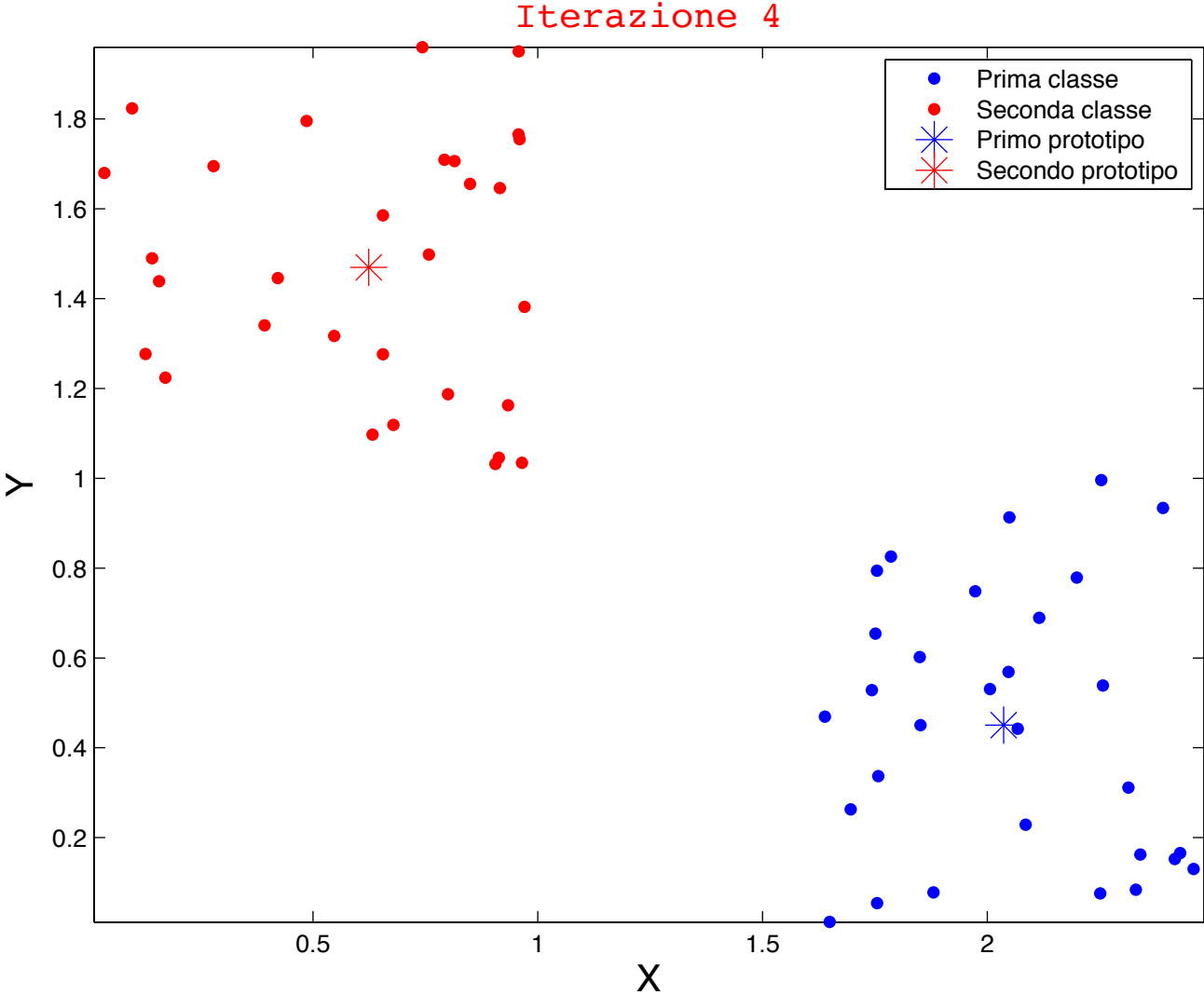
$$D = \sum_{k=1}^K \sum_{x_i \in C_k} \|x_i - m_k\|^2.$$



# Clustering example

■ Using Euclidean distance

$$D = \sum_{k=1}^K \sum_{x_i \in C_k} \|x_i - m_k\|^2.$$



# K-Means algorithm

## ■ Classical algorithm: K-Means

1. Set  $ic$  (iteration count) to 1
2. Choose randomly a set of  $K$  means  $m_1(1), \dots, m_K(1)$
3. For each vector  $x_i$  compute  $D(x_i, m_k(ic))$  for each  $k = 1, \dots, K$  and assign  $x_i$  to the cluster  $C_j$  with the nearest mean
4. Increment  $ic$  by 1 and update the means to get a new set  $m_1(ic), \dots, m_K(ic)$
5. Repeat steps 3 and 4 until  $C_k(ic) = C_k(ic + 1) \quad \forall k$



Figure 10.4: Football image (left) and  $K=6$  clusters resulting from a K-means clustering procedure (right) shown as distinct gray tones. The six clusters correspond to the six main colors in the original image: dark green, medium green, dark blue, white, silver, and black.

# K-Means Limits

## Advantages

- ▶ It is a **fast** method
- ▶ It is conceptually **simple**
- ▶ **Convergence** is guaranteed



## Disadvantages

- ▶ **Exact number of clusters** must be provided
- ▶ Features with larger scales dominate clustering

## Possible solution

Introduce the concept of “**uncertainty**” using a *probabilistic* (*fuzzy* or *probabilistic*) formulation



Original



K=5



K=11



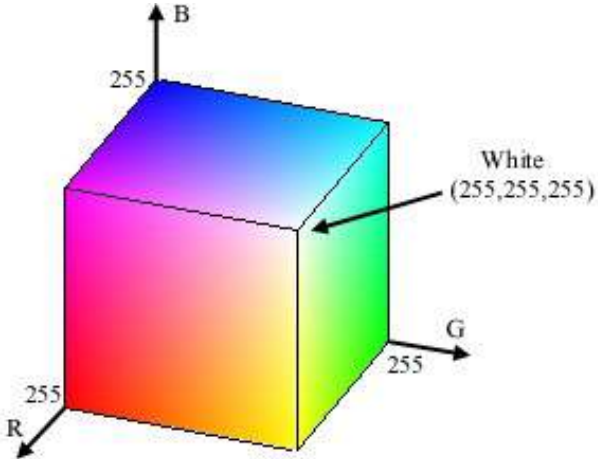
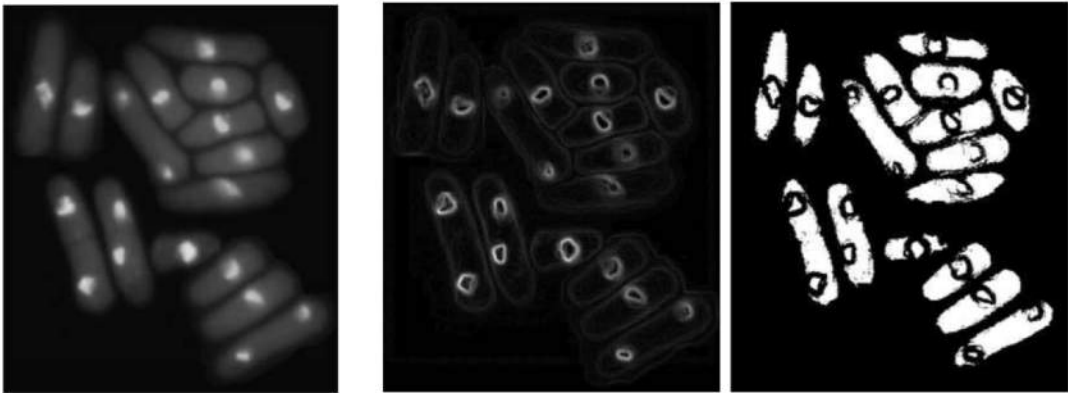
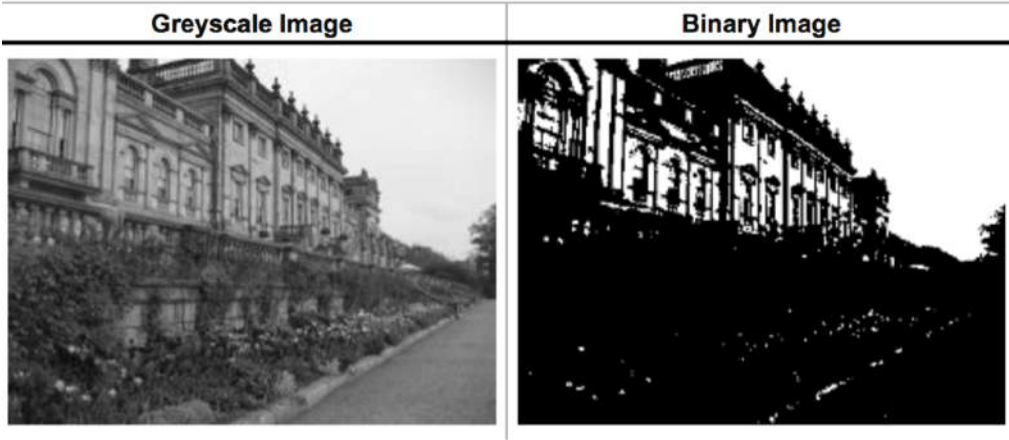
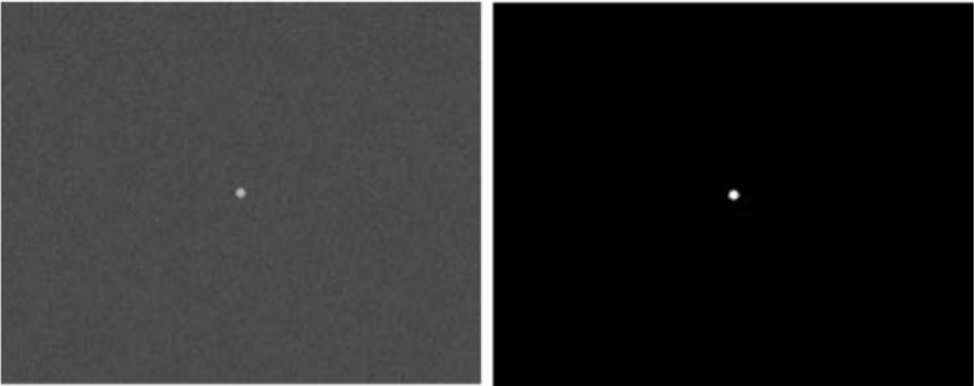
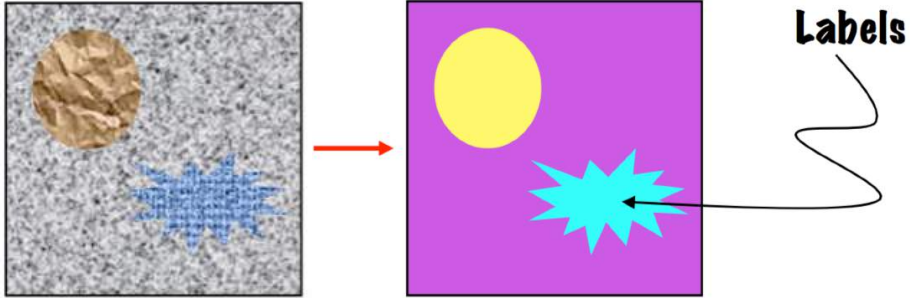
# Segmentation

Part II

# Summary Part I

## Threshold based approaches

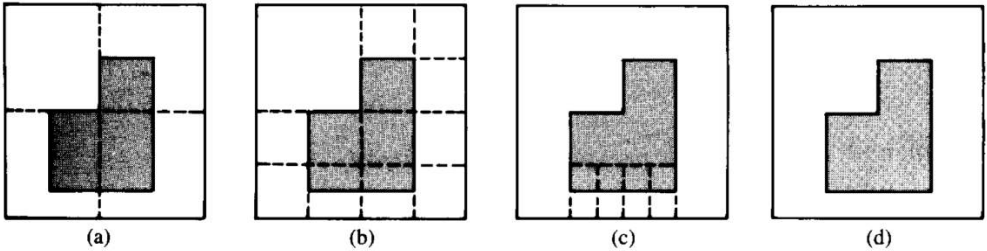
- ▶ Otsu's method
- ▶ Otsu's method with edge detection
- ▶ Variable thresholding
- ▶ Multivariable thresholding



# Summary Part I

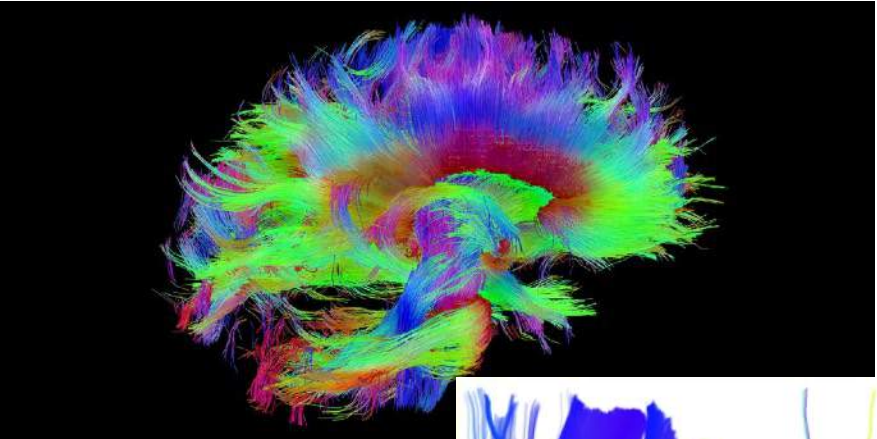
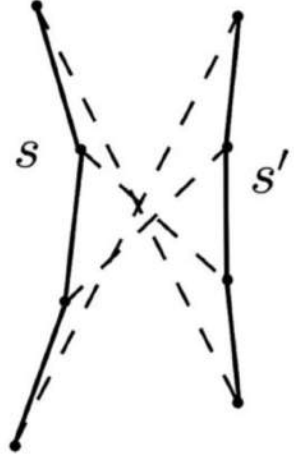
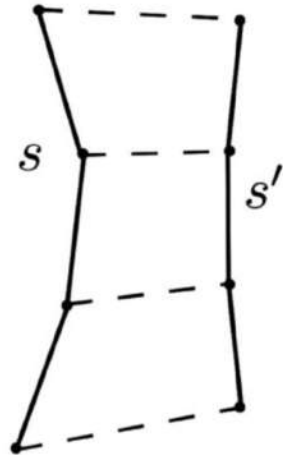
## Region-based approaches

- ▶ Region growing
- ▶ Split and Merge
- ▶ Clustering



$$d_{\text{direct}}(s, s')$$

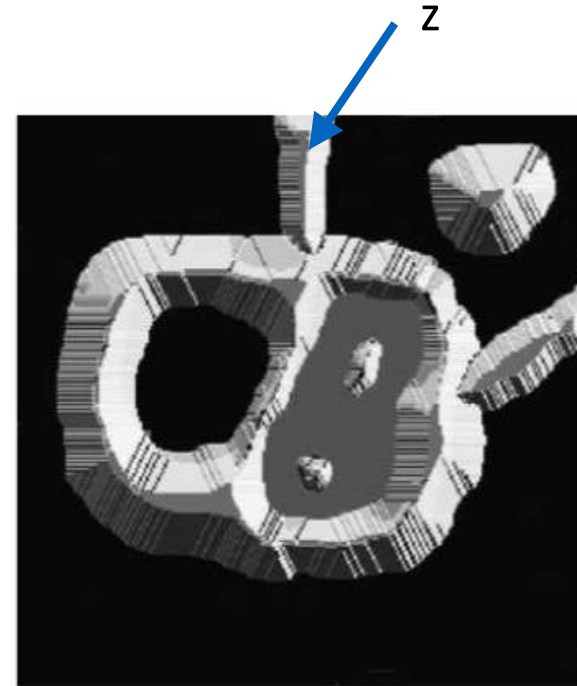
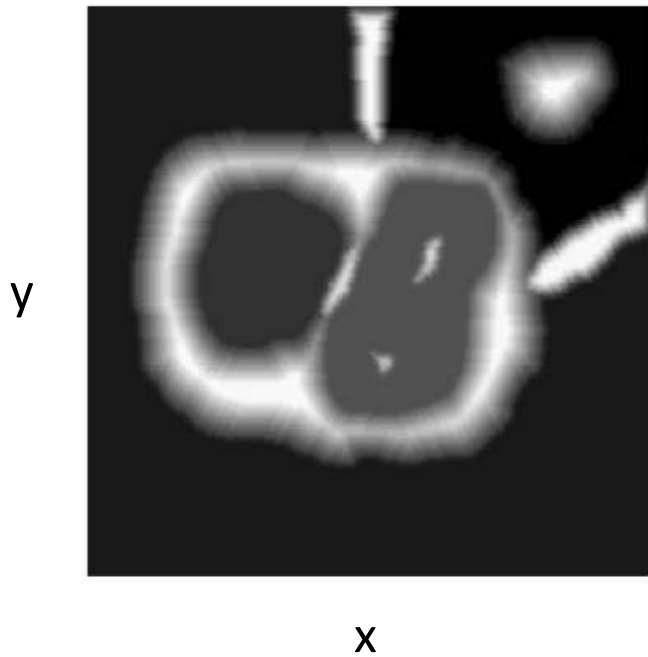
$$d_{\text{flipped}}(s, s')$$



# Morphological watershed

# Morphological watershed

- Visualize a 2D image in 3-dimensions:
  - ▶ 2 spatial coordinates
  - ▶ intensity of the pixel as third coordinate



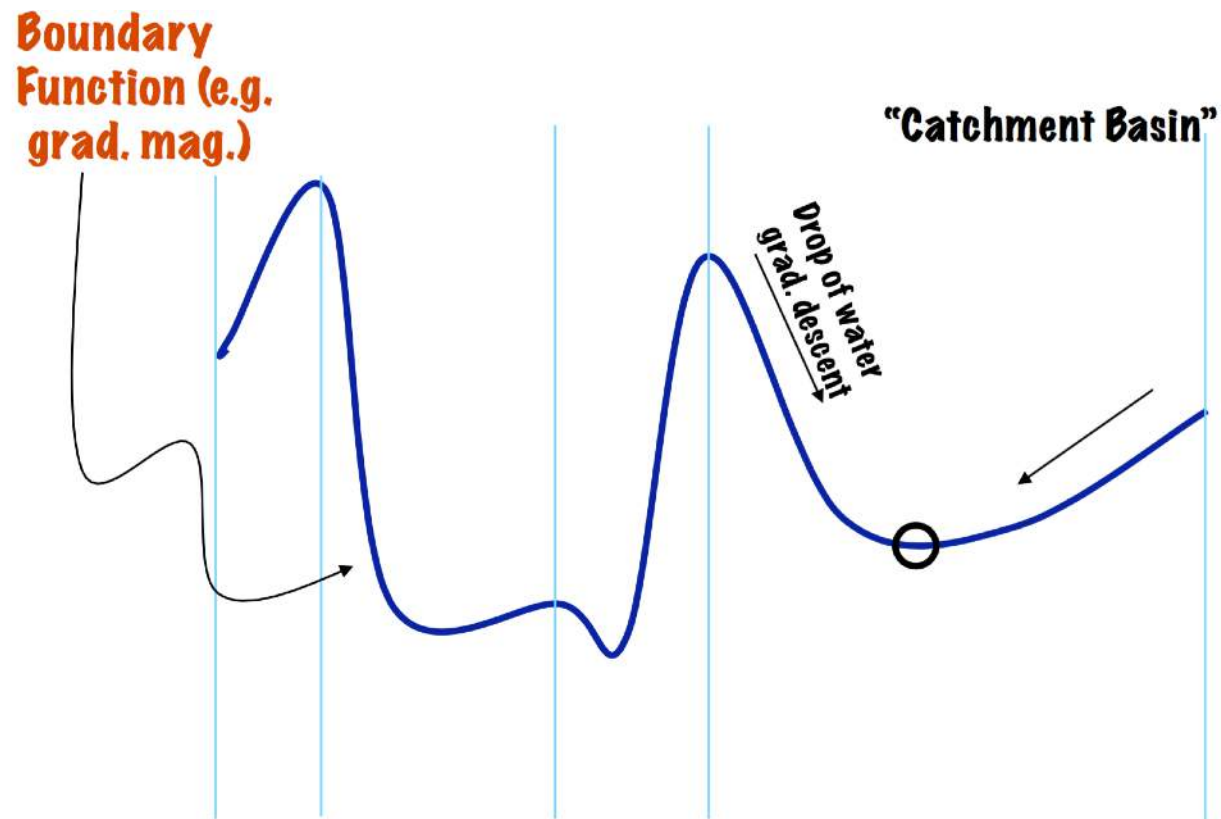
# Watershed

## ■ Topographic interpretation

- ▶ points belong to a regional *minimum*
- ▶ points at which a drop of water would fall with certainty to a single minimum *watershed*
- ▶ points at which water would be equally likely to fall to more than one such minimum *watershed lines*

## ■ Aim

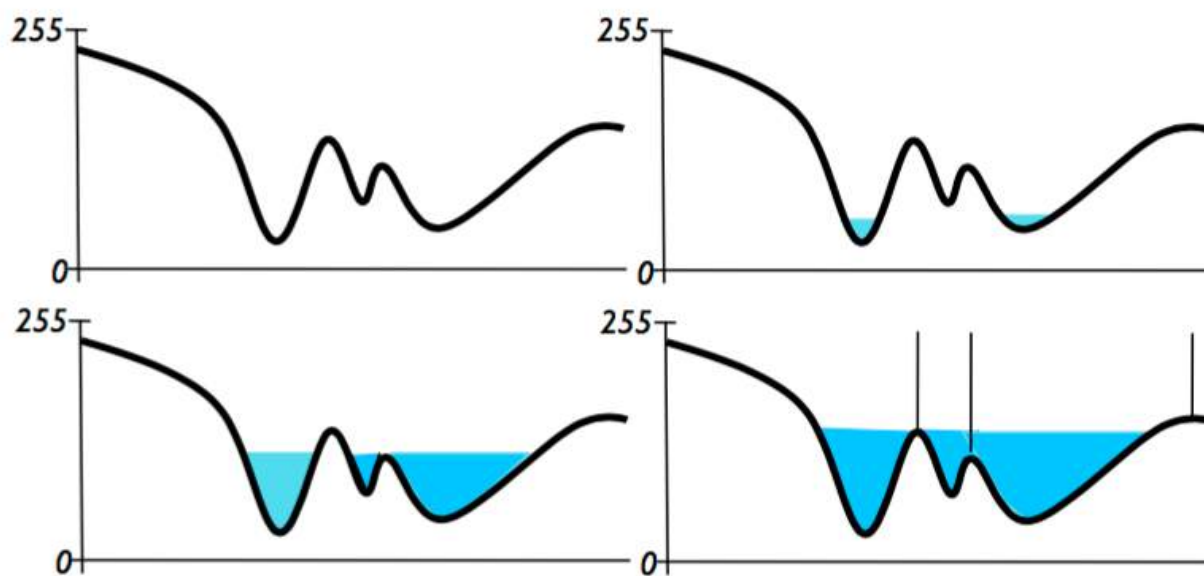
Identify all the *watershed lines* to get a segmentation



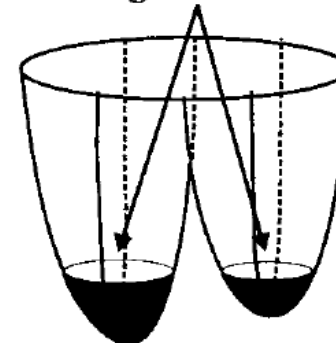
# Watershed principles

## Underlying idea

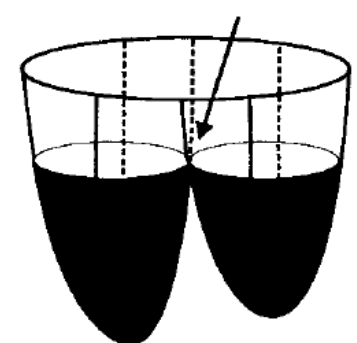
- ▶ Imagine that a **hole is done through each local minimum** so that the entire **topography is flooded with water** rising through the holes at uniform rate
- ▶ When rising water in adjacent catchment basin is about to merge, **a dam is built up to prevent merging**. These dam boundaries correspond to watershed lines



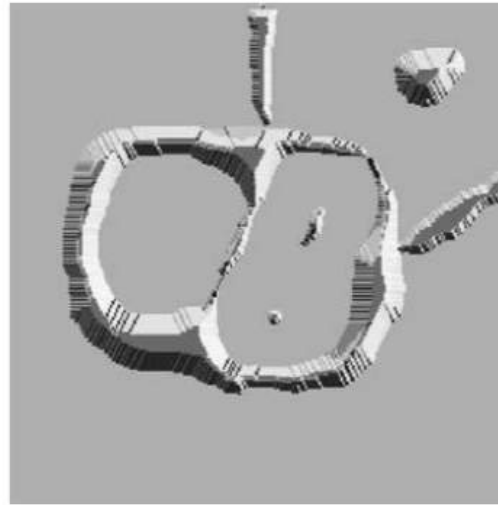
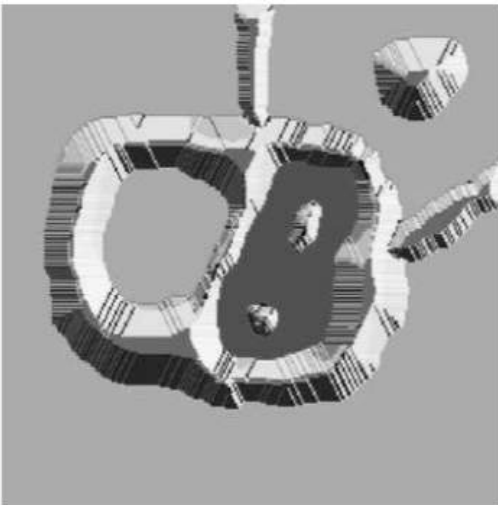
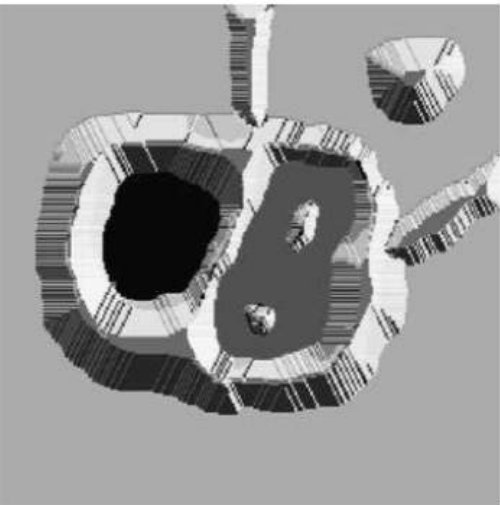
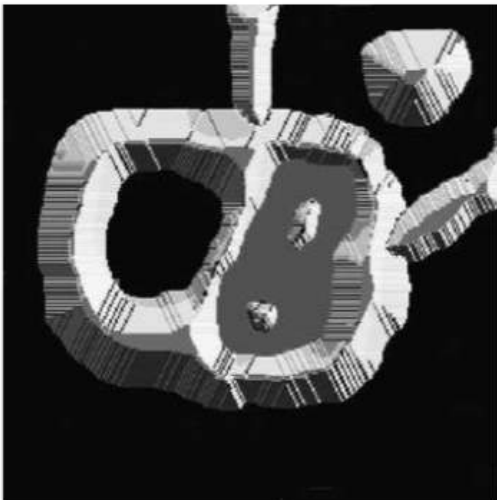
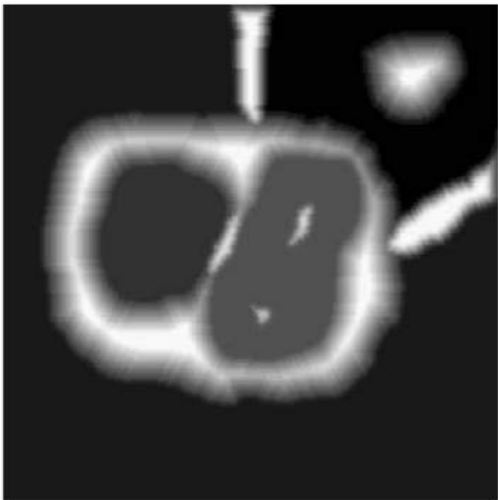
catchment basins begin  
filling with water



watershed line forms here



# Watershed principles





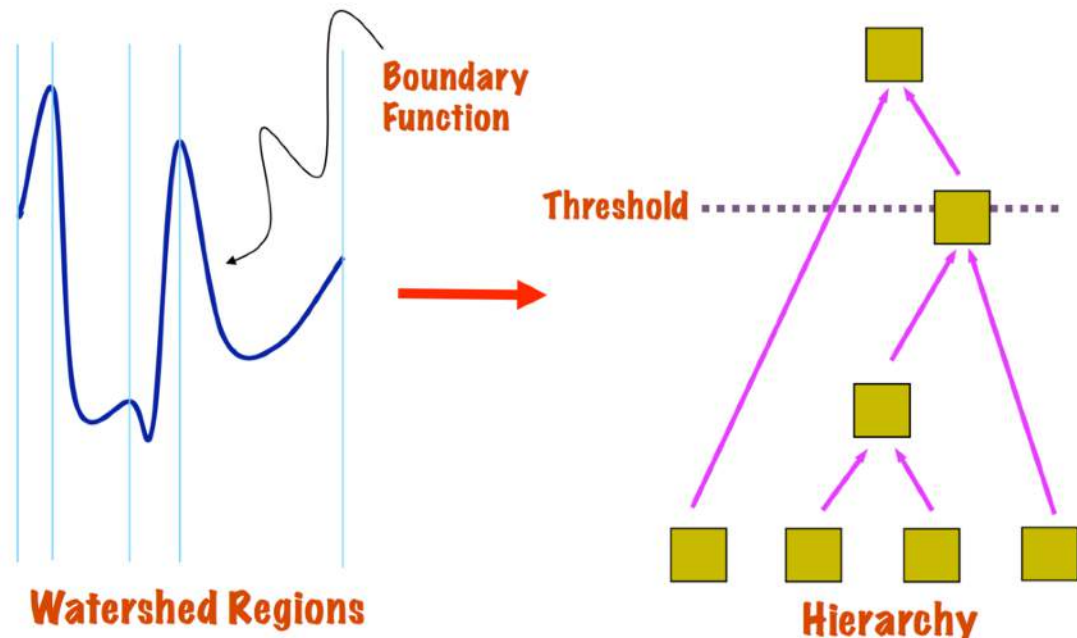
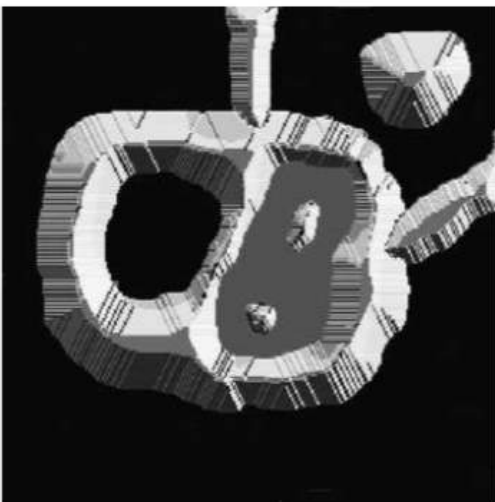
# Watershed algorithm: Basic steps

## ■ Start with all the pixels with the lowest possible values

- ▶ These pixels are the *local minima* “through which we start to flood water”

## ■ For each group of pixels of intensity $k$

- ▶ If the point is adjacent to exactly one existing region, add this pixel to that region
- ▶ Else
  - if the point is adjacent to more than one existing regions, mark as boundary
  - Else start a new region



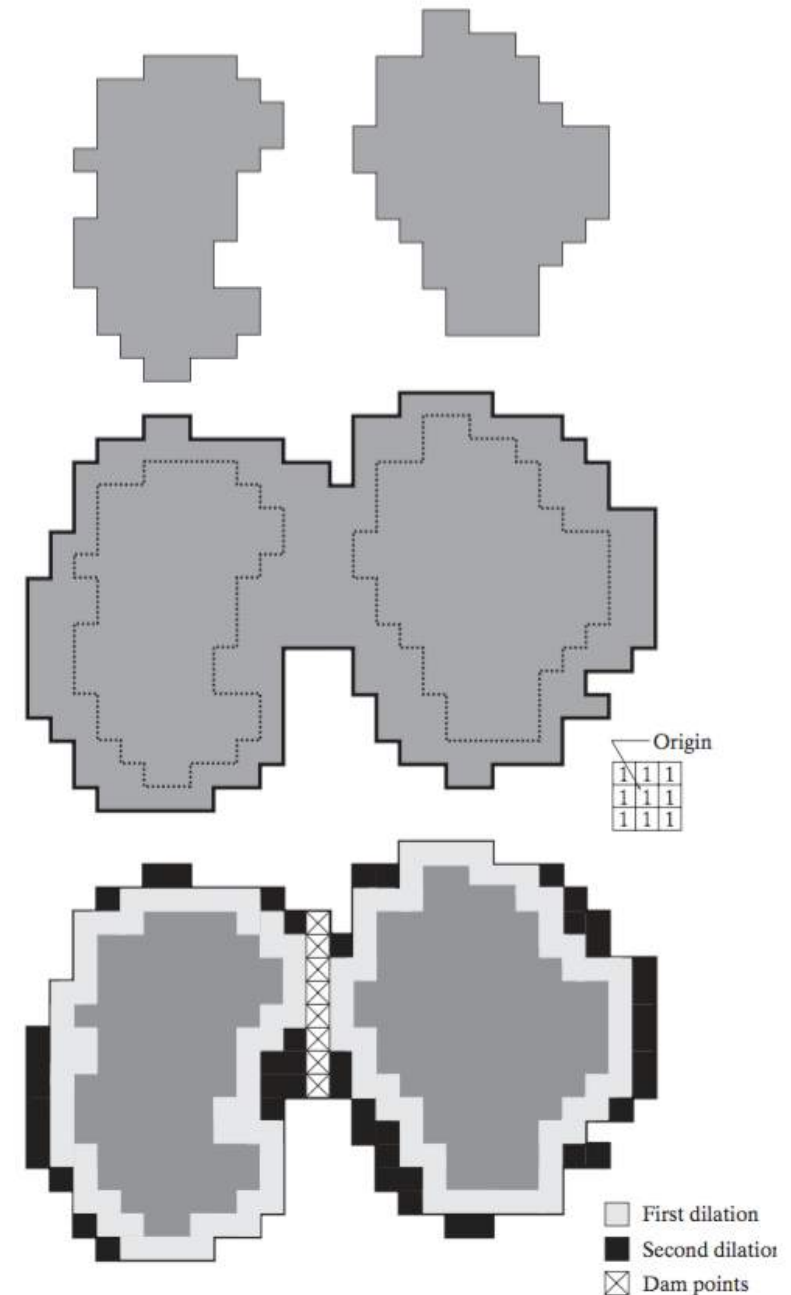
# Watershed algorithm: dam construction

## ■ Principle

- ▶ Prevent the **merging of water** from two catchments basins

## ■ Underlying operation

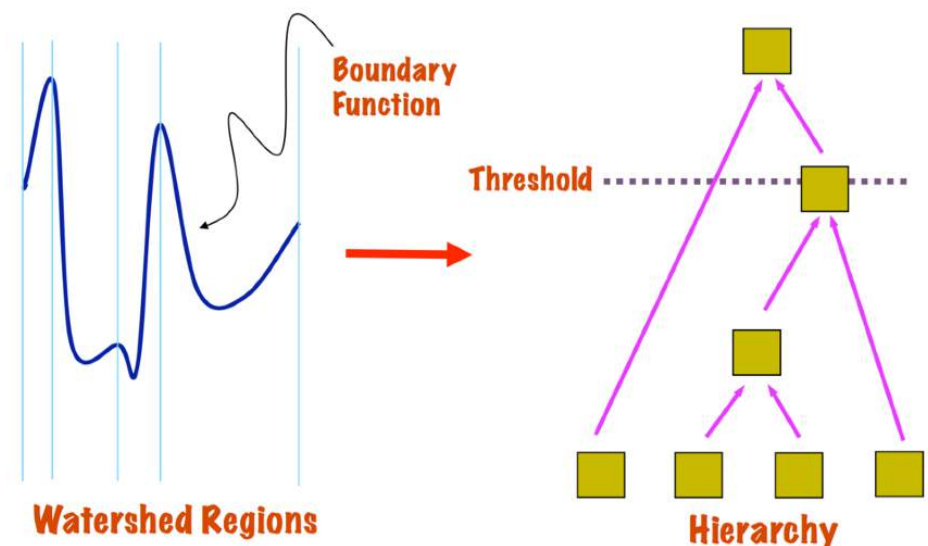
- ▶ Binary morphological **dilation**



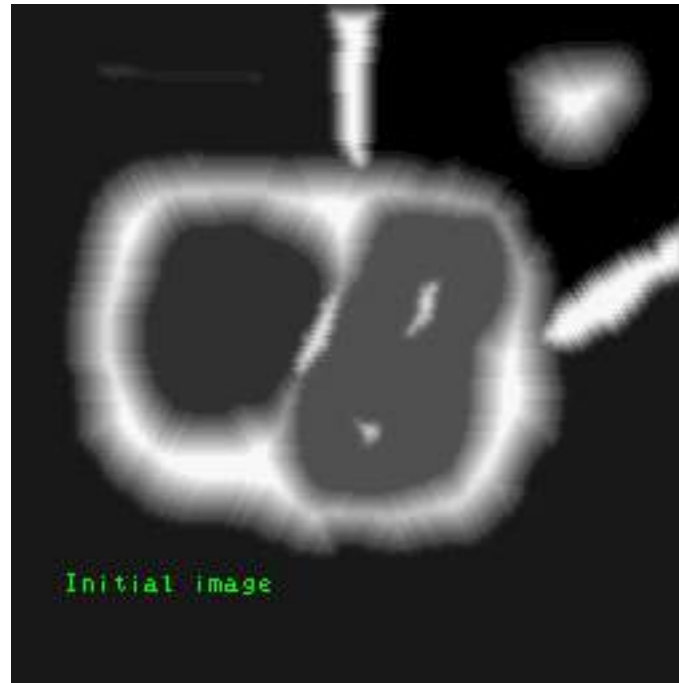
# Watershed algorithm: dam construction

## ■ Dam construction sub-algorithm

- ▶ Set pixels with minimum gray level to 1 and the rest to 0
- ▶ At each iteration we flood the 3D topography from below and the pixels covered by the rising water are set to 1 and the other 0
- ▶ If at flooding **step n-1** there are **two connected components** ( $C_1$  and  $C_2$ ) and at **step n** there is only **one connected component**  $C$ , then
  - Compute  $q = C \cap (C_1 \cup C_2)$  to find the points that may have caused the merging
  - For each point on the boundary of  $C_1$  and  $C_2$  re-perform the dilation and find which of them gives the same point in  $q$
  - Mark the the found points with a number greater then the maximum intensity value of the image



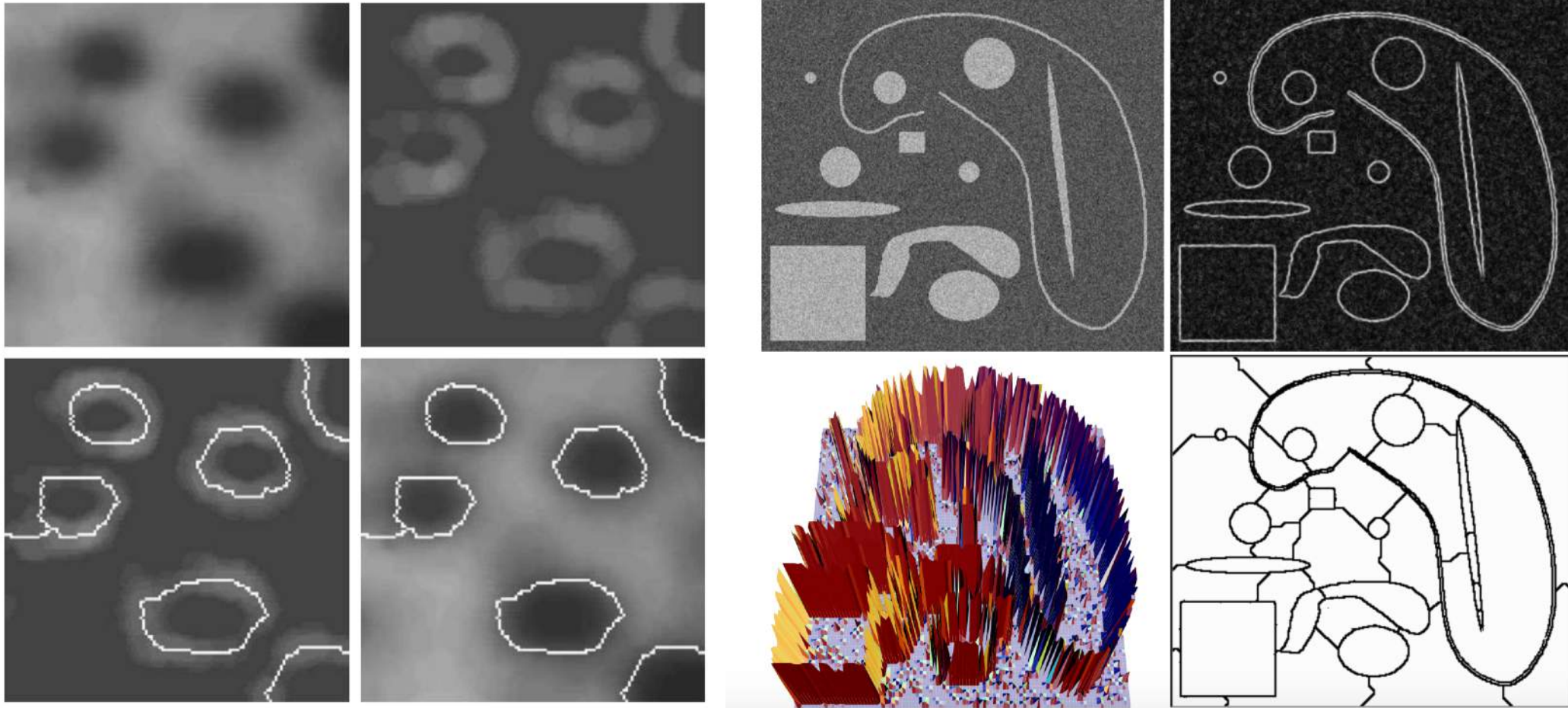
# Watershed animation



Taken from <http://cmm.ensmp.fr/~beucher/wtshed.html>

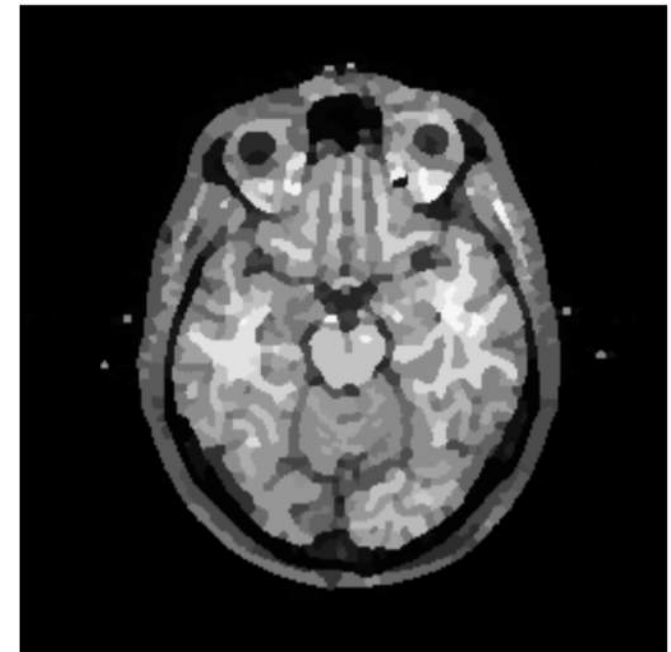
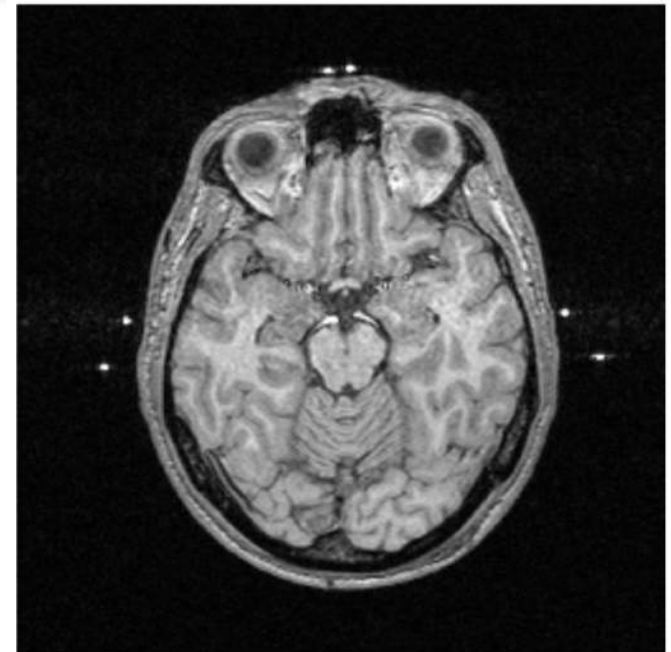
# Example of watershed segmentation

- Highly used with gradient images



# Watershed segmentation properties

- Non local (regions can leak!)
- Boundary based
  - ▶ Poor in low contrast data
  - ▶ Very sensitive to noise
- Low level (pixel based)
  - ▶ Lack of shape model
  - ▶ May lead to over segmentation
- Preprocessing step
  - ▶ Necessary for reliable boundary measure



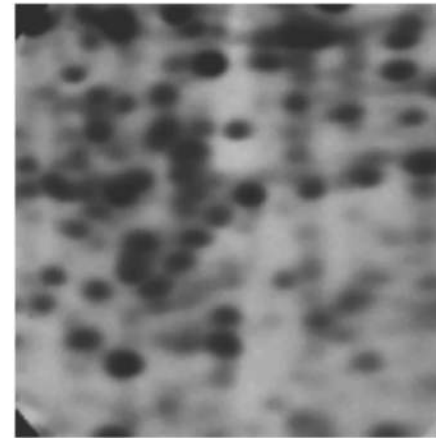
# Marker-controlled water segmentation

## ■ Problem

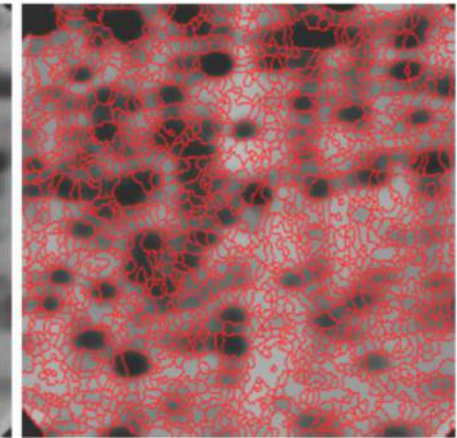
Due to noise and other local irregularities of the gradient, **over segmentation** may occur

## ■ Solution

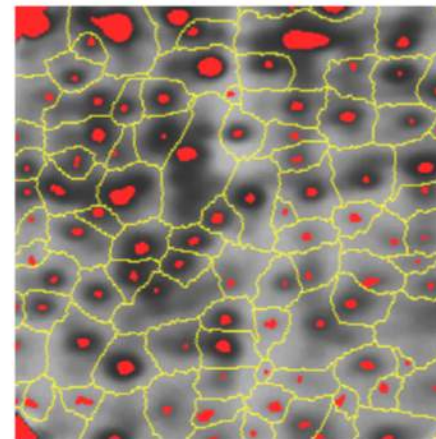
- ▶ **Exclude** a number of **non-significant minima**
- ▶ Do the exclusion implicitly **using markers** on the blobs to specify the only allowed regional minima (like seeds for region growing algorithm)
- ▶ For example, gray level values can be used as markers



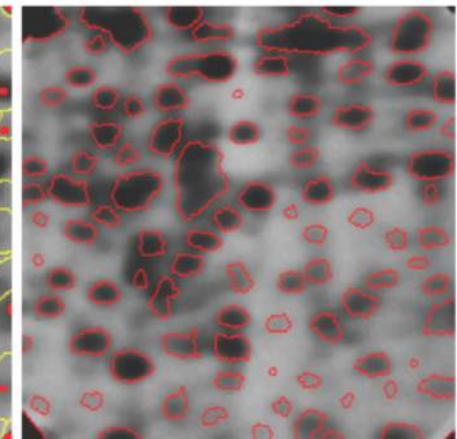
*original image*



*over-segmented image*



*markers of the blobs and of the background*



*marker-controlled watershed of the gradient image*

# Marker controlled water segmentation



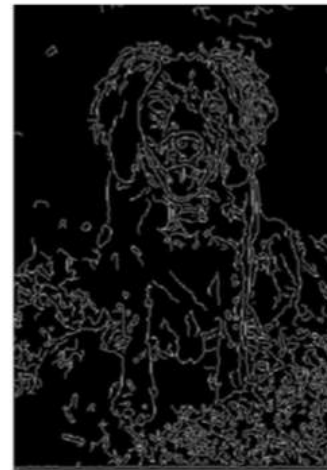


# Active contours

# Active contours: Introduction

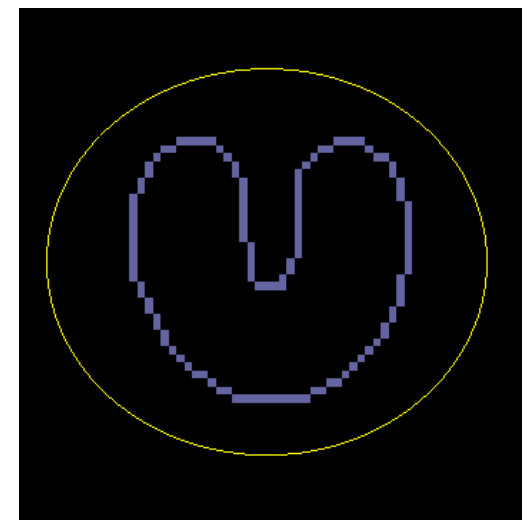
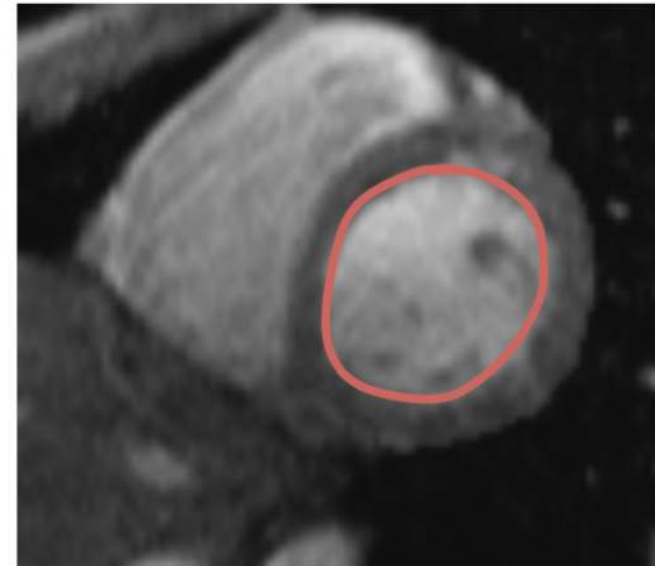
## ■ Why?

- ▶ When **edge-segmentation** is **fragmented**



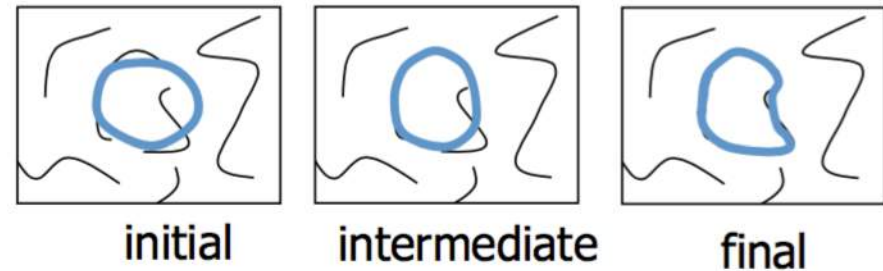
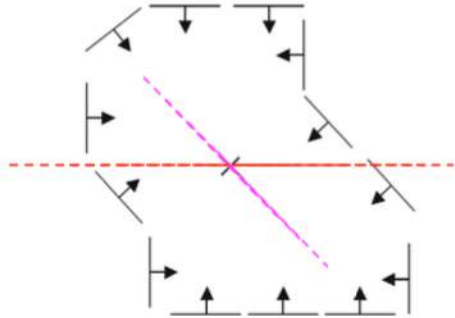
# Active contours: Introduction

- The active contour model (also called *snakes*) extract the object contours of an image
  - ▶ It is based on the variational theory
  - ▶ The active contour evolves like a “*snake*”
- Goal
  - ▶ **Given initial contour** near the desired object **evolve** the contour **to fit** exact **object boundary**
- Elastic band is iteratively adjusted so as to
  - ▶ be near image positions with *high gradient*
  - ▶ satisfy *contours priors*



# Snakes vs Hough transformation

- Like Hough transformation is useful for shape fitting, but



## Hough

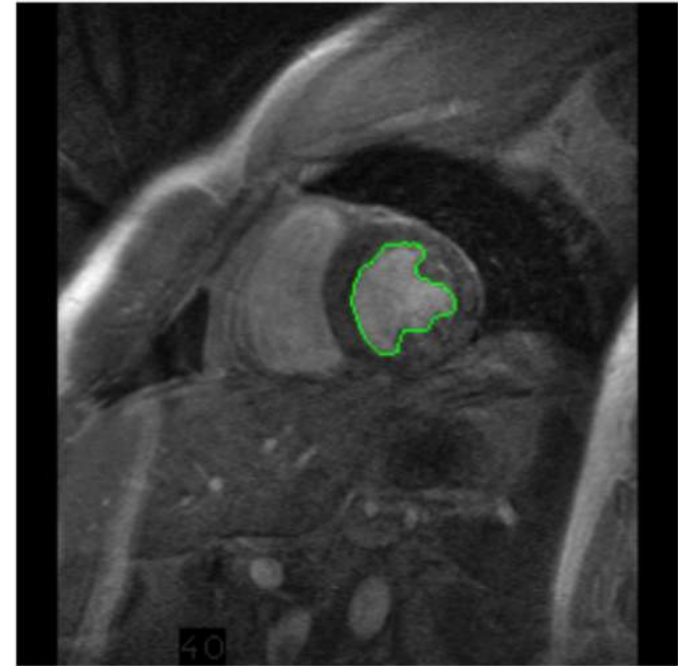
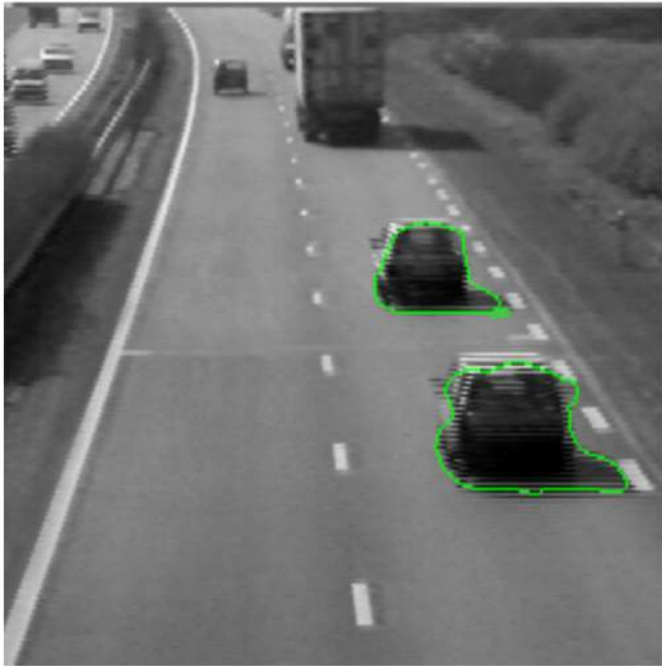
- **Rigid** model shape
- **Single** voting **pass** can detect **multiple instances**

## Snakes

- Prior on shape types, but shape **iteratively adjusted** (*deformed*)
- Requires initialization nearby
- **One** optimization “**pass**” to fit a **single contour**

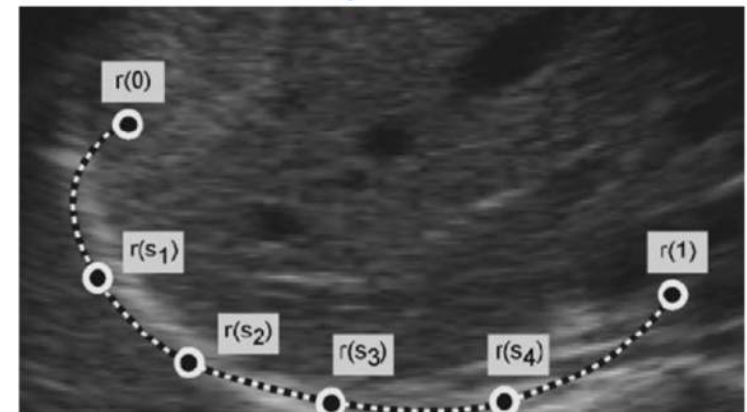
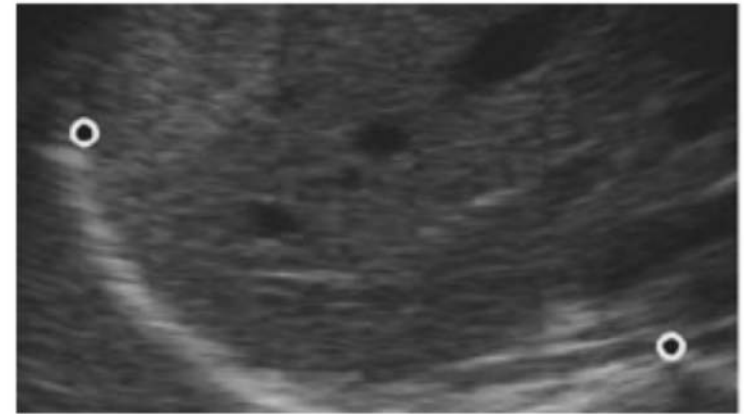
# Why do we want to fit deformable shape?

- Non-rigid deformable objects can change their shape over time



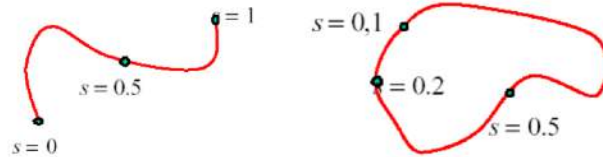
# Main idea

- Represents an object boundary or some other salient image feature as a **parametric curve**
- An **energy functional  $E$**  is associated with the curve
- The problem of finding object boundary is cast as an **energy minimization problem**
- At each iteration we can move each vertex to another nearby location (“state”)



# Energy minimization

- A snake  $C$  is a **curve**  $C = \{\nu(s) = (x(s), y(s)) \mid s \in [0, 1]\}$



- The movements of a snake is modelled as an **energy minimization** process

$$E = E_i + E_e + E_c = \int_0^1 (E_i(\nu(s)) + E_e(\nu(s)) + E_c(\nu(s))) ds$$

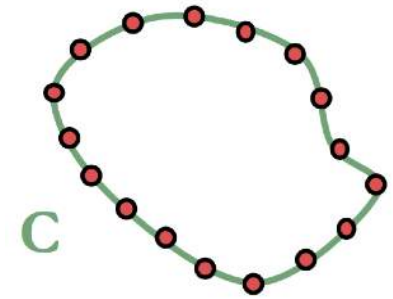
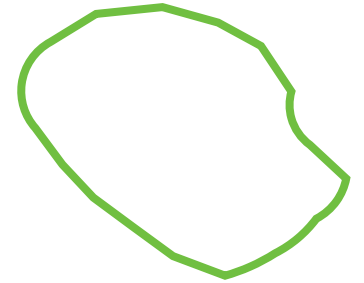
- ▶  $E_i$  **internal forces**, increases if the snake is stretched or bent
- ▶  $E_e$  **external forces**, decreases if the snake moves closer to the border of the object we want to segment
- ▶  $E_c$  **additional constraints** such as penalizing the creation of loops (for many applications it is set to 0)

# Discretizing ...

## ■ A snake is a curve

▶ Continuous case  $C = \{\nu(s) = (x(s), y(s)) \mid s \in [0, 1]\}$

▶ Discrete case  $C = \{\nu_i = (x_i, y_i) \mid 0 \leq i \leq 1\}$



## ■ The movement is modelled as an energy minimization process

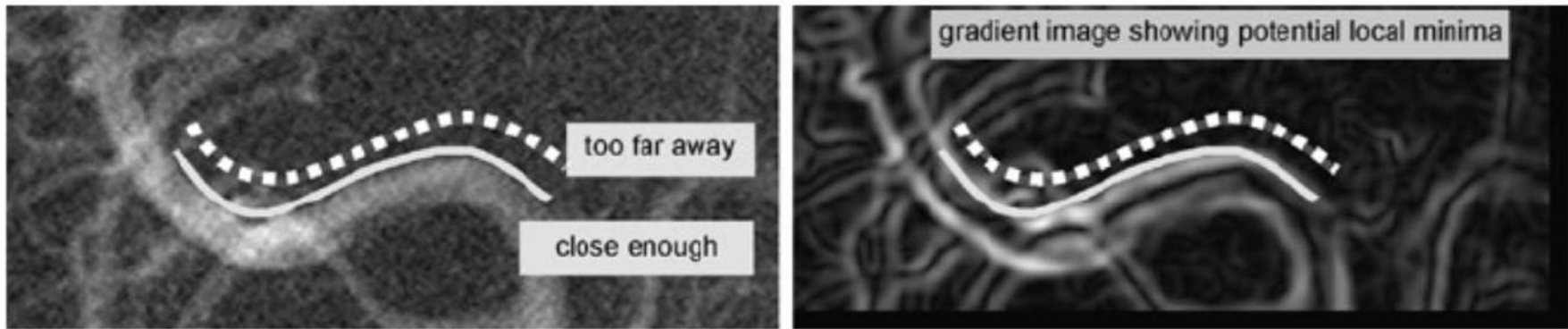
▶ Continuous case  $E = \int_0^1 (E_i(\nu(s)) + E_e(\nu(s)) + E_c(\nu(s))) ds$

▶ Discrete case  $E = \sum_{j=0}^{n-1} (E_i(\nu_j) + E_e(\nu_j) + E_c(\nu_j))$



# External (Image) energy

- Encourage contour to fit on places where image structure exist
  - ▶ Measure **how well** the curve matches the image data locally
  - ▶ “**Attract**” the curve toward different image features (edge, lines, etc., ...)



- Given the image  $I$   $E_e(\nu(s)) = -c_3 \|\nabla I(\nu(s))\|^2$

- ▶  $c_3$  is a constant that sets the relative **influence** of the **edge attraction force**

- ▶ For the entire snake  $E_e = \int_0^1 E_e(\nu(s)) ds \xrightarrow{\text{discretization}} E_e = \sum_{j=0}^{n-1} (-c_3 \|\nabla I(\nu_j)\|^2)$

# Internal energy

- Encourage **prior** shape preferences: e.g., smoothness, elasticity, particular known shape

- ▶ A priori we want to favour **smooth shapes**, contours with **low curvature**, contours similar to a **known shape**, etc., to balance what is actually observed in the gradient image

- Given the curve  $C$

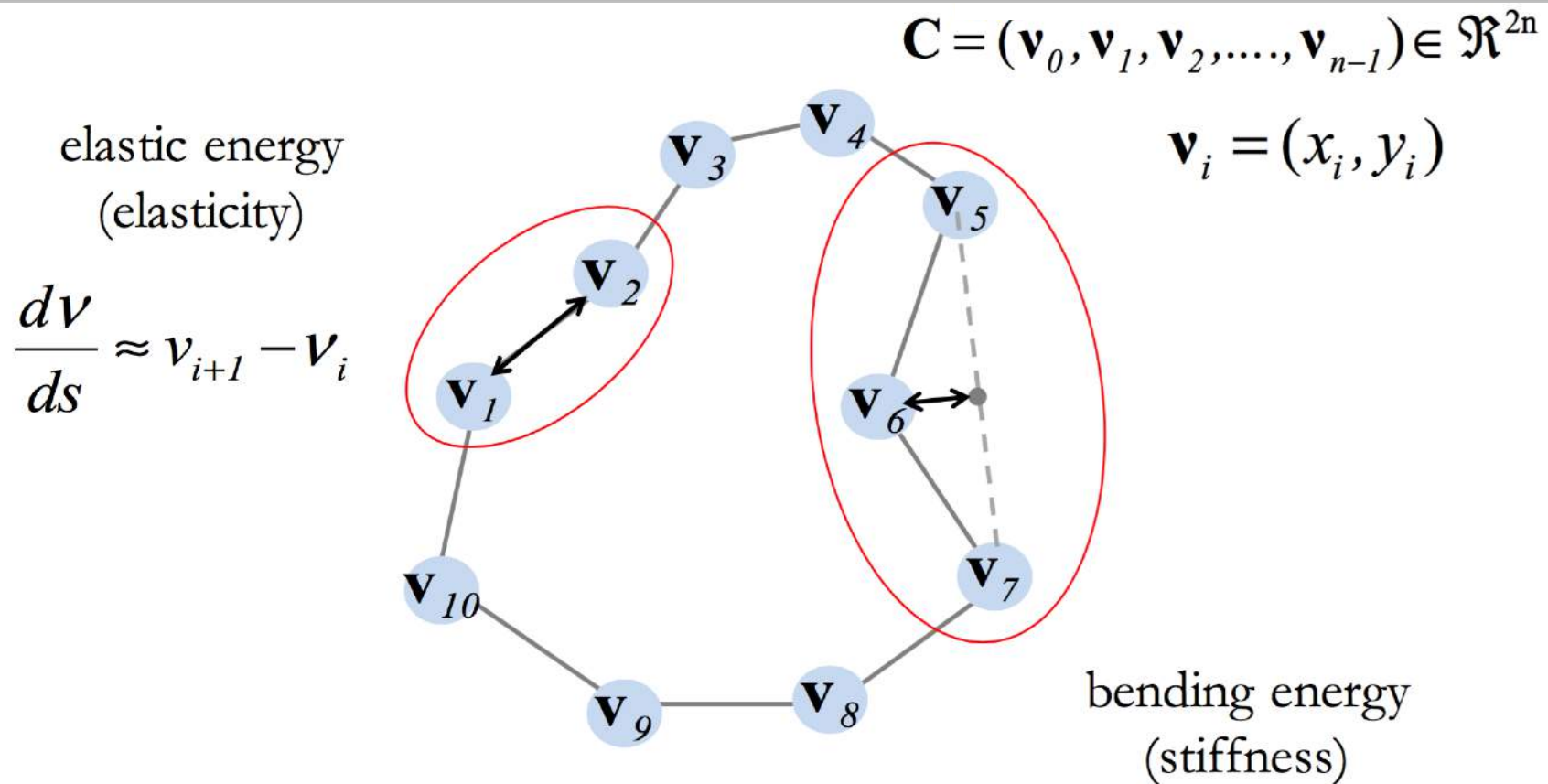
$$E_i = c_1 \left\| \left\| \frac{d\nu(s)}{ds} \right\| \right\|^2 + c_2 \left\| \left\| \frac{d^2\nu(s)}{ds^2} \right\| \right\|^2$$

Tension,  
Elasticity

Stiffness,  
Curvature

- ▶  $c_1$  is a constant that controls the **elasticity**
- ▶  $c_2$  is a constant that controls **how much the snake can bend**

# Discrete internal energy



$$\frac{d^2\mathbf{v}}{ds^2} \approx (\mathbf{v}_{i+1} - \mathbf{v}_i) - (\mathbf{v}_i - \mathbf{v}_{i-1}) = \mathbf{v}_{i+1} - 2\mathbf{v}_i + \mathbf{v}_{i-1}$$

► For the **entire snake**

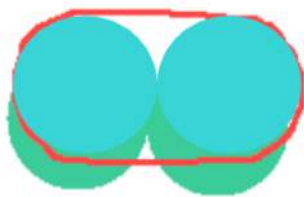
$$E_i = \sum_{j=0}^{n-1} c_1 \boxed{|\nu_{j+1} - \nu_j|^2} + c_2 \boxed{|\nu_{j+1} - 2\nu_j + \nu_{j-1}|^2}$$

Elasticity Stiffness, Curvature

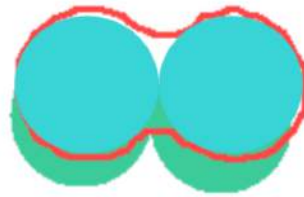
# Internal energy properties

- Elasticity term measures how much the snake is stretched locally

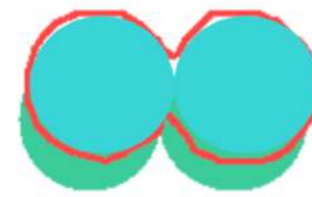
- ▶ **small**  $c_1$  will result in **high stretch** values having no impact on the energy (the snake may stretch infinitely)
- ▶ **large**  $c_1$  makes that the snake **can stretch very little**



large  $c_1$



medium  $c_1$



small  $c_1$

- The preferences for low-curvature, smoothness help deal with missing data



# Total Energy

- We need to minimize the total energy

$$\begin{aligned} E_{total} &= \int_0^1 (E_i(\nu(s)) + E_e(\nu(s)) + E_c(\nu(s))) ds \\ &= \int_0^1 \left( c_1 \left\| \frac{d\nu(s)}{ds} \right\|^2 + c_2 \left\| \frac{d^2\nu(s)}{ds^2} \right\|^2 - c_3 \|\nabla I(\nu(s))\|^2 + E_c(\nu(s)) \right) ds \end{aligned}$$

- Numerically this is done by solving its Euler-Lagrange form

$$-\frac{d}{ds} \left( c_1 \left\| \frac{d\nu(s)}{ds} \right\|^2 \right) + \frac{d^2}{ds^2} \left( c_2 \left\| \frac{d^2\nu(s)}{ds^2} \right\|^2 \right) + \nabla (E_e(\nu(s)) + E_c(\nu(s))) = 0$$

- Of course the discretized version!

- ▶ This equation can be interpreted as a **force balance equation**
- ▶ The contour deforms under the action of these forces

# Forces

## Elastic force

- Generated by elastic potential energy of the curve

$$F_{elastic} = -\frac{d}{ds} \left( c_1 \left\| \frac{d\nu(s)}{ds} \right\|^2 \right)$$

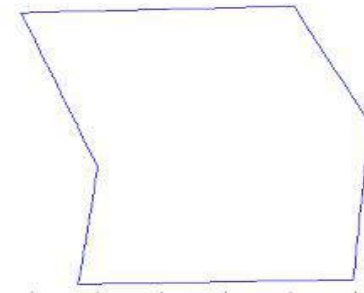
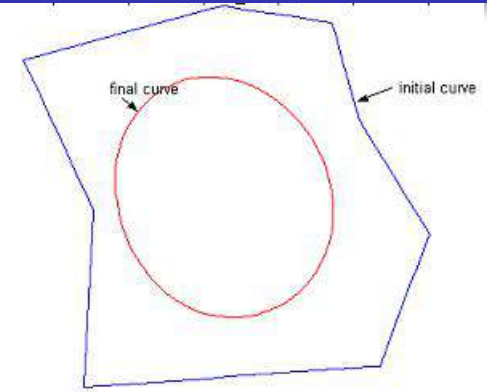
## Bending force

- Generated by the bending energy of the contour
- Tries to smooth out the curve

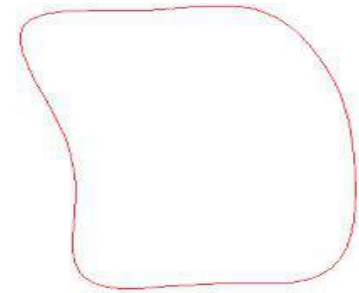
## External force

- Minimizes  $E_e$

$$F_e = -\nabla E_e$$



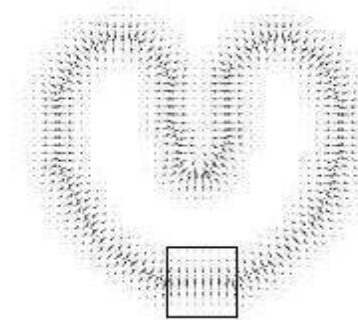
Initial curve  
(High bending energy)



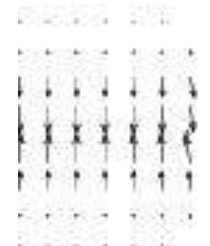
Final curve deformed by  
bending force. (low  
bending energy)



Image



External force

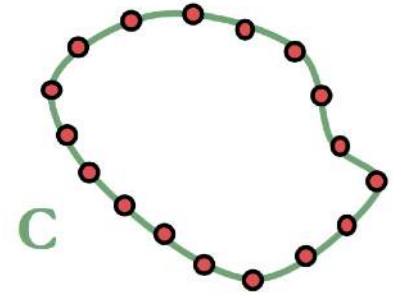


Zoomed in

# Discretizing ...

- The curve is **piecewise linear** obtained by joining each control point

$$C = \{ \nu_i = (x_i, y_i) \mid 0 \leq i \leq 1 \}$$



- Force equations applied to **each control point separately**
- Each control point allowed to move freely under the influence of the forces
- The energy and **force terms** are **converted to discrete form** with the derivatives substituted by finite differences
  - ▶ as we have seen for energies

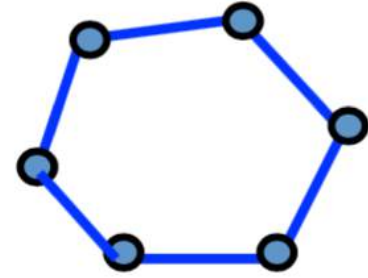
# Elastic snake example

## ■ A simple elastic snake is defined by

- ▶ A set of  $n$  points

- ▶ An internal energy term (tension, bending)  $E_i = c_1 \sum_{j=0}^{n-1} |\nu_{j+1} - \nu_j|^2$

- ▶ An external energy term (gradient-based)  $E_e = - \sum_{j=0}^{n-1} |\nabla I(\nu_j)|^2$

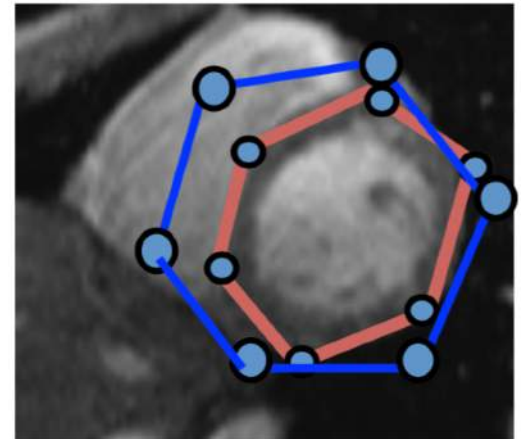


## ■ To use to segment an object

- ▶ Initialize in the vicinity of the desired object
- ▶ Modify the points to minimize the total energy

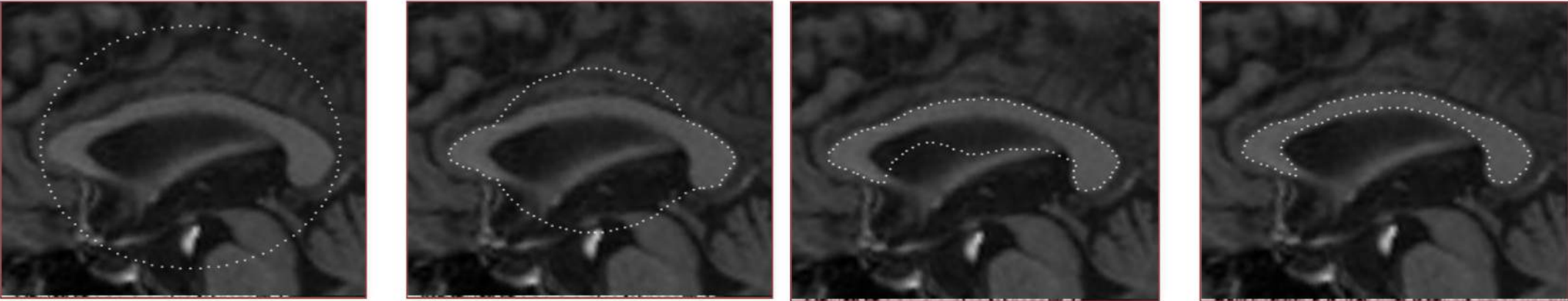
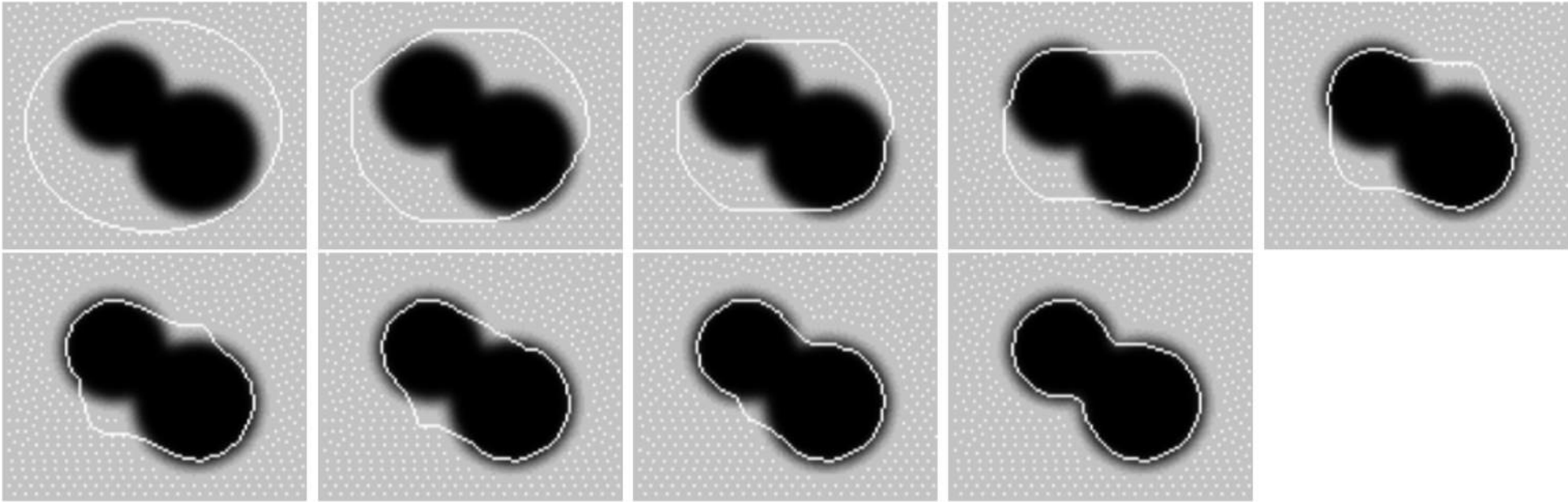
$$E = \sum_{j=0}^{n-1} c_1 |\nu_{j+1} - \nu_j|^2 - |\nabla I(\nu_j)|^2$$

$$= \sum_{j=0}^{n-1} c_1 \left( (x_{j+1} - x_j)^2 + (y_{j+1} - y_j)^2 - \left( |I_x(x_j, y_j)|^2 + |I_y(x_j, y_j)|^2 \right) \right)$$



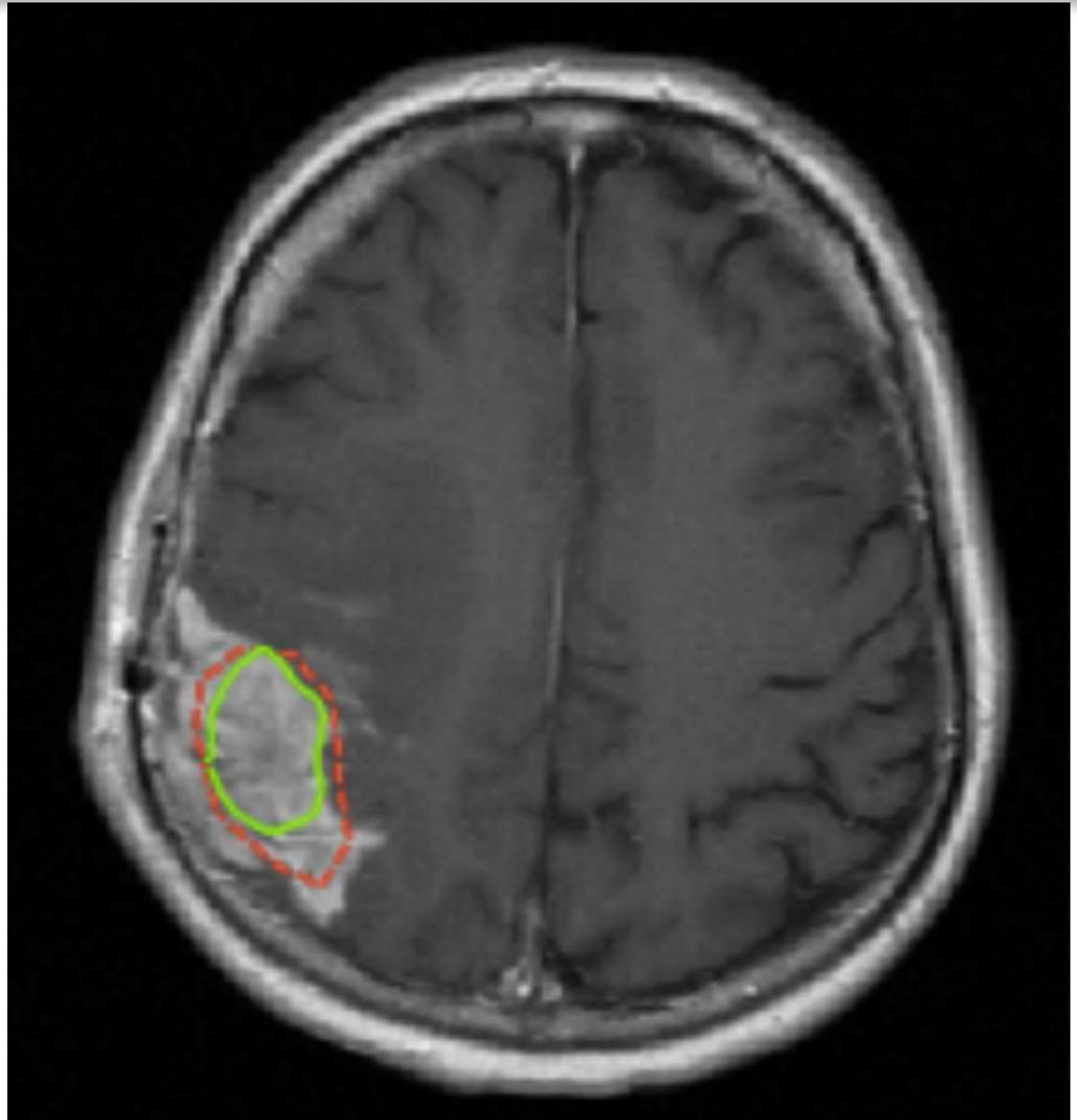


# Examples without constraints



# Example using only external force

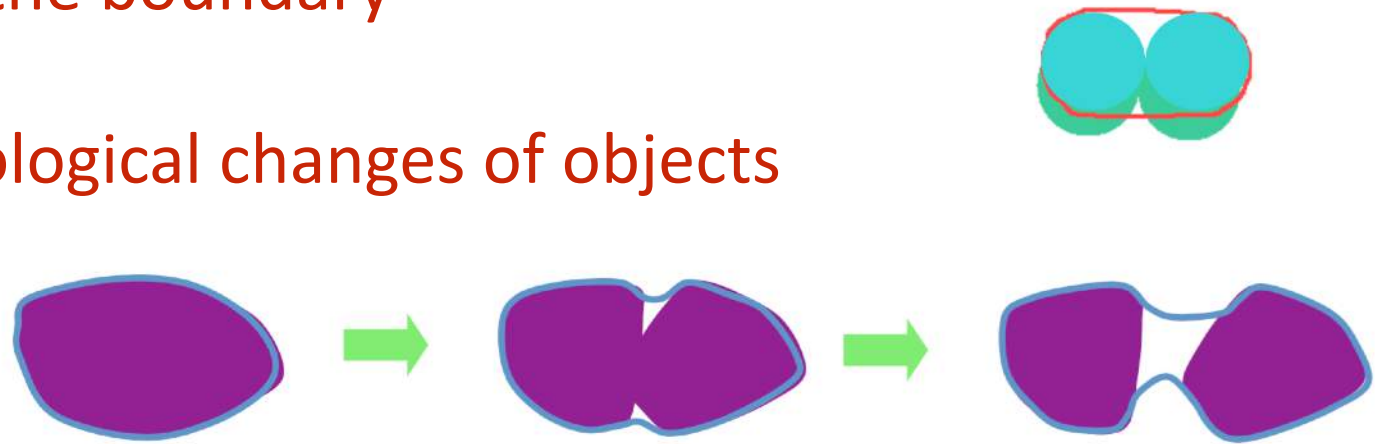
Red: Initial contour  
Green: Final contour



# Limitations

- May over-smooth the boundary
- Cannot follow topological changes of objects

▶ can be overcome using *level sets*



- snake does not really “see” object boundaries in the image unless it gets very close to it!

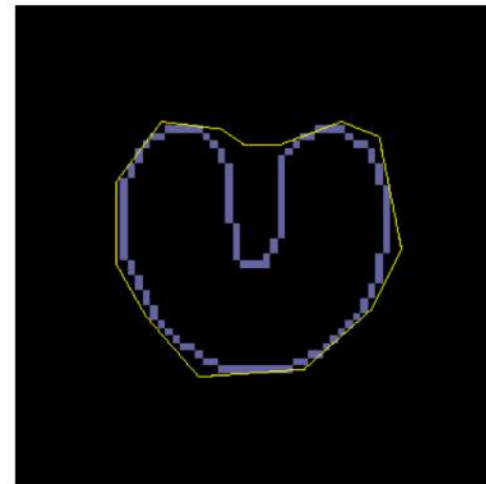
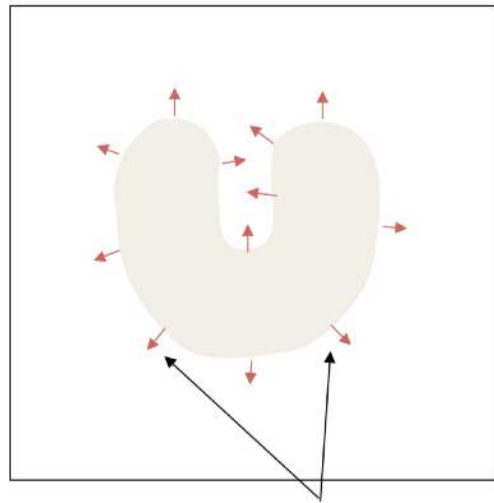
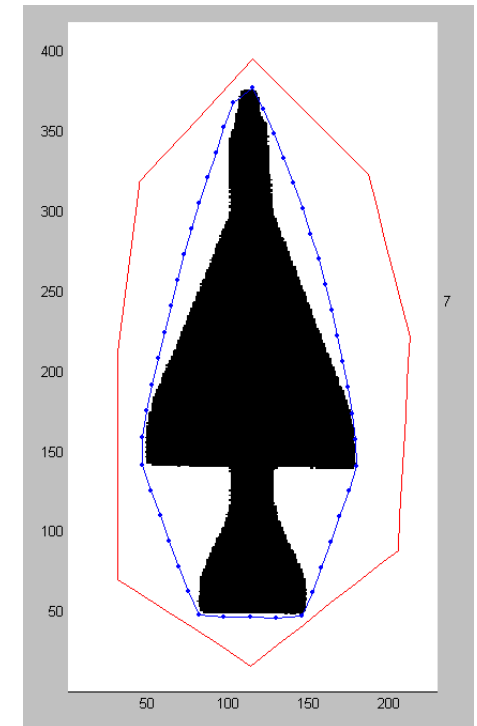
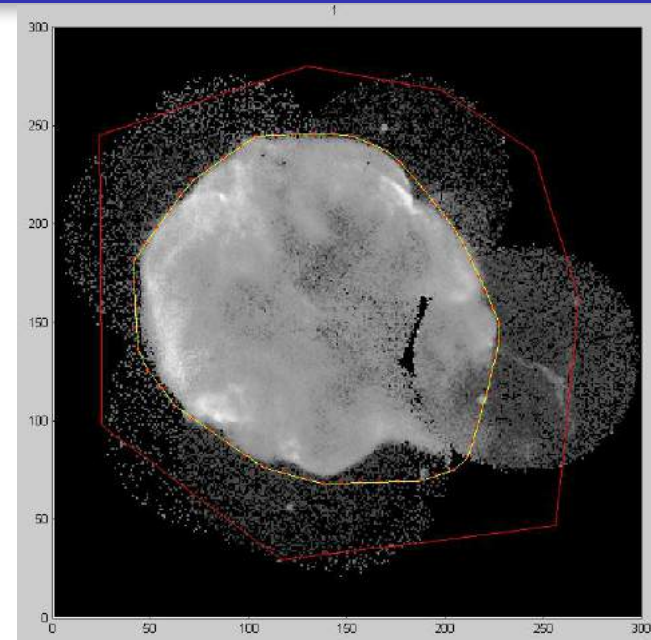


image gradients are large only directly on the boundary!

# Limitations

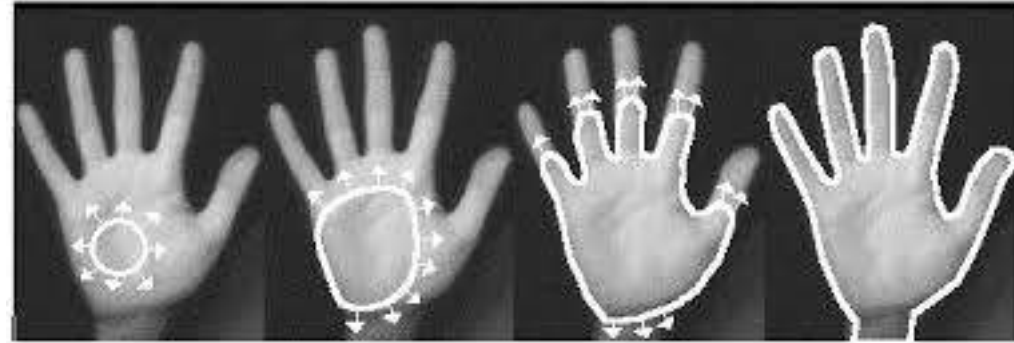
- Snakes are very sensitive to false local minima which leads to wrong convergence
- Fails to detect *concave* boundaries. External force cant pull control points into boundary concavity
  - ▶ Can be solved using *Gradient Vector Flow*



# Active contours comments

## ■ Advantages

- ▶ Useful to **track** and fit **non-rigid shapes**
- ▶ Contour **remains connected**
- ▶ Possible to fill in “**subjective contours**”
- ▶ **Flexibility** in how energy function is defined, weighted



## ■ Disadvantages

- ▶ **Depends on** number and spacing of **control points**
- ▶ Must have a decent **initialization** near true boundary
- ▶ May get stuck in **local minimum**
- ▶ Parameters of energy function must be set well based on **prior information**

